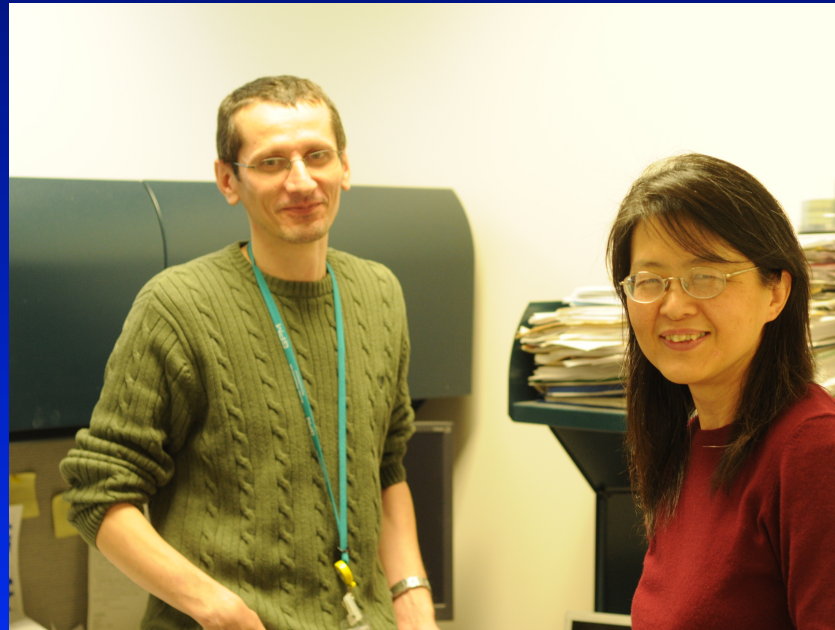


# GPM Combined Algorithm Status

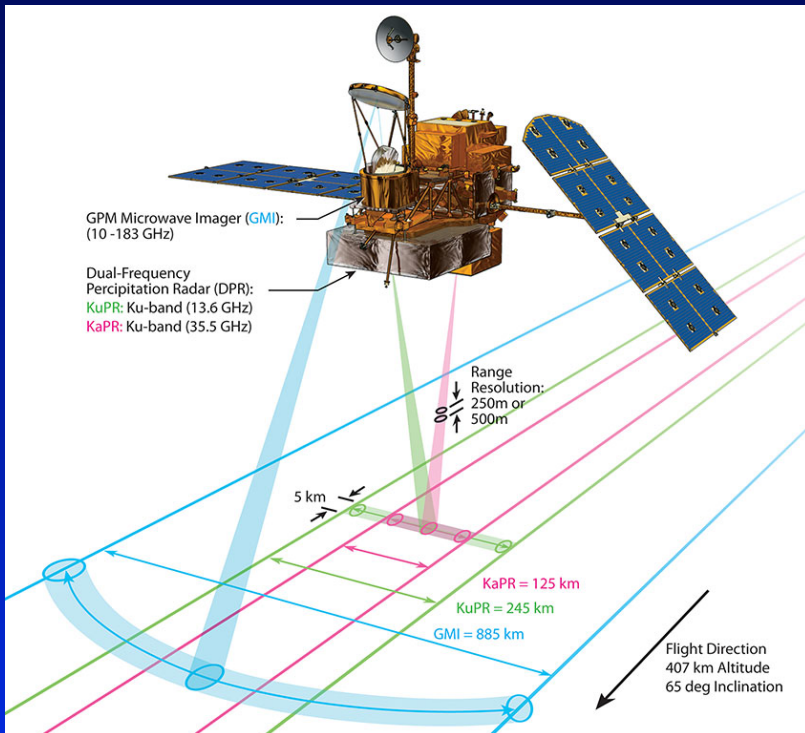
Bill Olson and Hirohiko Masunaga

Joint Algorithm Teams  
&  
Working Group Contributors



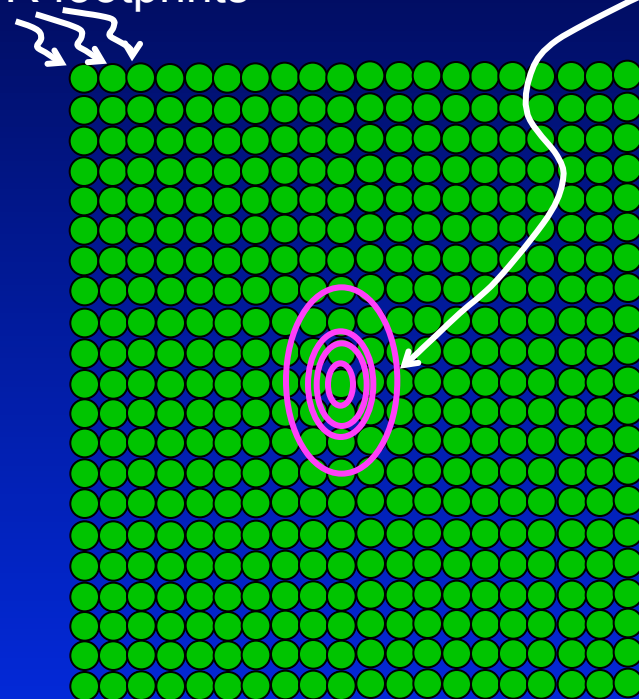
# Combined Radar-Radiometer Algorithm Input

## DPR / GMI Sampling and Resolution



13.6 and 35.5 GHz  
DPR footprints

GMI footprints



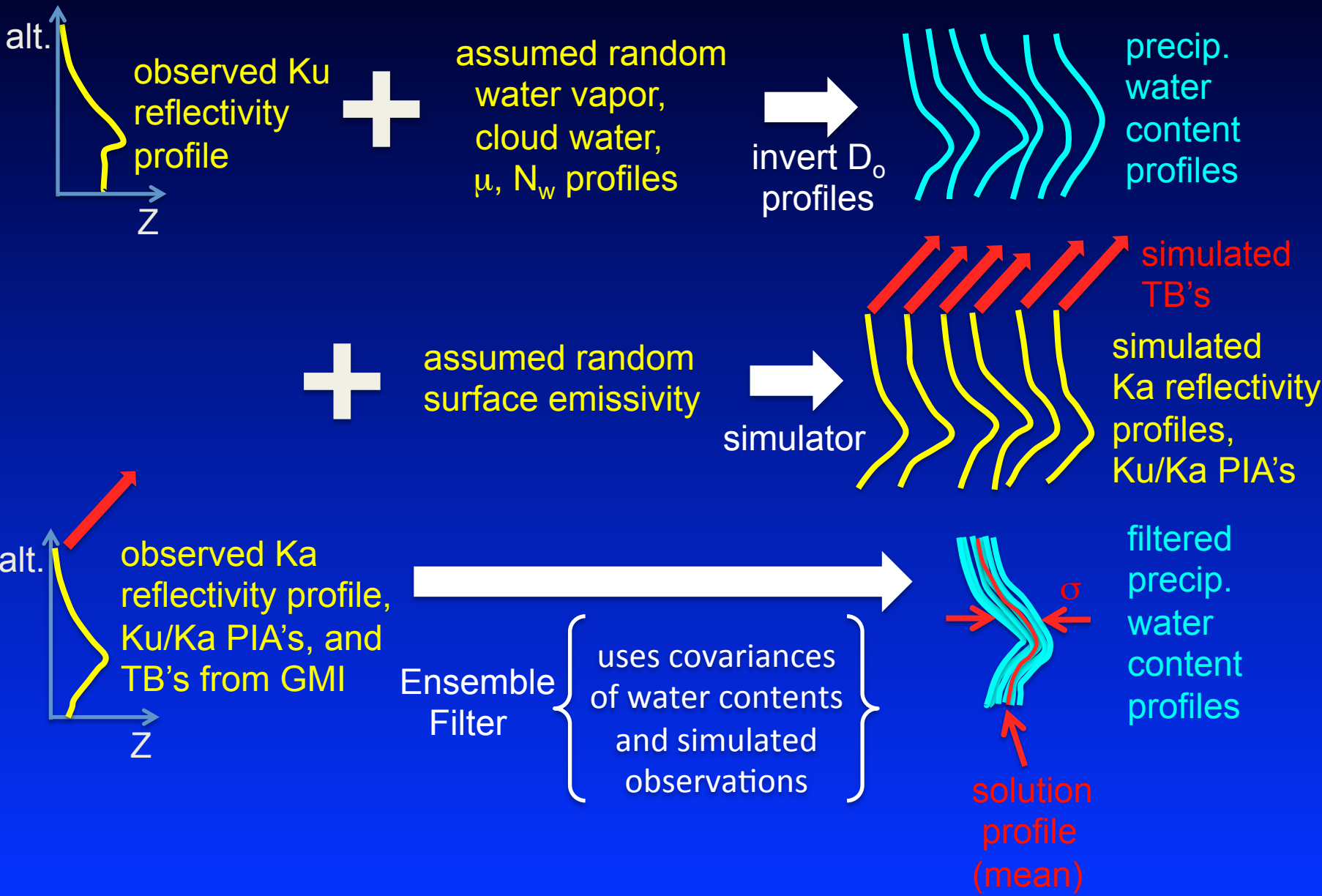
DPR swath section

freq. 10.7, 18.7, 23.8, 36.5, 89.0, 165.5, 183.3±7, 183.3±3 GHz  
 resol. 26, 15, 12, 11, 6, 6, 6, 6 km

# Algorithm "Concept" --- Ensemble (Kalman) Filter

## Input

## Ensemble Solution



## Algorithm Development --- Main Progress/Activities

- introduced simultaneous, rather than sequential, Ensemble Filter update using all Z-Ka, PIA, and TB observations associated with given solution profile at each DPR footprint.
- land emissivity (Aires) atlas introduced; TB's over land now included.
- nuts and bolts of interfacing with Level 1 and Level 2 inputs, and ancillary environmental data finished; modifications for parallel processing in PPS environment completed.
- V3 algorithm delivered to PPS December, 2012; updated V3b version (completed interfacing), now running at PPS, delivered to the team February, 2013.
- testing of algorithm sensitivity to sensor and environmental data information content, scattering tables, emissivity representation, initial ensemble assumptions, ongoing.

## Algorithm Testing Plan – 4 components

- (1) applications to TRMM data; validate with GV; McLaughlin.
- (2) applications to one member of initial ensemble; Olson, Grecu, Munchak, Haddad.
  - synth. profiles are consistent with Ku from TRMM.
  - not really independent; alternative physics not feasible.
- (3) applications to CRM-generated data; Grecu, Matsui, Olson.
  - synth. data independent; alternative physics possible.
  - data are relatively limited (a few CRM domains).
- (4) field campaign studies; Johnson, Olson, Tian, Kuo, ongoing.

**Want < 50% error for 50 km-res. estimates at 1 mm h<sup>-1</sup>**  
**< 25% error for 50 km-res. estimates at 10 mm h<sup>-1</sup>**

# Test Plan Outline

## (1) Applications to TRMM Data

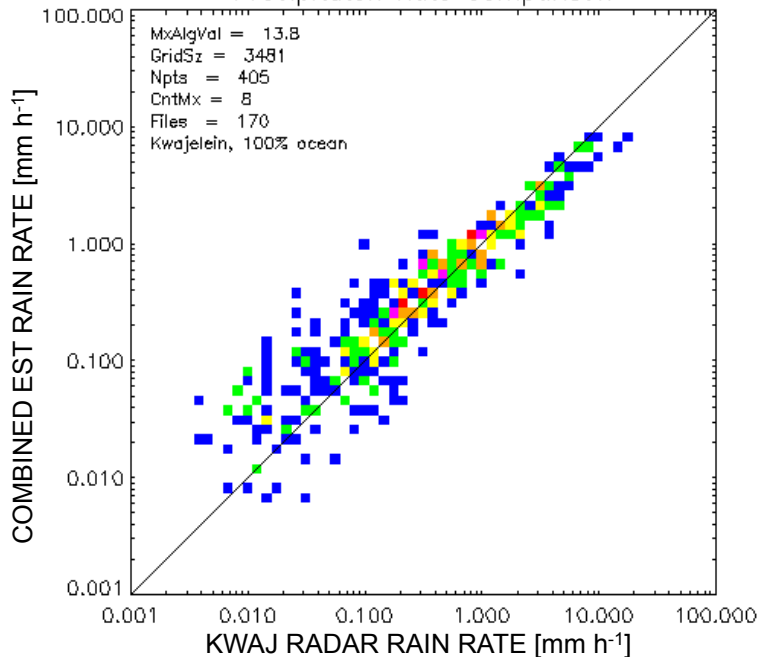
**Data:** TRMM PR and TMI data.

GV radar (Kwaj/Melbourne); NMQ & PMM GV product

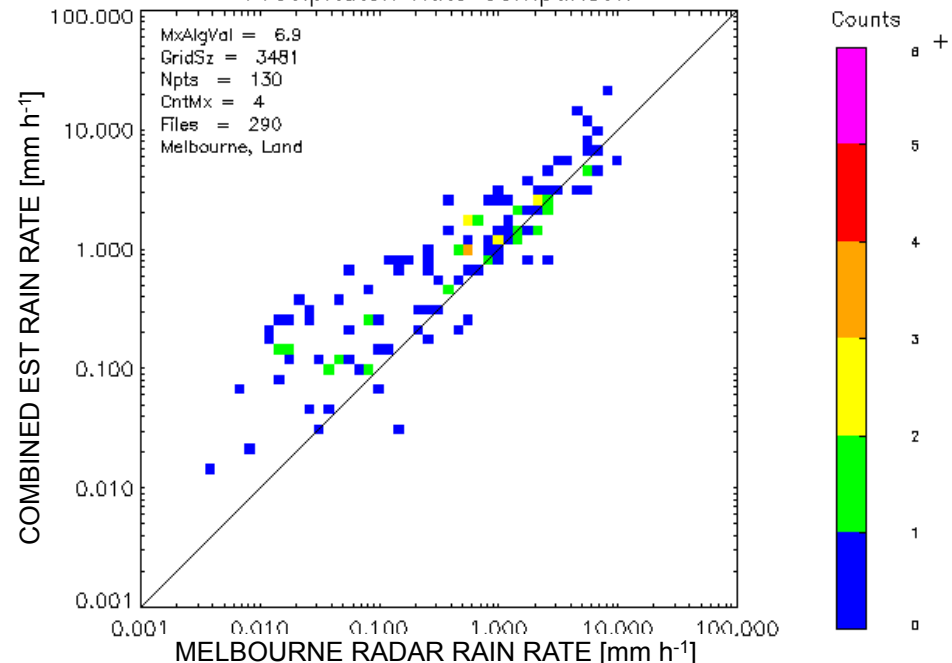
**Tests:** Apply GPM Combined algorithm to TRMM observations and compare estimates to ground-based data.

Ocean (Kwajalein) @ 50 km Land (Melbourne)

Precipitation Rate Comparison



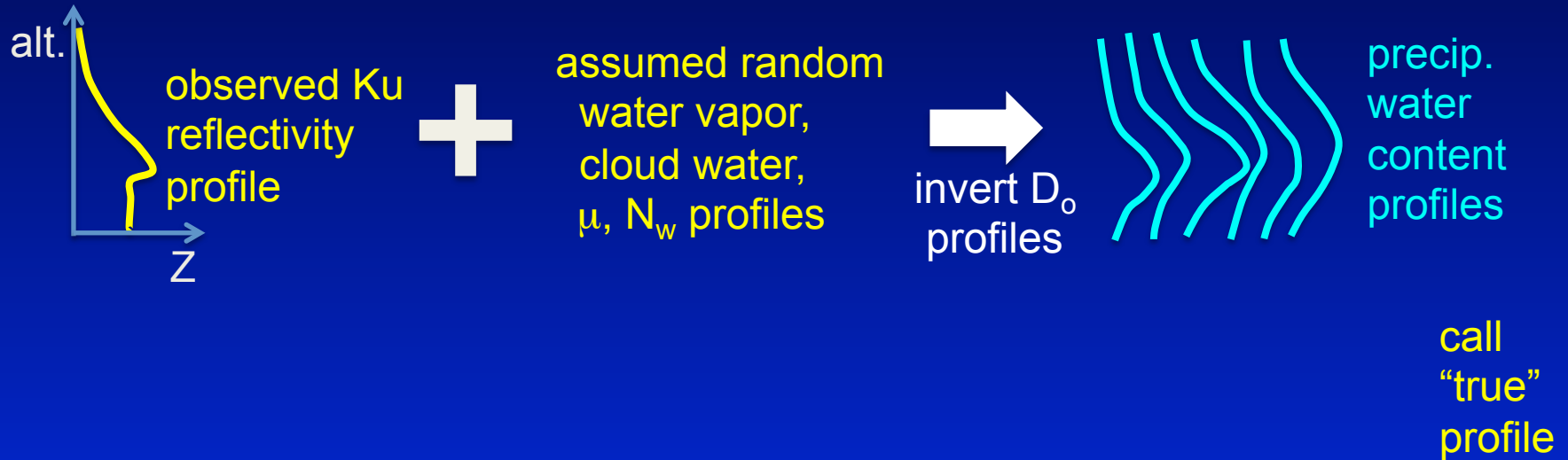
Precipitation Rate Comparison



# Test Plan Outline

## (2) "Internal" Synthetic Retrieval Tests

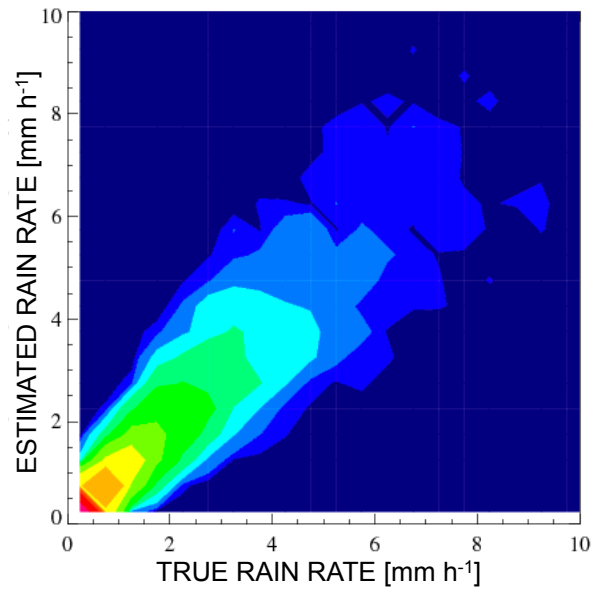
**Data:** use TRMM PR to synthesize DPR & GMI observations.



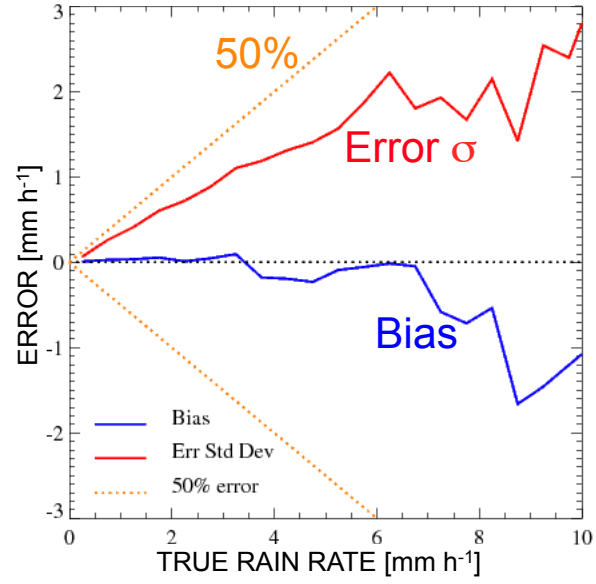
**Tests:** e.g., sensitivity to sensor/environment information, *a priori* assumptions.

# Test Plan Outline - 1 week of TRMM ocean data; 50 km res.

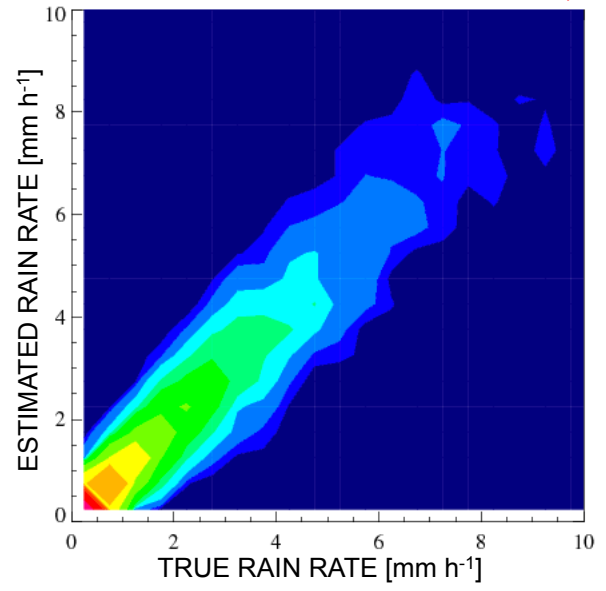
## Estimates from Ku Z & PIA



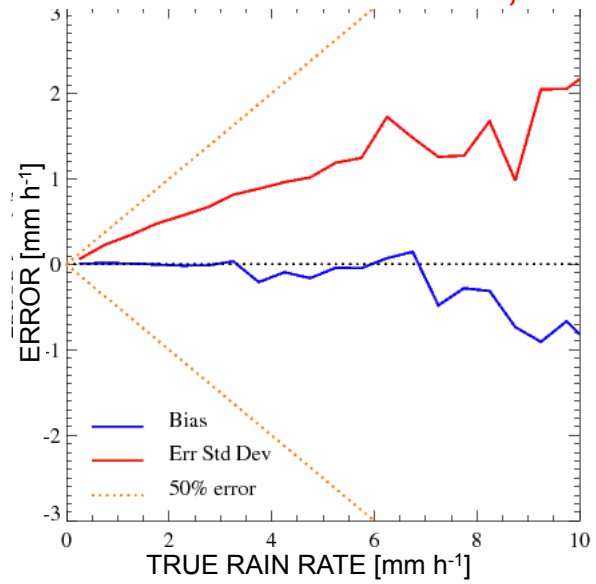
## Error from Ku Z & PIA



## Estimates from Ku Z & PIA, TB



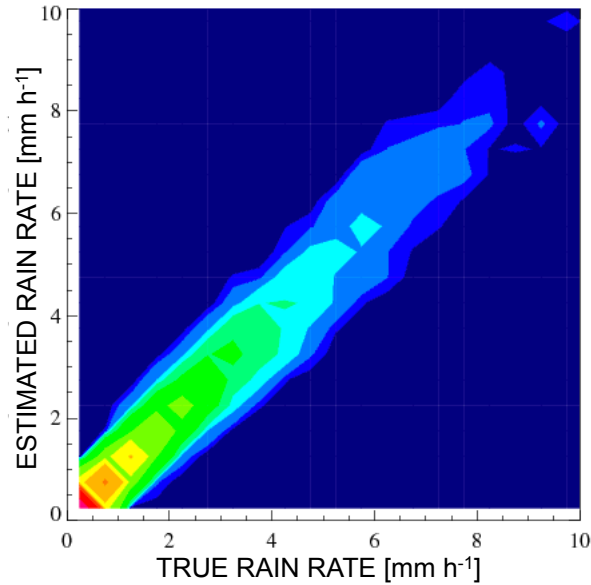
## Error from Ku Z & PIA, TB



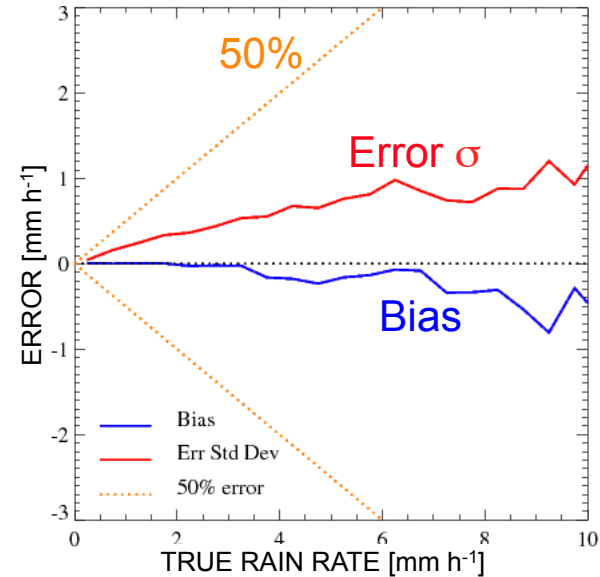


# Test Plan Outline - 1 week of TRMM ocean data; 50 km res.

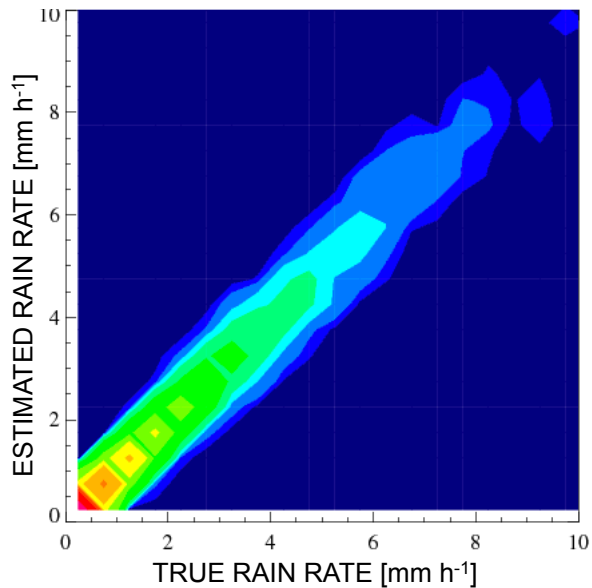
## Estimates from Ku/Ka Z & PIA



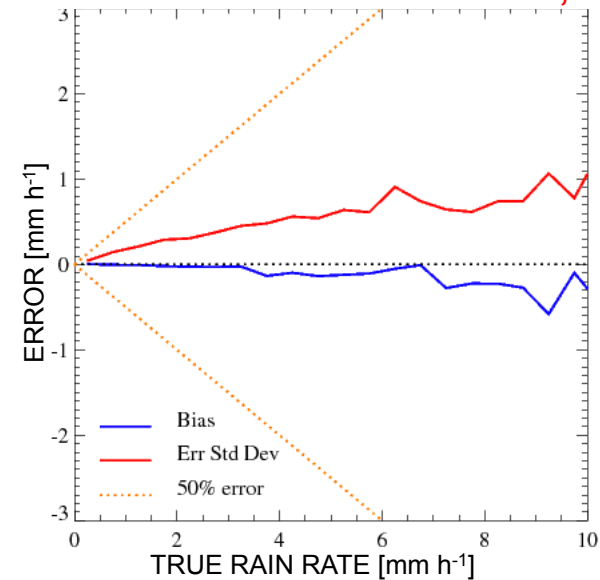
## Error from Ku/Ka Z & PIA



## Estimates from Ku/Ka Z & PIA, TB

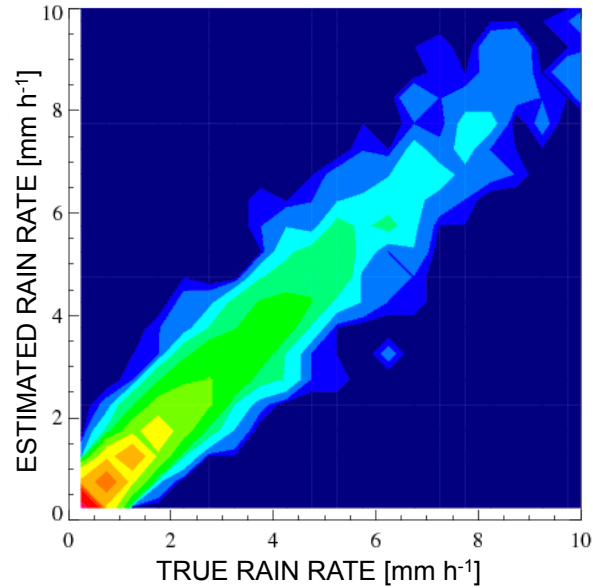


## Error from Ku/Ka Z & PIA, TB

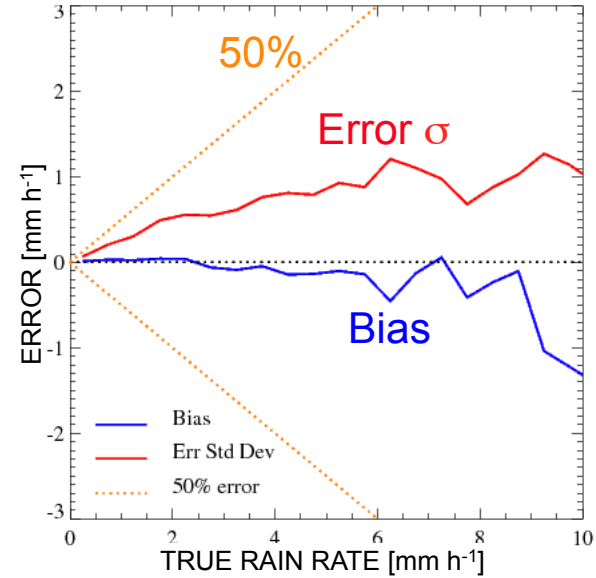


# Test Plan Outline - 1 week of TRMM land data; 50 km res.

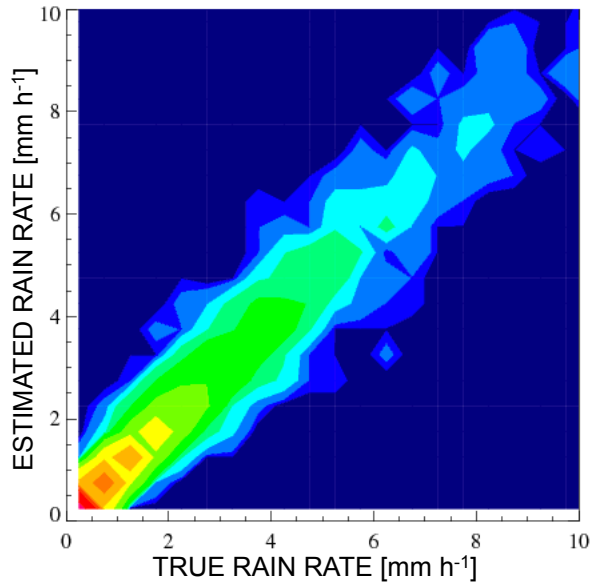
## Estimates from Ku/Ka Z & PIA



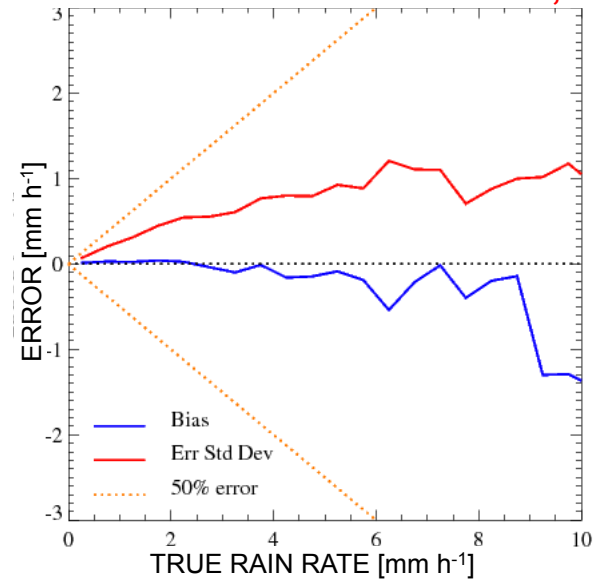
## Error from Ku/Ka Z & PIA



## Estimates from Ku/Ka Z & PIA, TB



## Error from Ku/Ka Z & PIA, TB

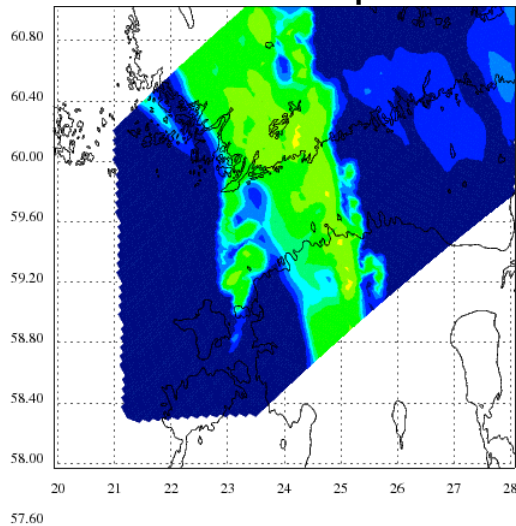


# Test Plan Outline

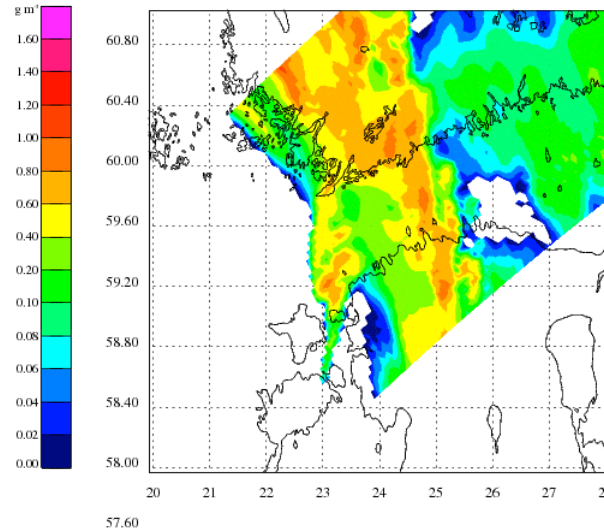
## (3) CRM-based Synthetic Retrieval Tests

**Data:** use WRF Model (Matsui) to synthesize DPR and GMI observations; e.g. LPVEx on 9/21/10

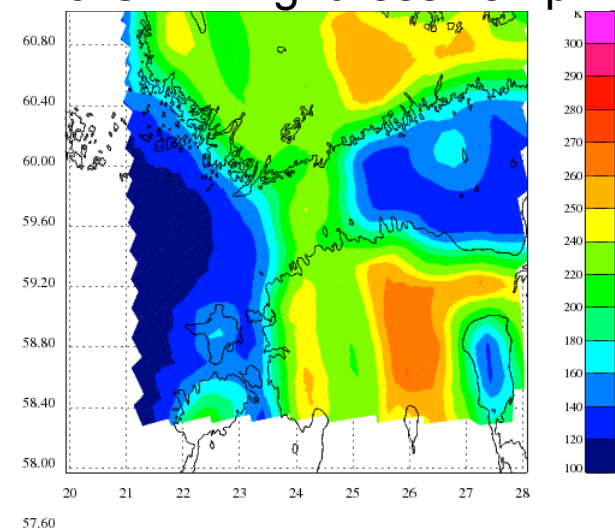
Surface Precipitation



Surface Ku Reflectivity

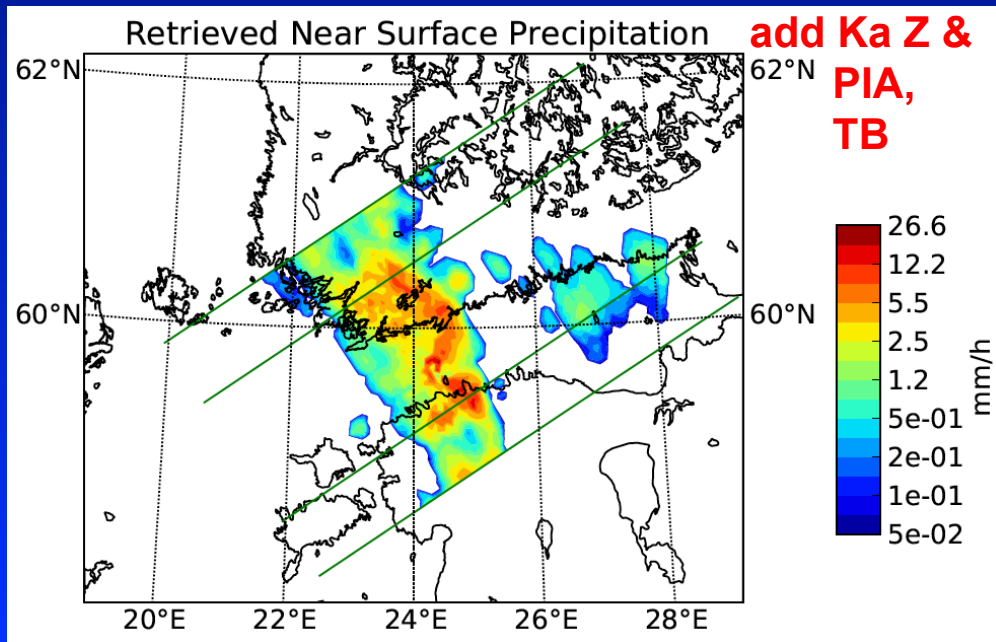
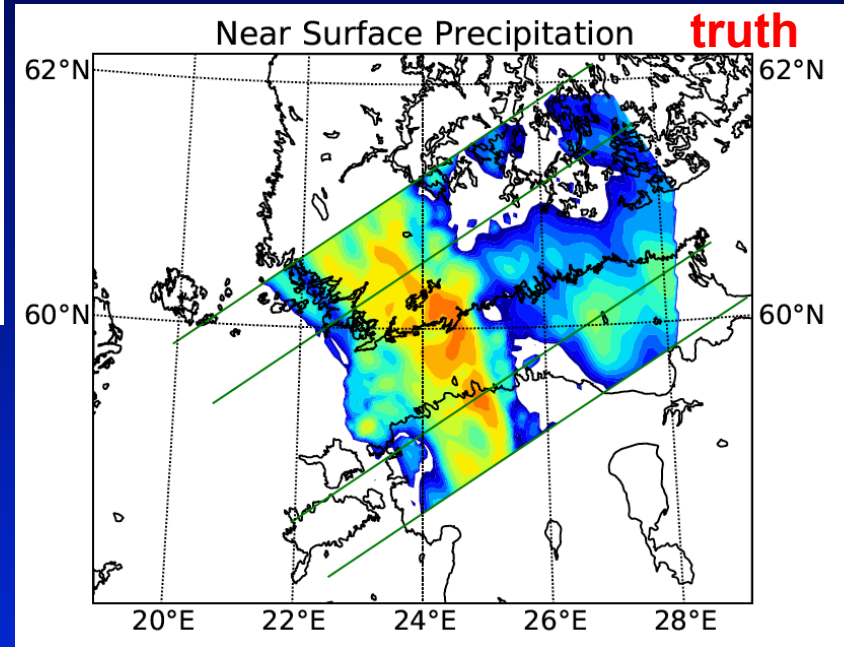
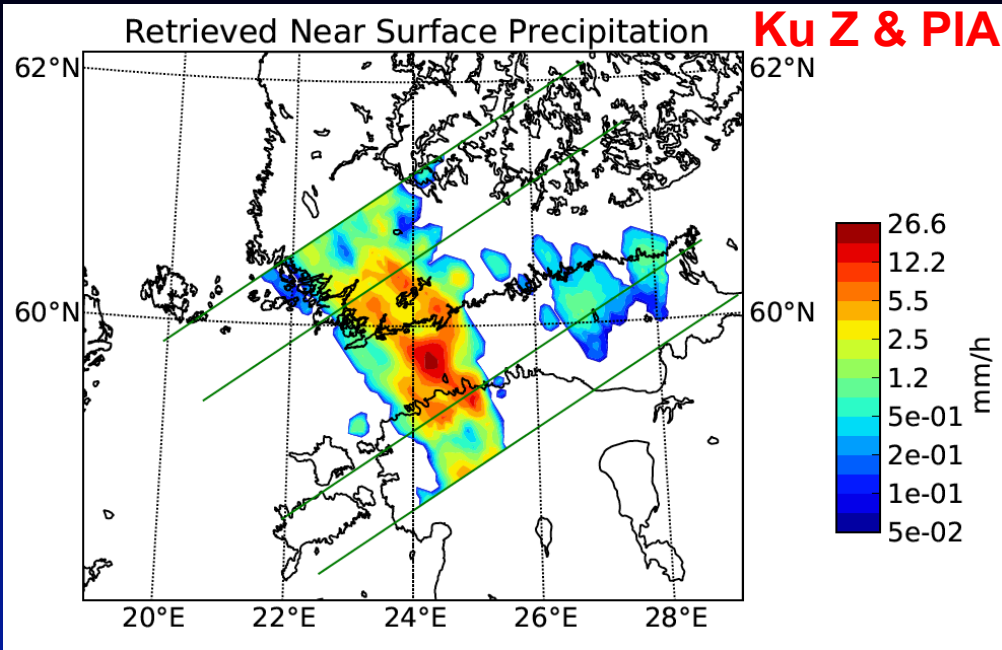


19 GHz Brightness Temp.



**Tests:** e.g., sensitivity to sensor/environment information, scattering assumptions, emissivity assumptions, PSD representation, beamfilling uncertainties.

# Test Plan Outline



# Test Plan Outline

## (4) Field Campaign Physics/Statistics Studies (ongoing)

**Data:** airborne radar Ku/Ka Z's, PIA's  
airborne microwave radiometer TB's  
*in situ* microphysics probe  
2D video disdrometer, polarimetric radar, profiler  
soundings

e.g., Wakasa Bay, LPVEx, MC3E, GCPEX data.

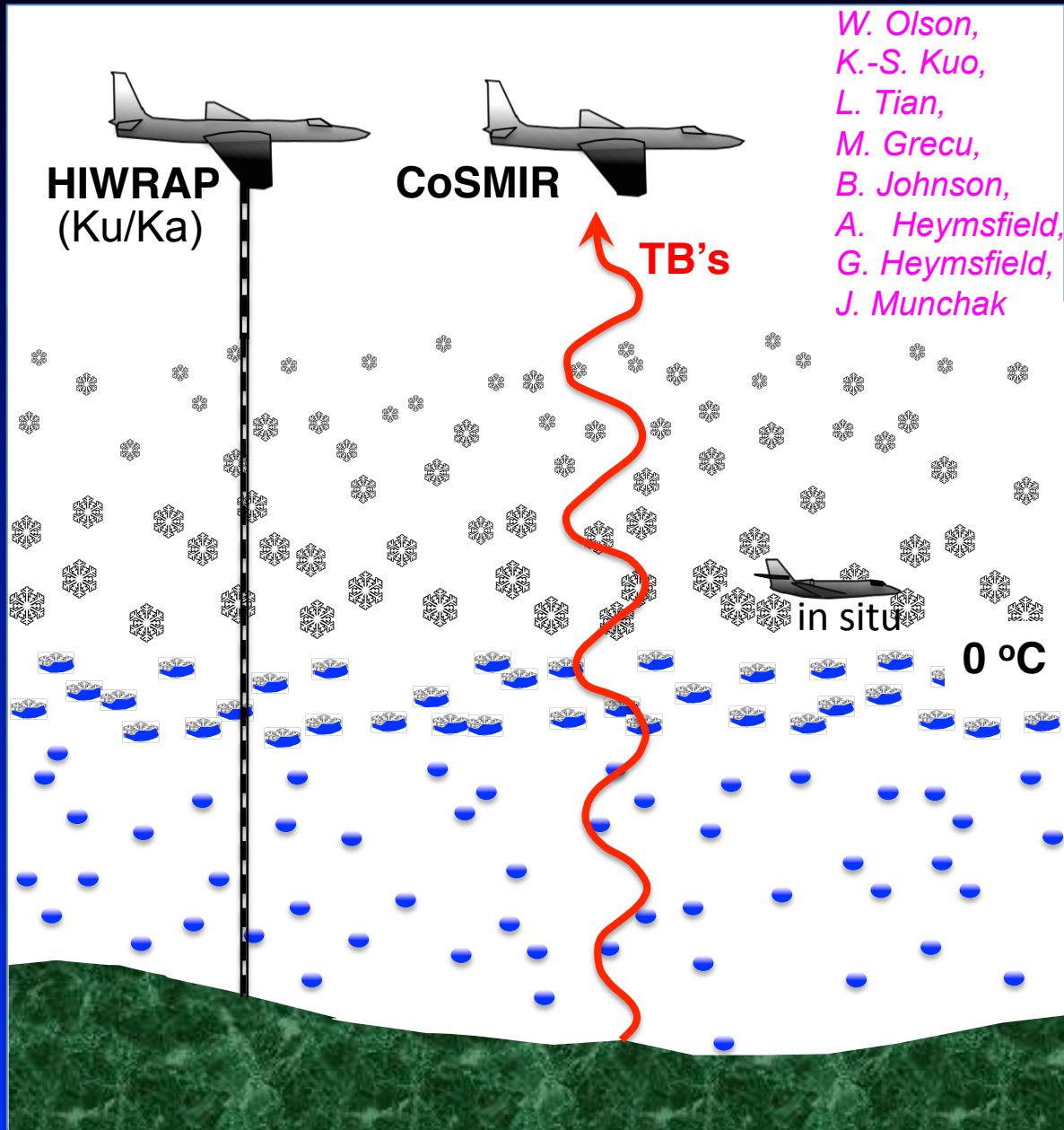
**Tests:** e.g., test consistency of physical models with  
simultaneous observations using algorithm  
framework; update "scattering tables".

e.g., measurement of precipitation size distribution  
parameter properties; update "scattering tables".

# Evaluating Snow Physics Using HIWRAP and CoSMIR in MC3E

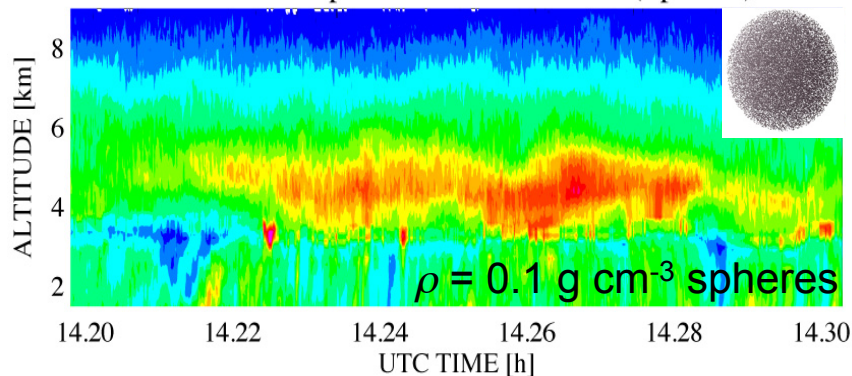
- Assign scattering model.
- Retrieve precip profile (PSD's) using HIWRAP.
- Compute consistent microwave scattering properties in profile.
- Simulate upwelling brightness temperatures at 89, 165.5 GHz.
- Compare to CoSMIR obs.

Note: brightness temps aren't sensitive to variations of surface emission and liquid precip if light rain is present => **scattering signatures discriminate snow particle models.**

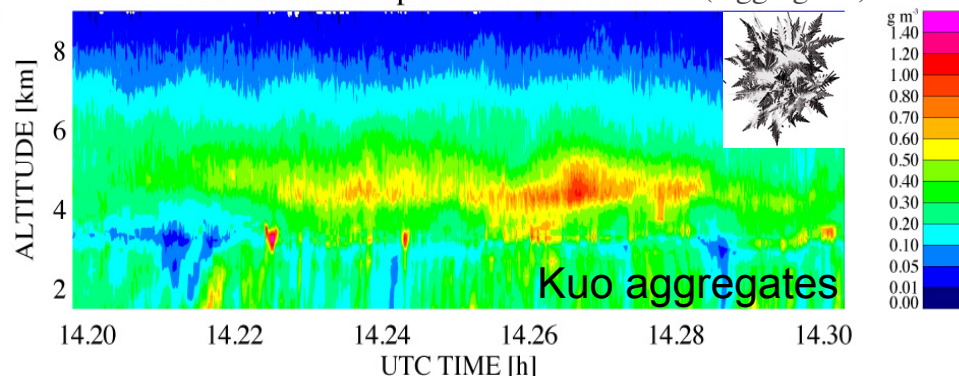


# Radar Retrieval and Simulation of TB's Using Spherical/Aggregate Ice

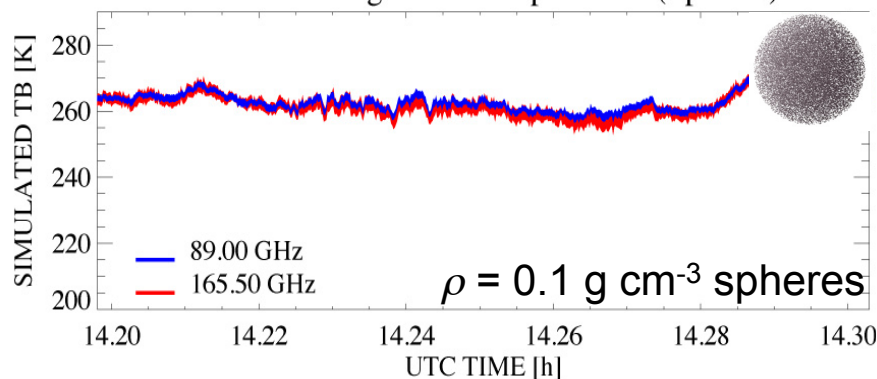
## Estimated Precipitation Water Content (Spheres)



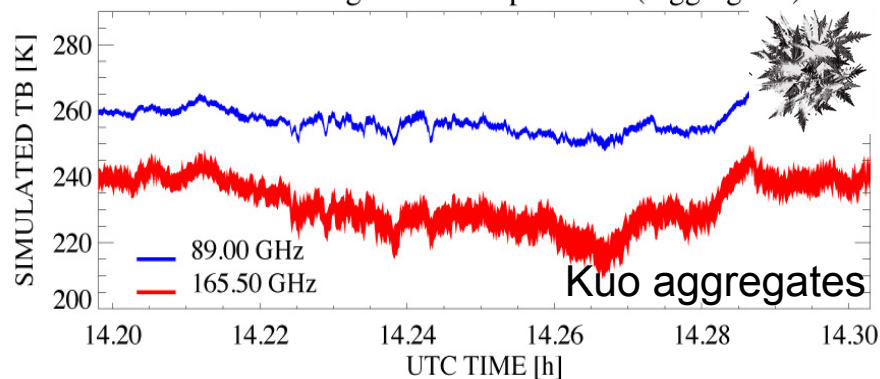
## Estimated Precipitation Water Content (Aggregates)



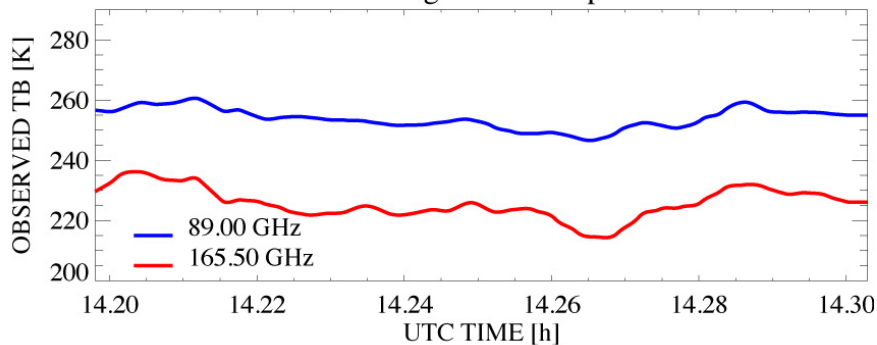
## Simulated Brightness Temperatures (Spheres)



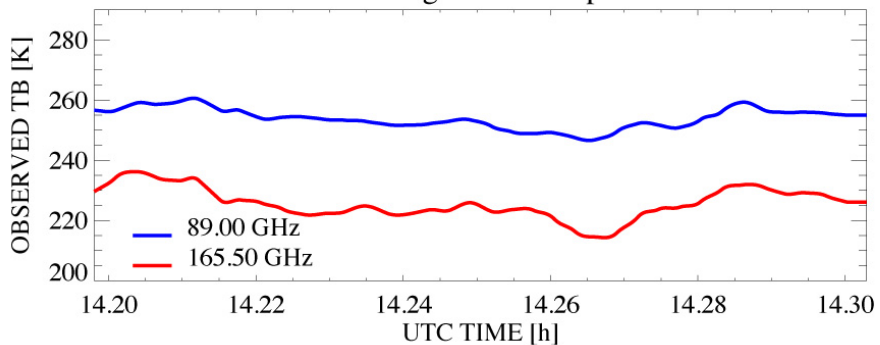
## Simulated Brightness Temperatures (Aggregates)



## CoSMIR Brightness Temperatures

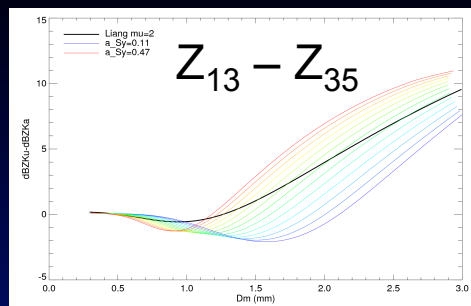


## CoSMIR Brightness Temperatures



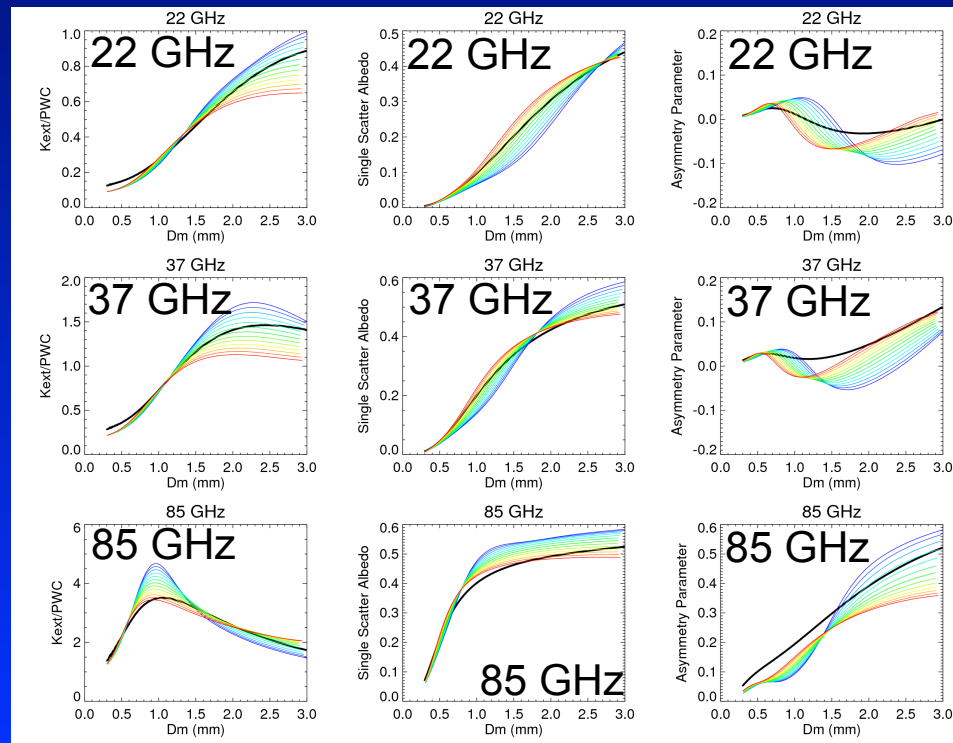
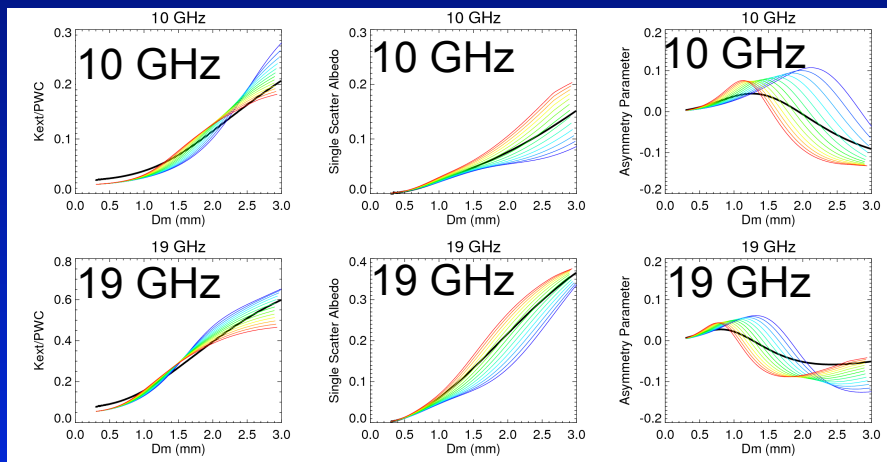
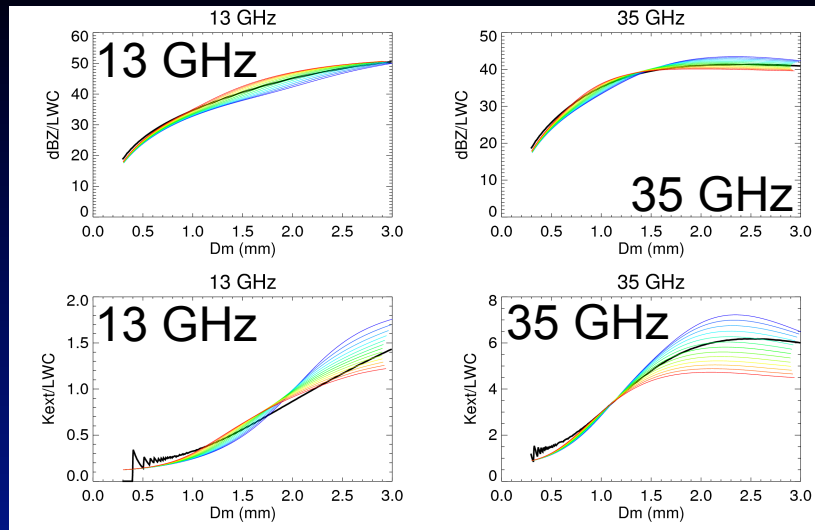
# Precipitation Size Distribution $\mu$ - $D_m$ Relations in Tables

- for DPR:



*DSD group,  
Ziad Haddad,  
Joe Munchak*

- for radiometer:



$\mu = 2$  (black line)

various values of  $\sigma_y$  within  
3 standard deviations (colors)



# How do we get more impact from GMI brightness temps?

- Generally, to enhance impact of TB's, need more specific information on  $N_w$ , RH, cloud water, land surface emissivity.



*a priori assumptions*

- For example, if these parameters can be shown to be spatially



- How can we determine statistical properties of the parameters?

- ➡ field campaign data as guide, e.g., PSD's from dual-pol, profiler.
- ➡ post launch algorithm "bootstrapping", e.g.,  $N_w$ .

- Same principle can be applied to constrain PSD parameters in outer swath using statistics derived from accurate inner swath PSD's.

## At Launch Code for Sept. 2013

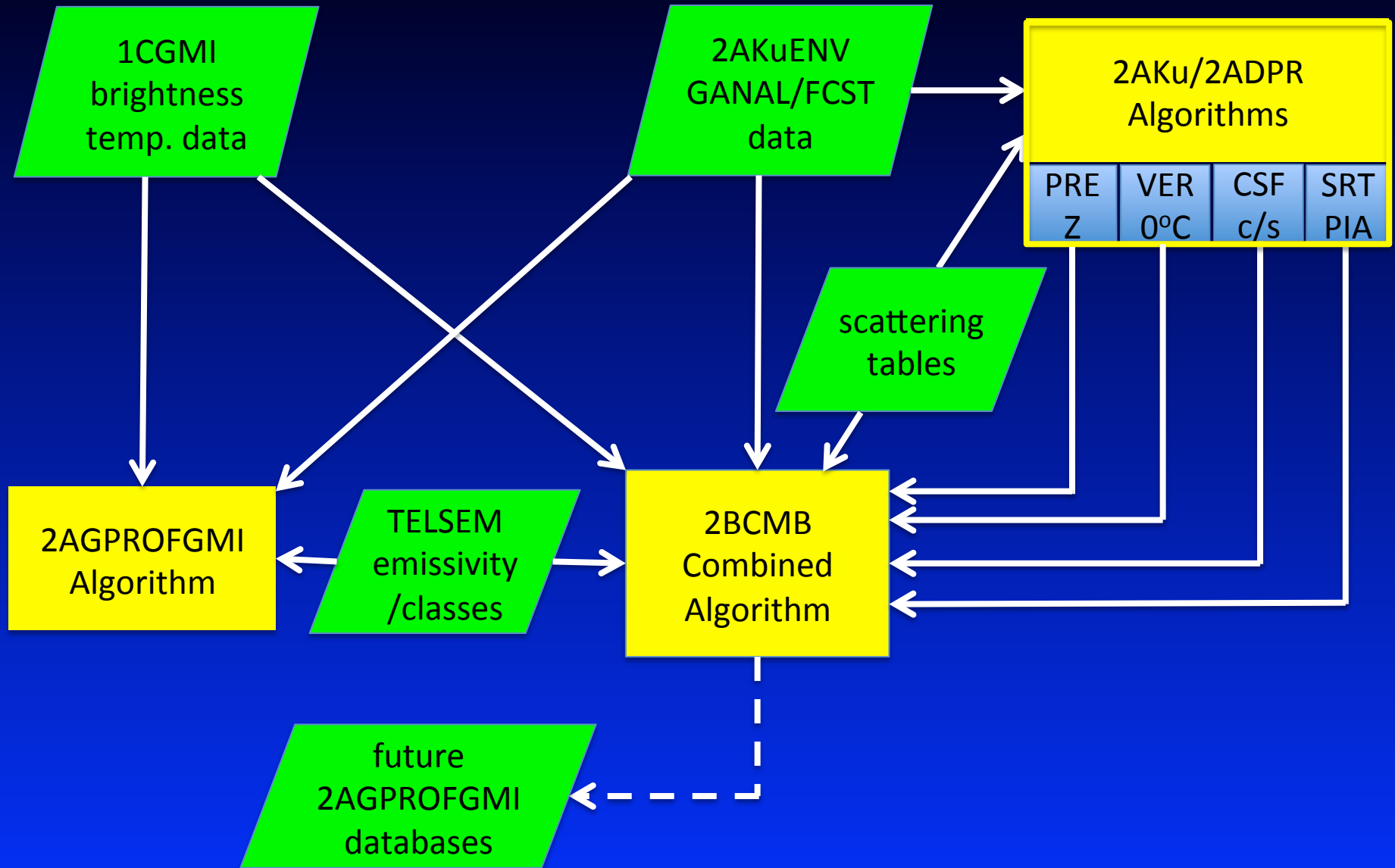
- Ensure compatibility with DPR L2 PRE, VER, CSF, and SRT inputs.
- Include GMI high-frequency data; snow scattering tables.
- Select final precipitation scattering tables;  $D_m$  vs.  $\mu$  constraint.
- Generalize *a priori* atmospheric environmental state → higher lats.
- Select final land emissivity representation; interchannel covariances.
- Test of full satellite algorithm, including TRMM- and CRM-generated synthetic data.

**Extras**

## Synopsis

- Revised “At-Launch” algorithm, and ATBD, for PPS will be produced this month (March 2013).
- On track to deliver final At-Launch algorithm by Sept. 2013.
- Primary activity in 2013 will be the testing of different options within the algorithm architecture that has been established.
- To optimize impact of all GPM channels, need to ensure physical parameterizations and statistics of initial ensembles are realistic.  
=> continued FC studies & 1-D testing; algorithm bootstrapping.

# Combined Algorithm Links to Other Algorithms/Datasets



# Precipitation Size Distribution Statistical Properties

- DPR yields 2 reflectivities per gate. Therefore seek 2 precipitation PSD params.

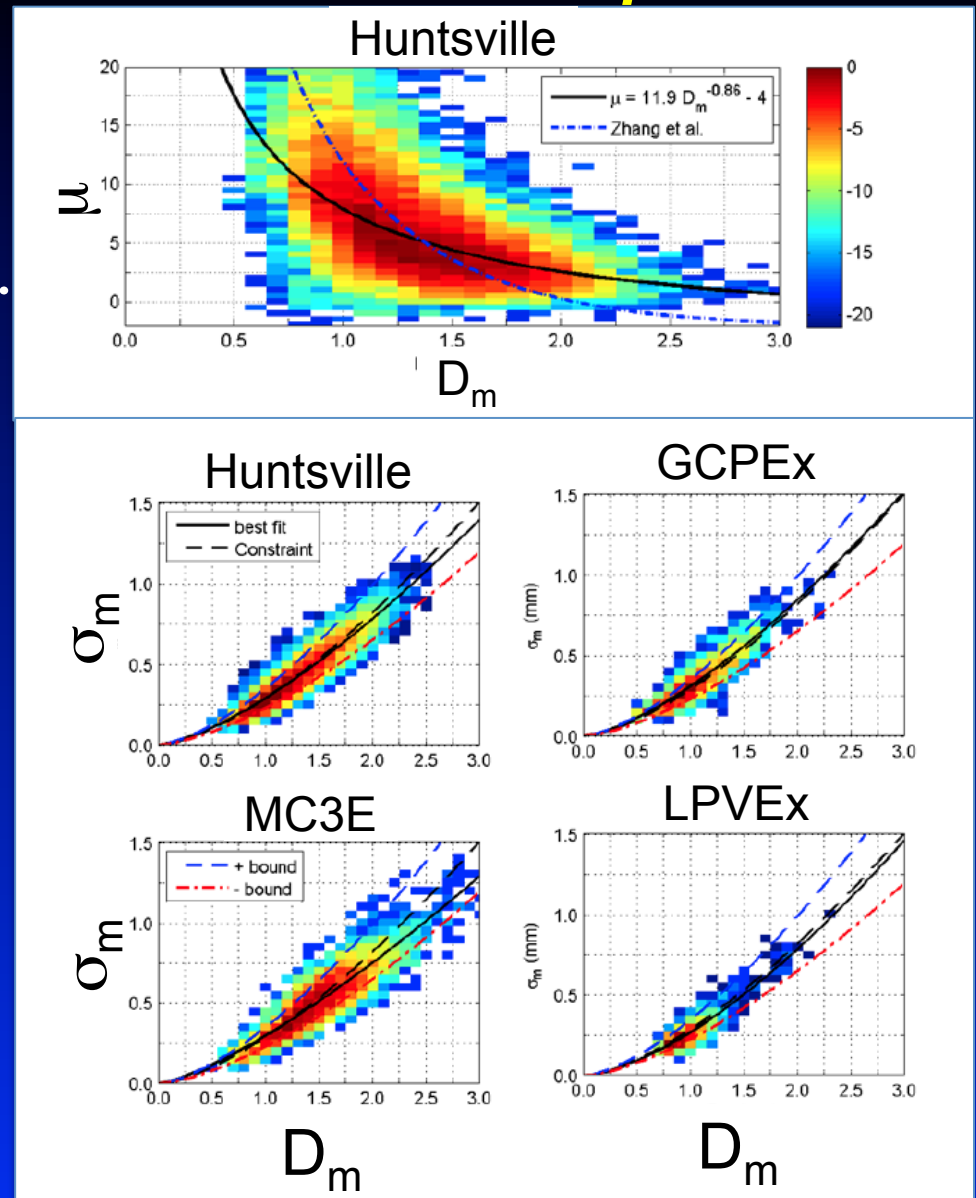
- Usually  $\mu$  is fixed and  $N_w$ ,  $D_m$  retrieved, but  $\mu$  is anticorrelated with  $D_m$ !

- However, field campaigns show:

$$\sigma_m = \sigma_y D_m^{1.42}$$

- Combine with:

$$\sigma_m^2 = D_m^2 / (4 + \mu)$$



to obtain  $D_m$  vs  $\mu$  relation in scattering tables.

# Algorithm "Concept" --- Ensemble (Kalman) Filter

## Input

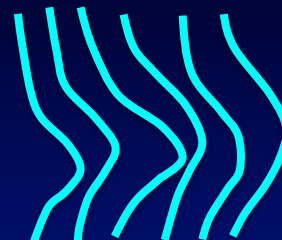


observed Ku  
reflectivity  
profile

+

assumed random  
water vapor,  
cloud water,  
 $\mu$ ,  $N_w$  profiles

invert  $D_o$   
profiles

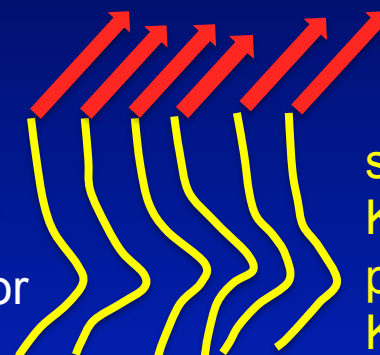


precip.  
water  
content  
profiles

+

assumed random  
surface emissivity

simulator



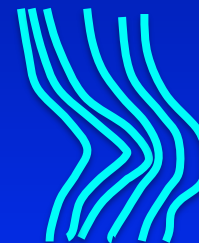
simulated  
TB's

simulated  
Ka reflectivity  
profiles,  
Ku/Ka PIA's

observed  
PIA at Ku-band  
(from SRT)



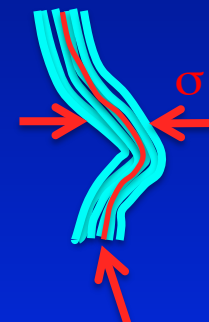
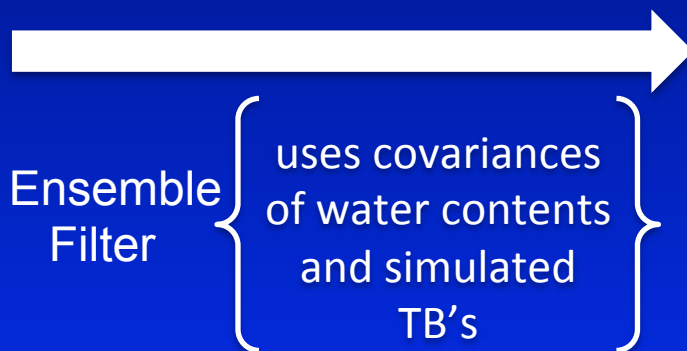
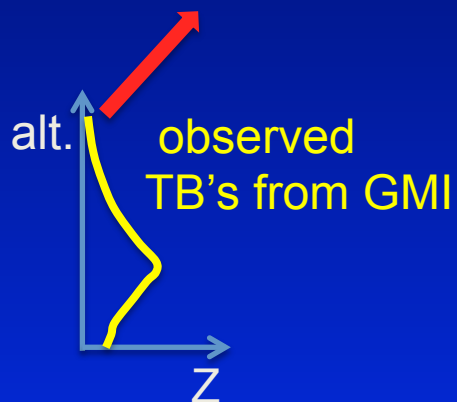
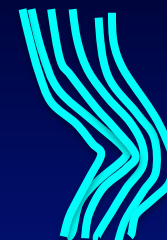
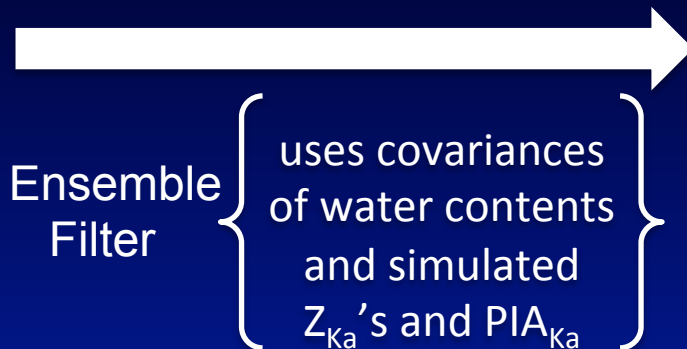
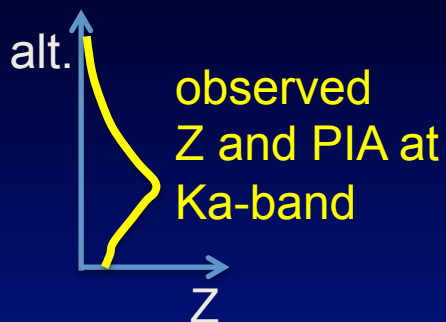
Ensemble  
Filter { uses covariances  
of water contents  
and simulated  
 $PIA_{Ku}$  }



filtered  
precip.  
water  
content  
profiles

# Algorithm "Concept" --- Ensemble (Kalman) Filter

Input

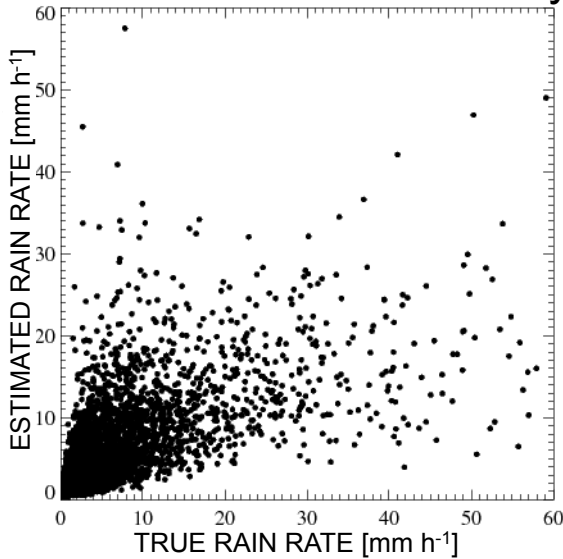




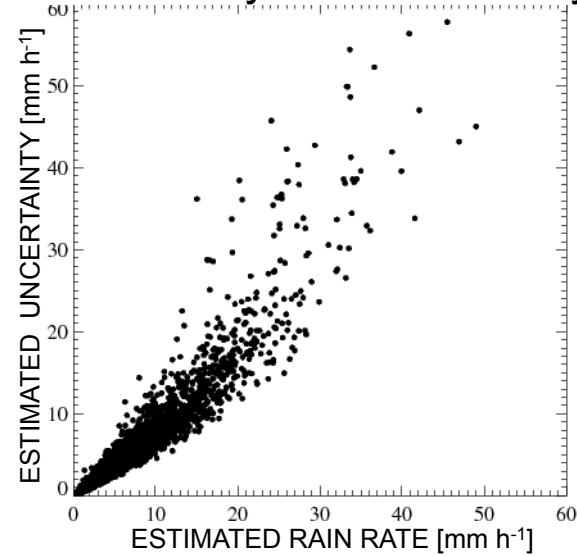
# Test Plan Outline

Based on TRMM Orbit 77612 – Footprint Scale

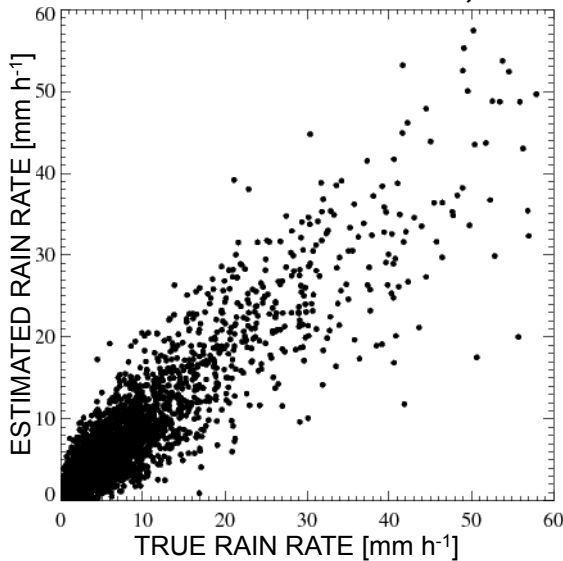
### Estimates from Ku Z only



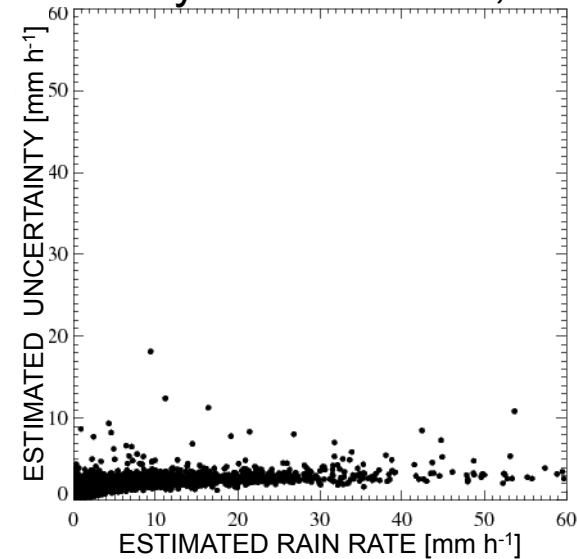
### Uncertainty from Ku Z only



### Estimates from Ku/Ka Z, PIA, TB

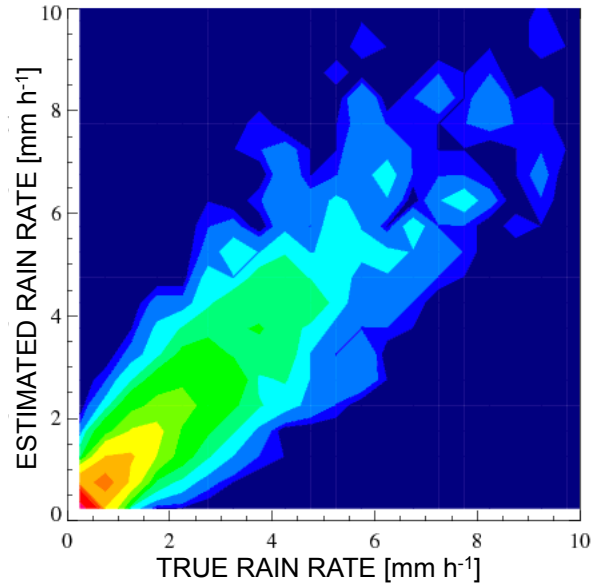


### Uncertainty from Ku/Ka Z, PIA, TB

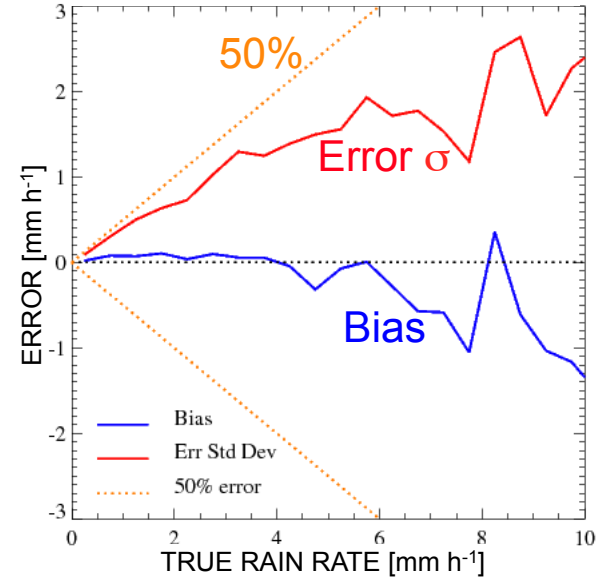


# Test Plan Outline - 1 week of TRMM land data; 50 km res.

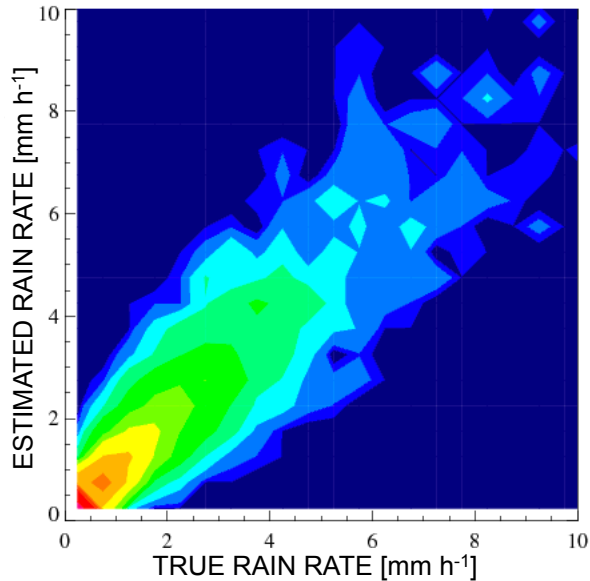
## Estimates from Ku Z & PIA



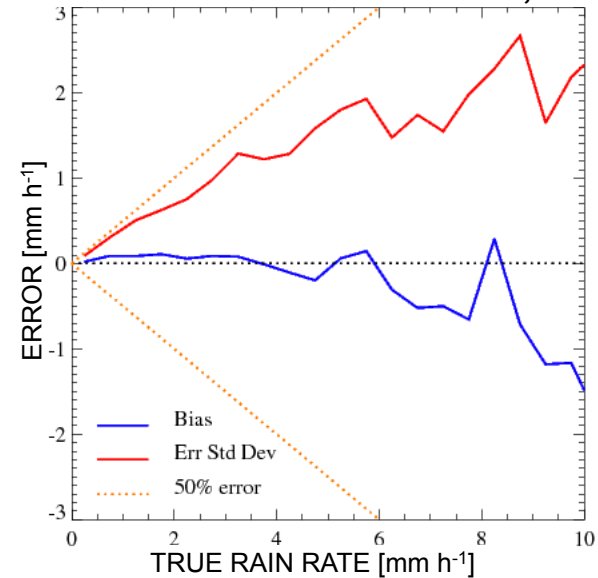
## Error from Ku Z & PIA



## Estimates from Ku Z & PIA, TB

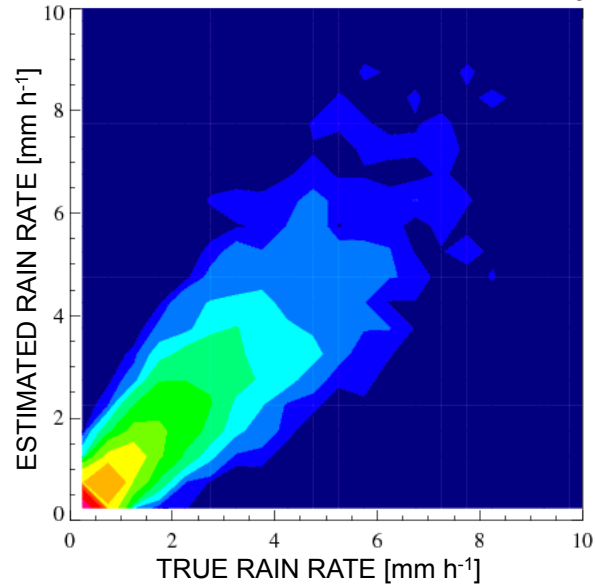


## Error from Ku Z & PIA, TB

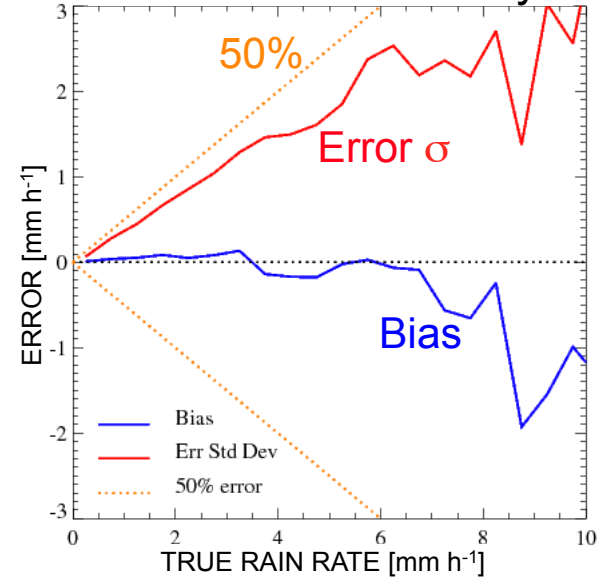


# Test Plan Outline - 1 week of TRMM ocean data; 50 km res.

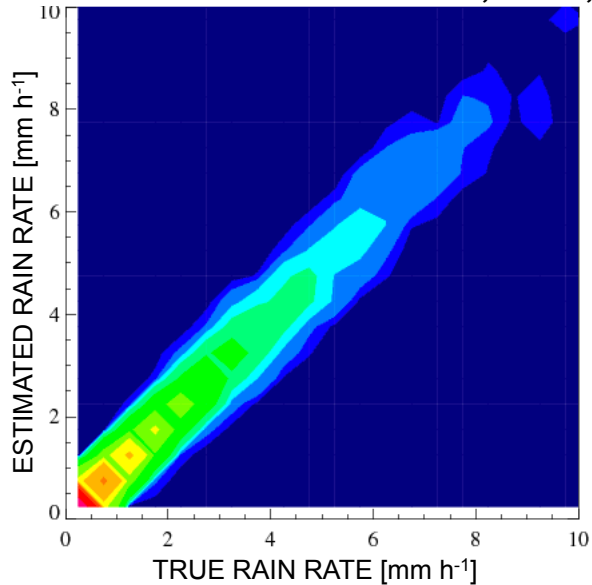
## Estimates from Ku Z only



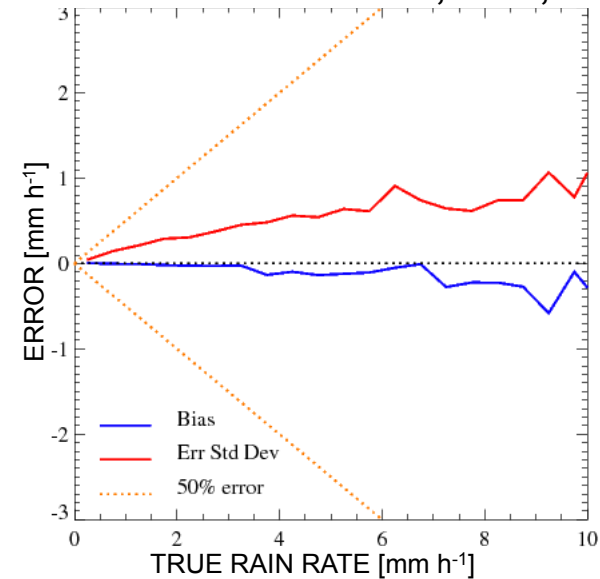
## Error from Ku Z only



## Estimates from Ku/Ka Z, PIA, TB

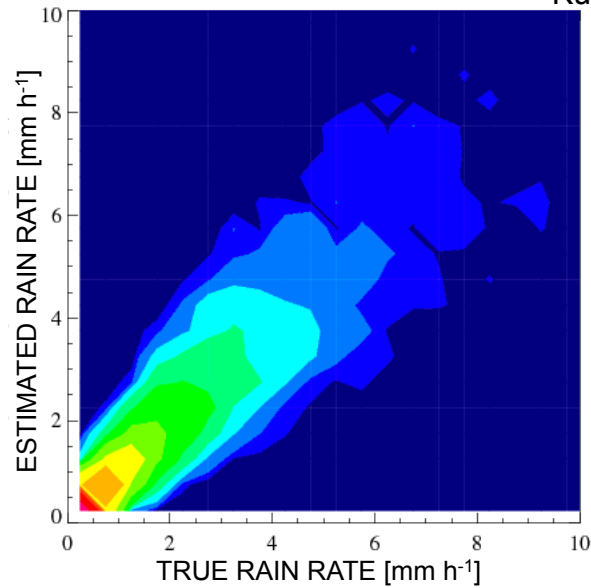


## Error from Ku/Ka Z, PIA, TB

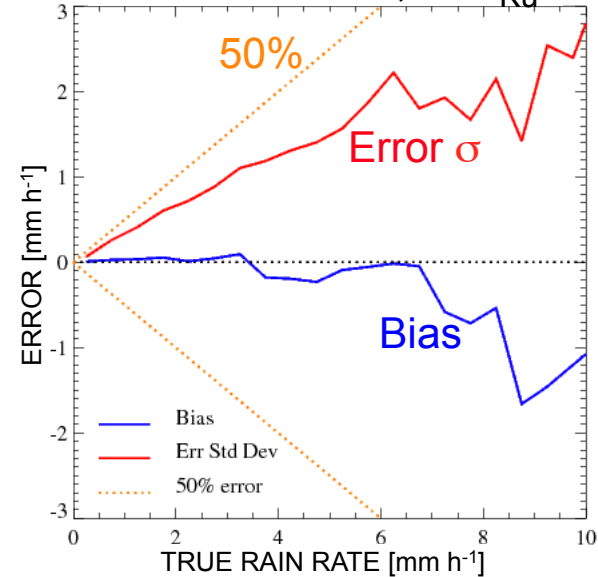


# Test Plan Outline - 1 week of TRMM ocean data; 50 km res.

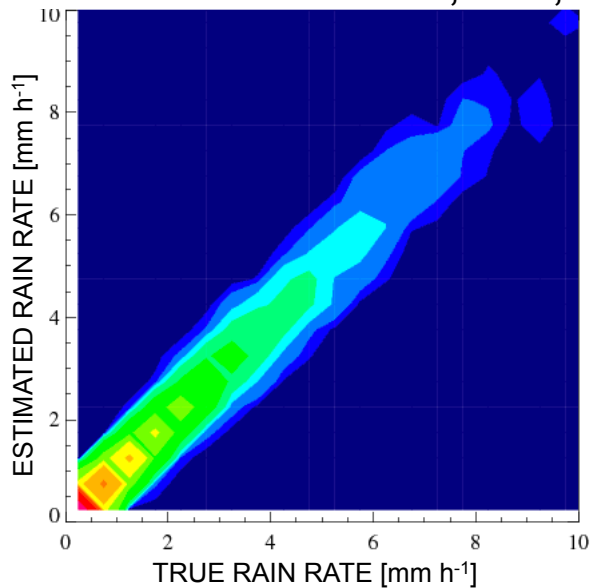
## Estimates from Ku, PIA<sub>Ku</sub>



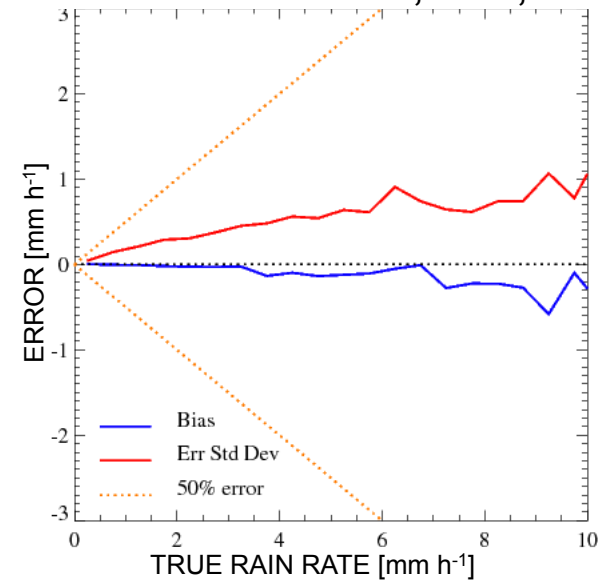
## Error from Ku, PIA<sub>Ku</sub>



## Estimates from Ku/Ka, PIA, TB

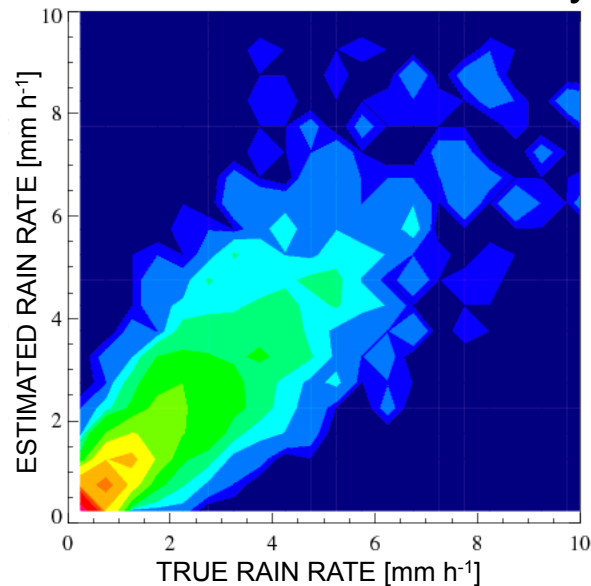


## Error from Ku/Ka, PIA, TB

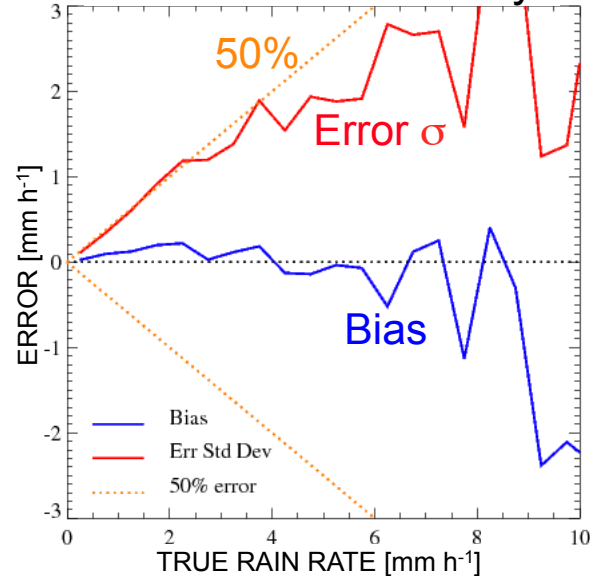


# Test Plan Outline - 1 week of TRMM land data; 50 km res.

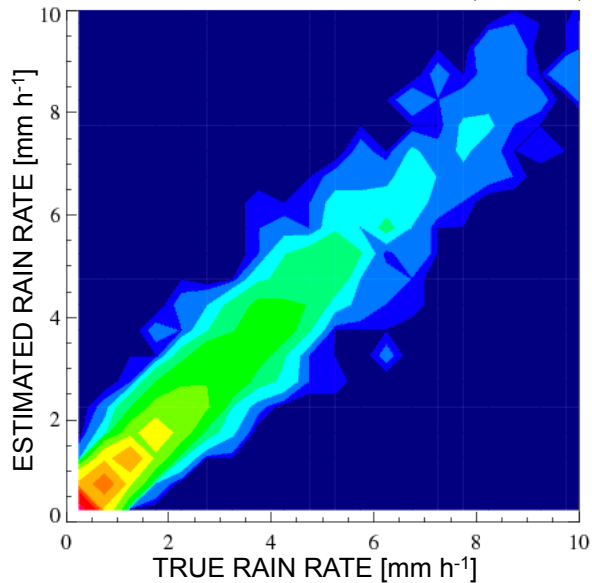
## Estimates from Ku Z only



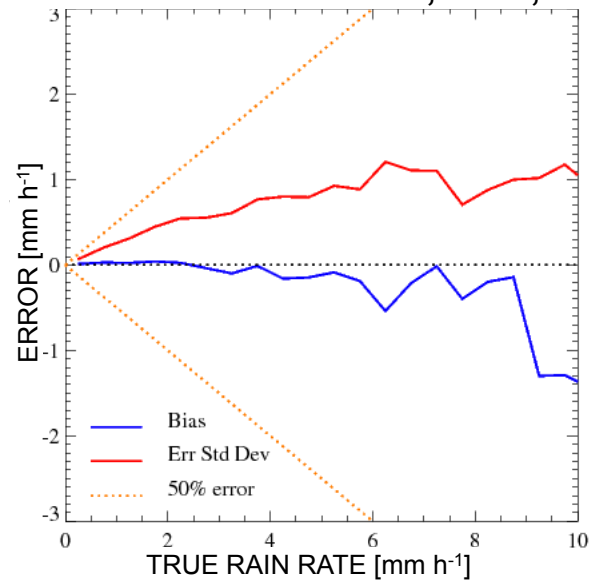
## Error from Ku Z only



## Estimates from Ku/Ka Z, PIA, TB

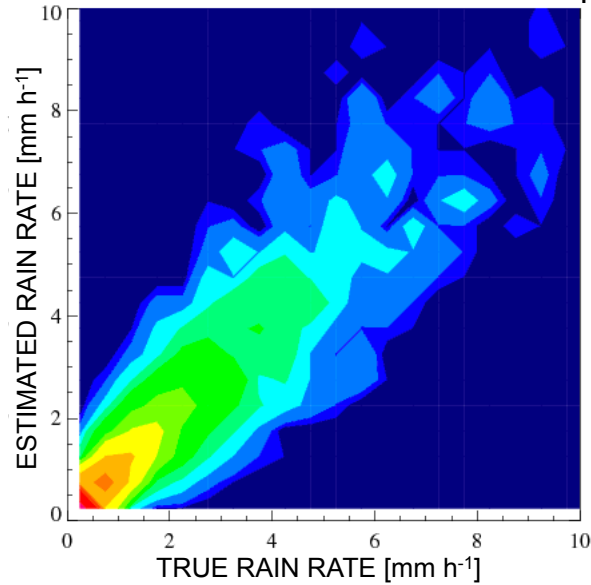


## Error from Ku/Ka Z, PIA, TB

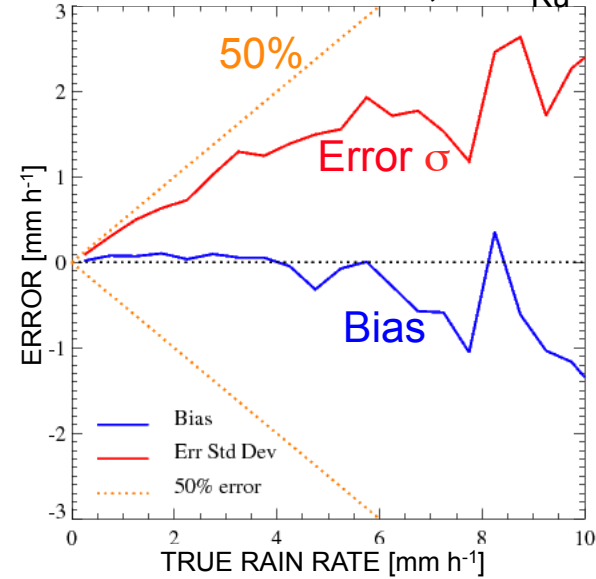


# Test Plan Outline - 1 week of TRMM land data; 50 km res.

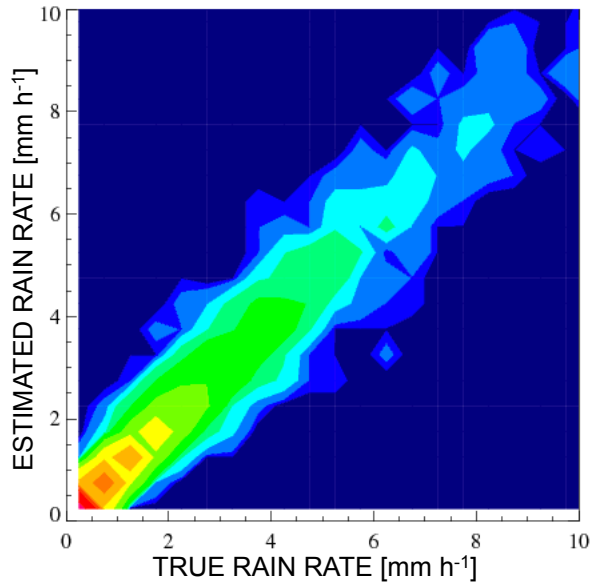
## Estimates from Ku Z, PIA<sub>Ku</sub>



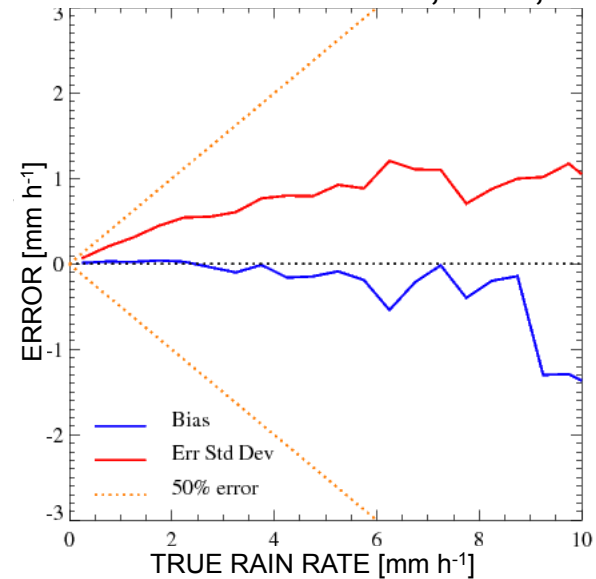
## Error from Ku Z, PIA<sub>Ku</sub>



## Estimates from Ku/Ka Z, PIA, TB

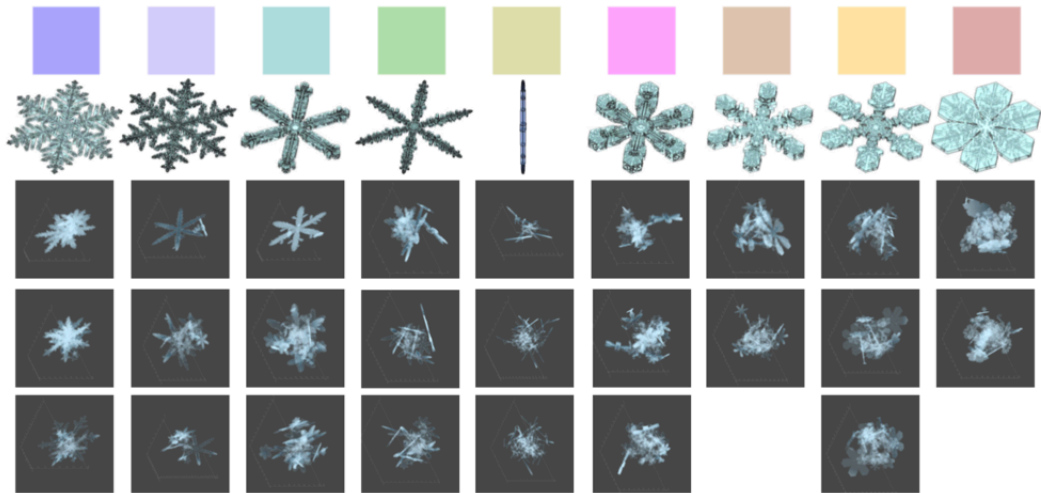


## Error from Ku/Ka Z, PIA, TB

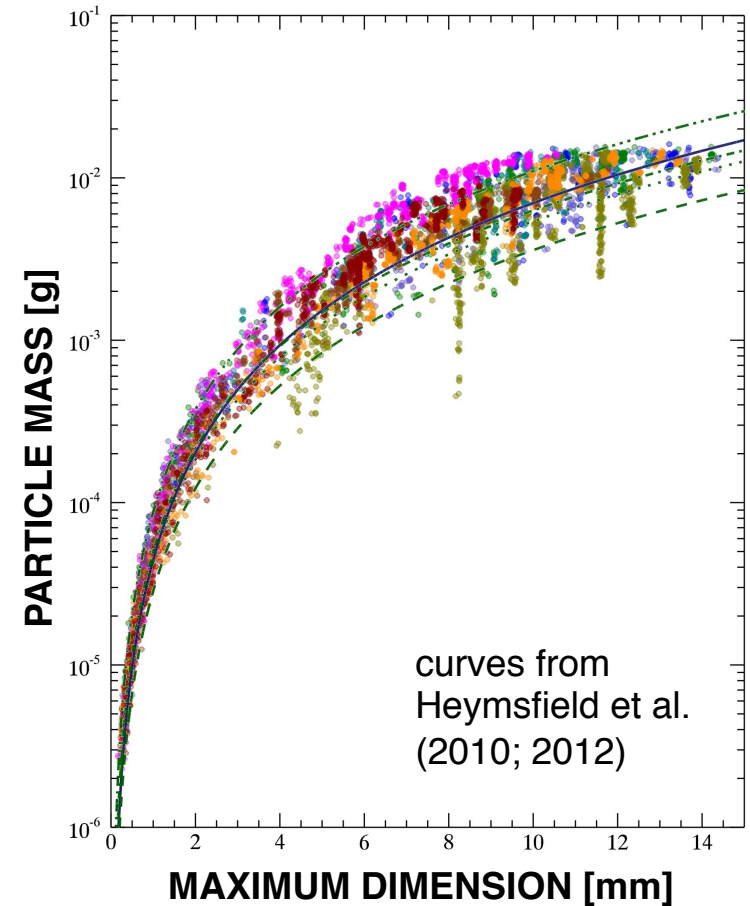


# Simulation of Ice-Phase Precipitation in Stratiform Regions

## Simulated Aggregates



## Mass vs. Size



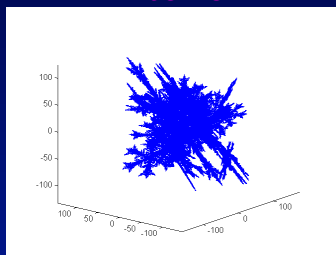
- Note that aggregates are composed of only one pristine crystal type, indicated by the colors.
- Mass vs. size fairly consistent with airborne *in situ* observations.

# Single-Scattering Calculations for Spherical and Aggregate Ice Particles

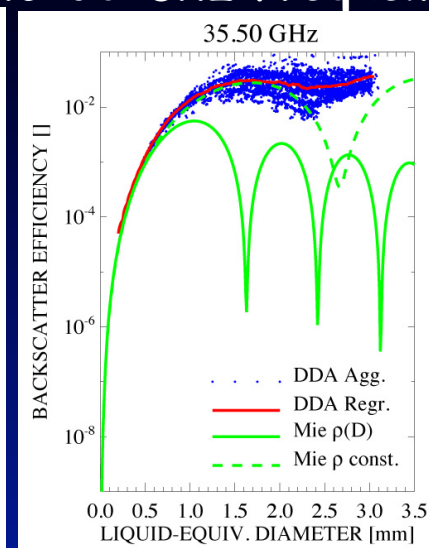
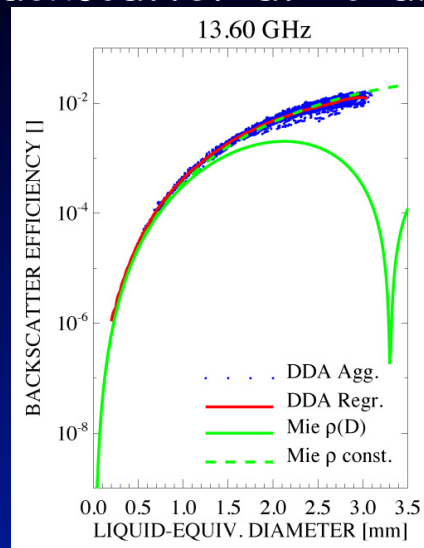
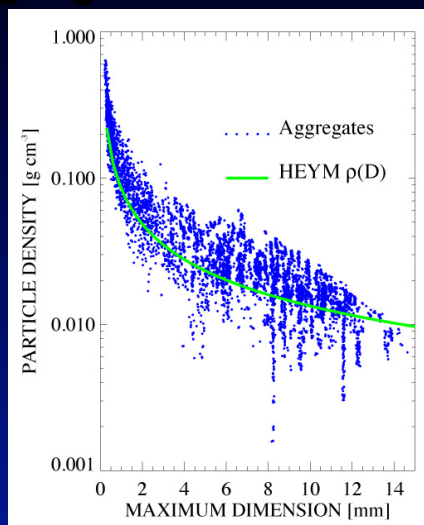
Simulated Aggregate Particles



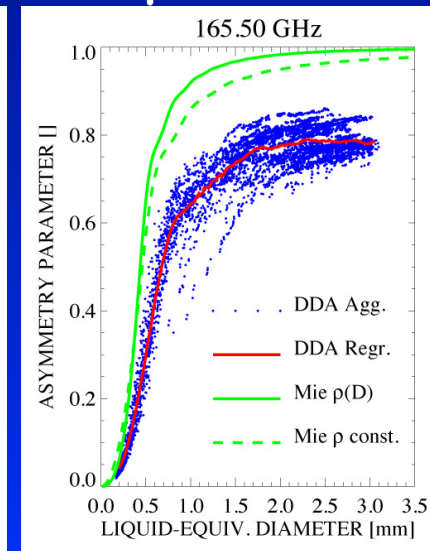
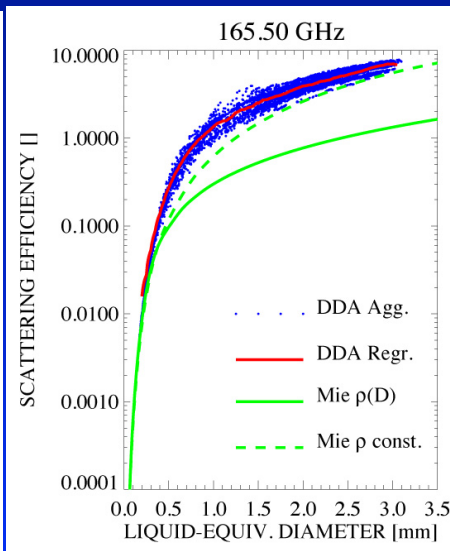
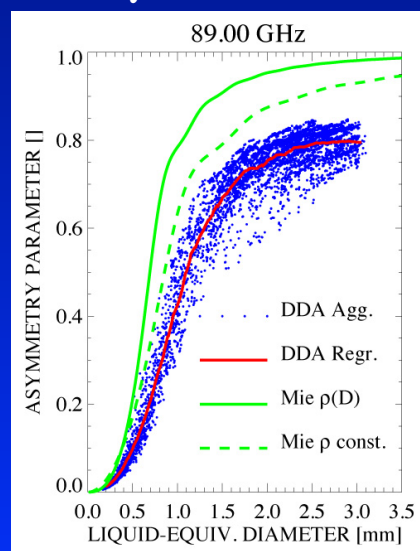
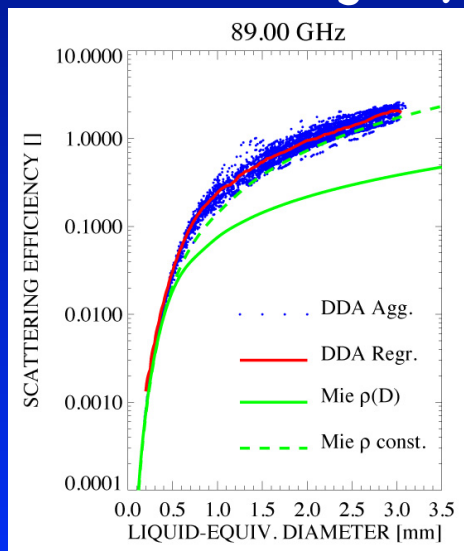
McGill Univ.



Backscatter at 13 and 35 GHz Frequencies



## Scattering/Asymmetry at 89 GHz and 165 GHz Channel Frequencies

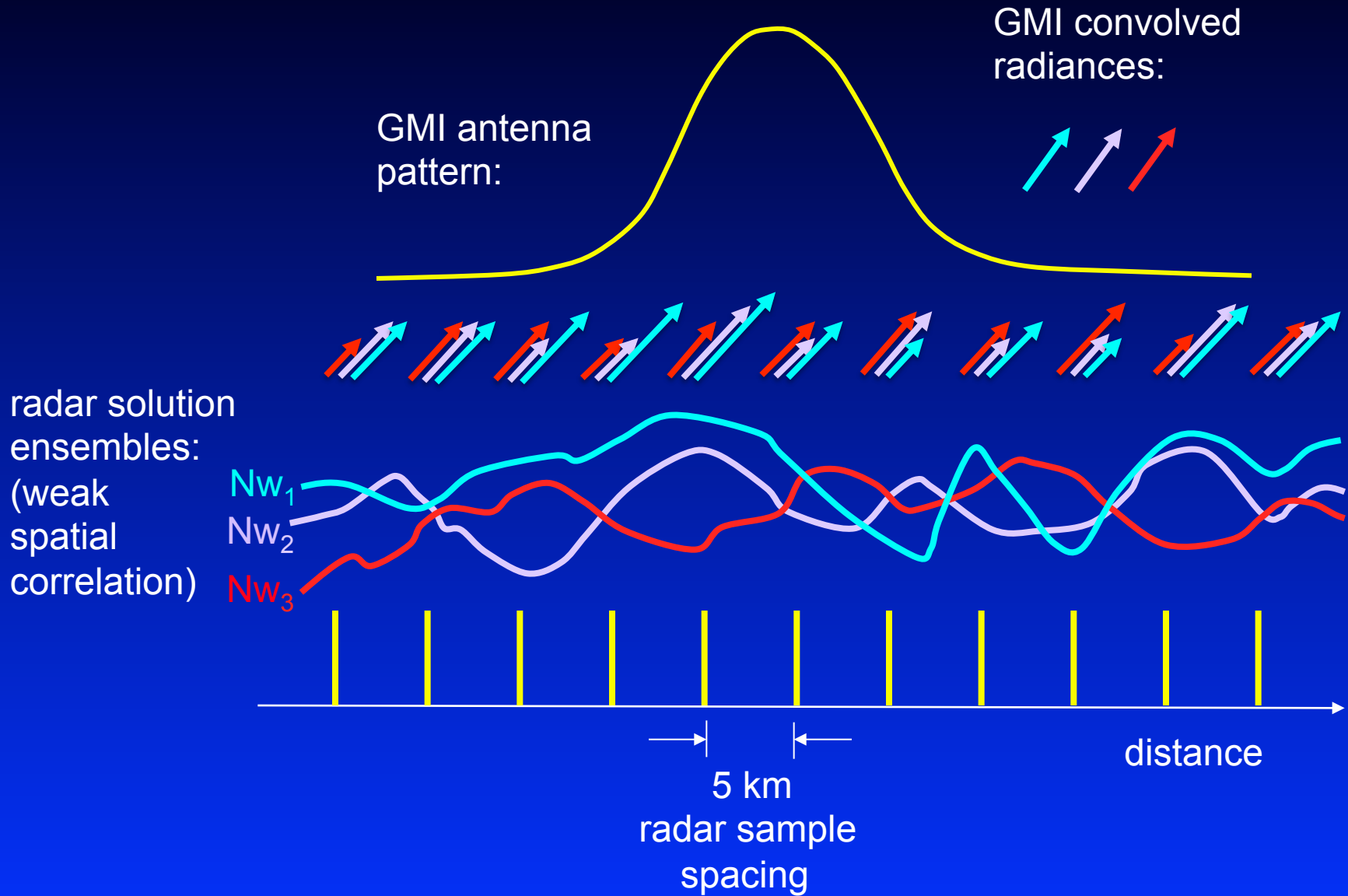


These sphere/aggregate calculations are introduced into dual- $\lambda$  radar algorithm

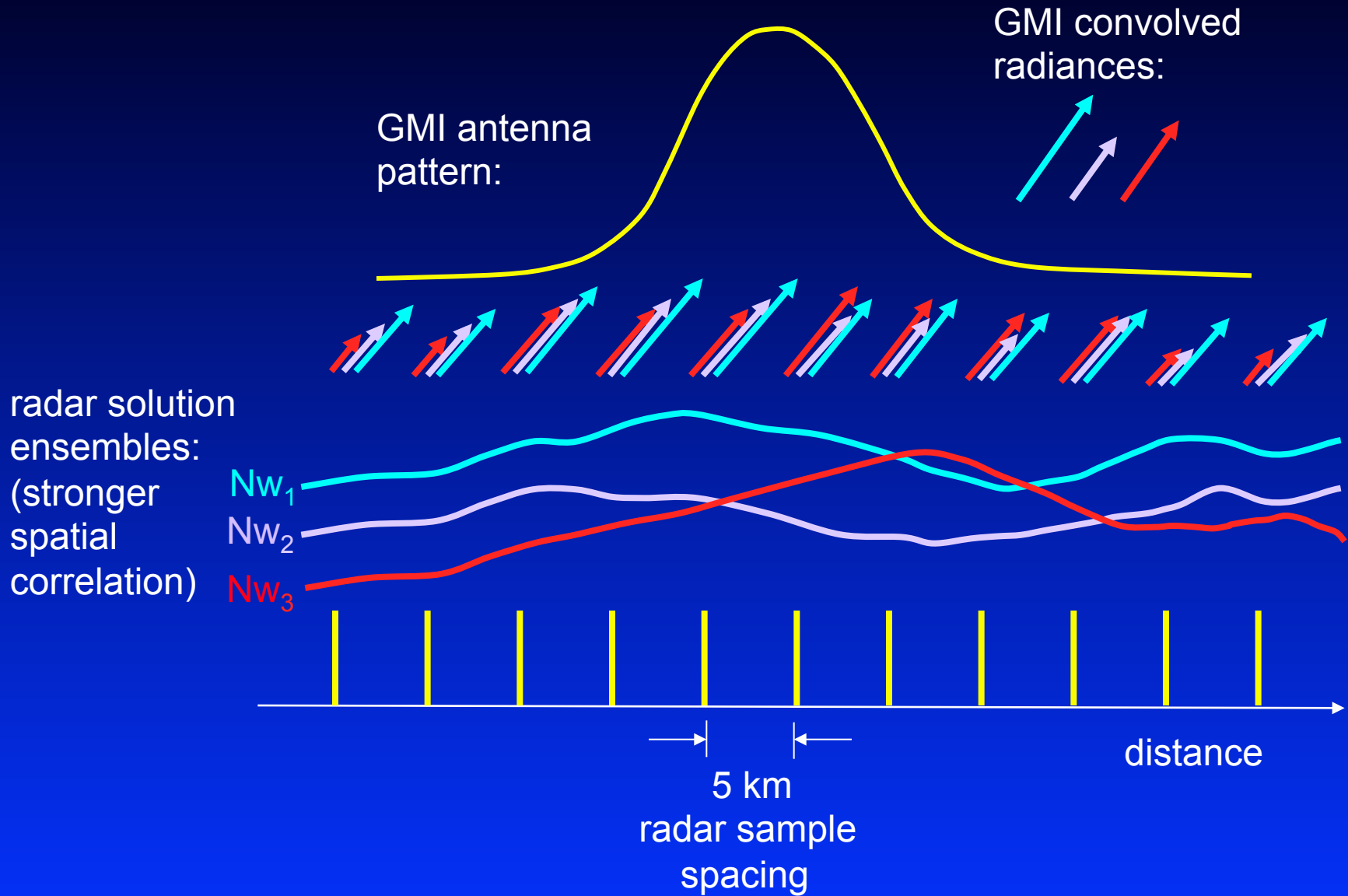




# Algorithm Theoretical Basis



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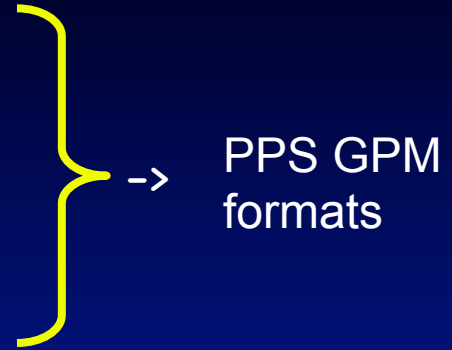


## Test Plan Outline

### Pre-Launch "Validation" Studies (beginning winter 2012)

- Data: TRMM Observations

CRM-generated GPM Observations  
(e.g., tropical MCS, midlatitude squall line,  
synoptic-scale snow, lake effect snow,  
high-latitude shallow stratiform).



- Tests: compatibility with PPS  
fitting of physical model to data.  
retrieved parameters within realistic ranges?  
is attenuation correction of Z data reasonable?  
how well are rain rates and DSD's estimated?  
(data sensitivity, e.g. Ku vs. Ku + Ka, ancillary data source.  
state sensitivity, e.g. land vs. ocean, high vs. low latitude.)
- TRMM Validation: Primary Validation Sites (Kwajalein, Melbourne)  
GPM Validation Network (VN Z's and NMQ rain rates)

### Post-Launch Validation Studies (beginning 2014)

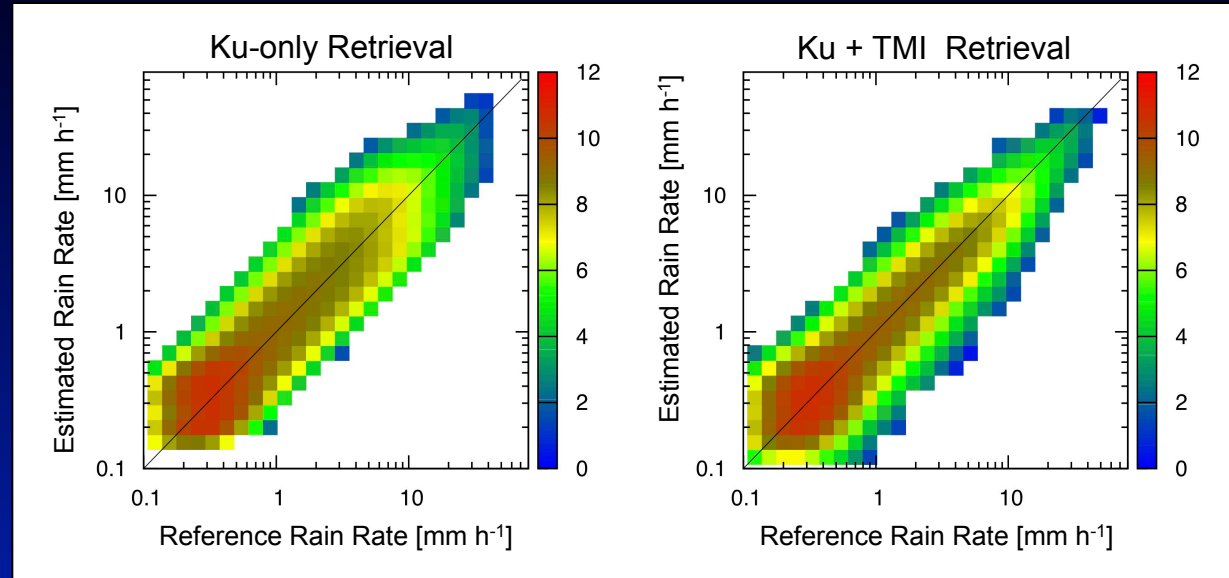
- Data: GPM  $Z_{Ku}$ ,  $Z_{Ka}$ , PIA's, TB's.
- Tests/Validation: see above; extend to GV in other regimes.

# Testing - Application to Simulated TRMM Data

## TMI simulated from Ku

Ku-only 5% bias; 35% rms

Ku+TMI -2% bias; 15% rms

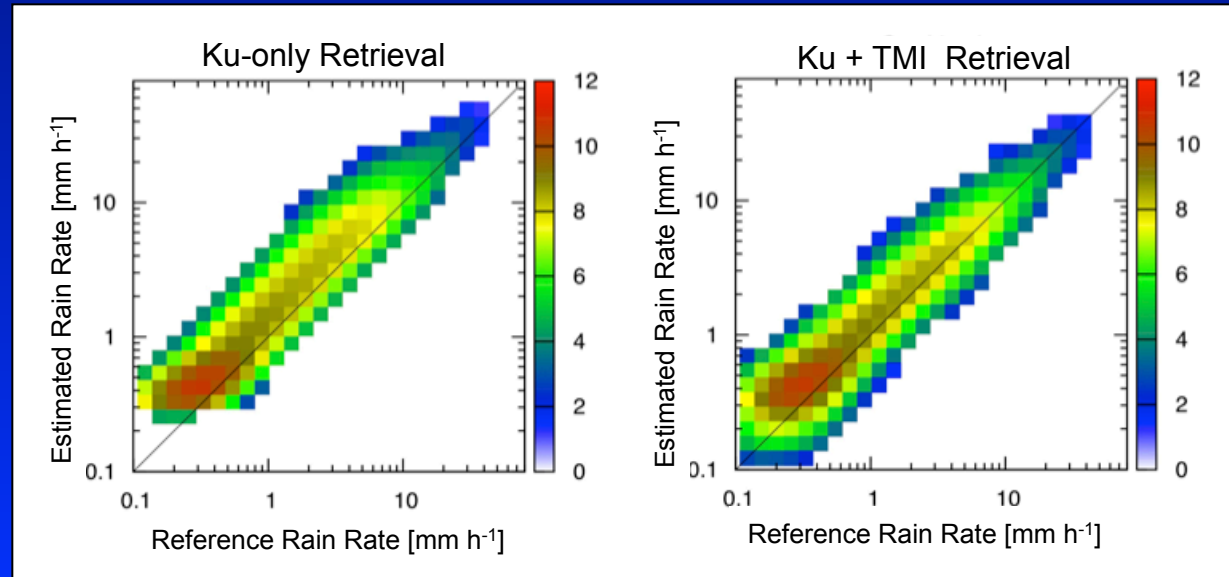


## w/positive bias in

## initial $N_w$

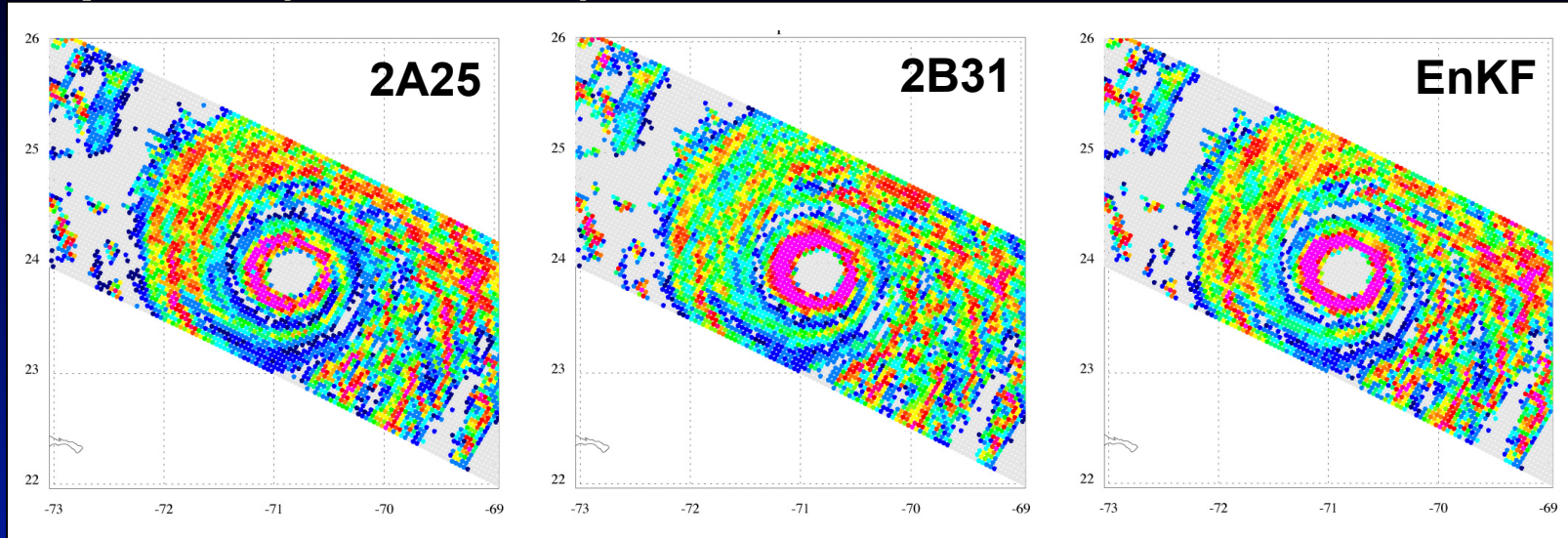
Ku-only 43% bias; 41% rms

Ku+TMI 18% bias; 14% rms

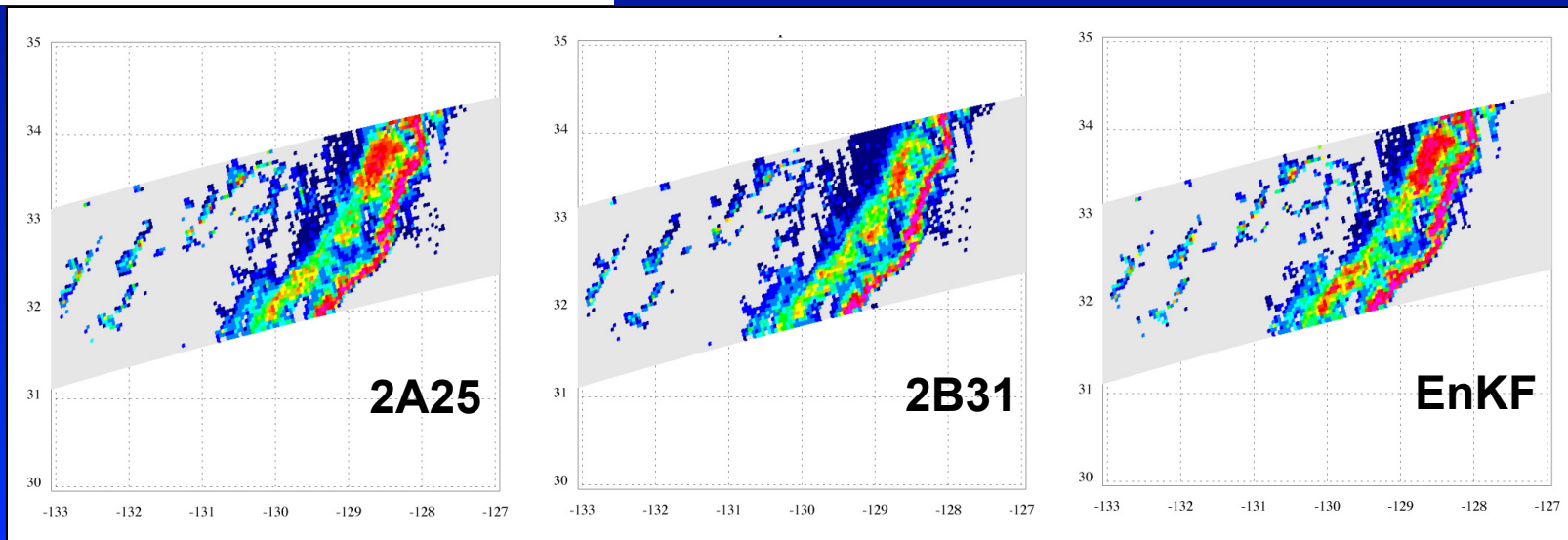


# Testing - Application to TRMM Data

## Tropical Cyclone Floyd

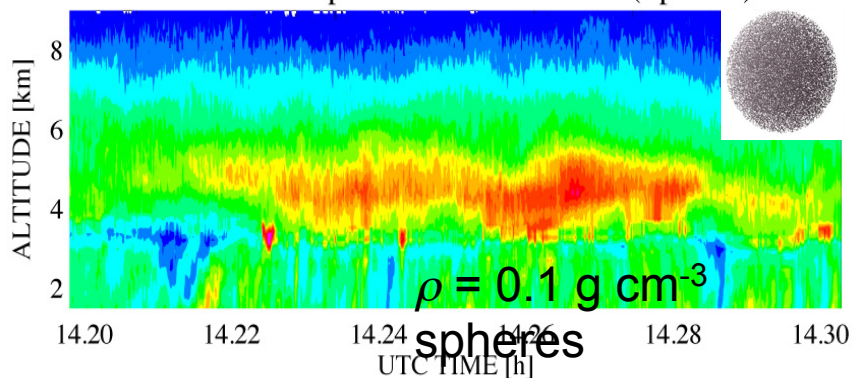


## Pacific Winter Storm

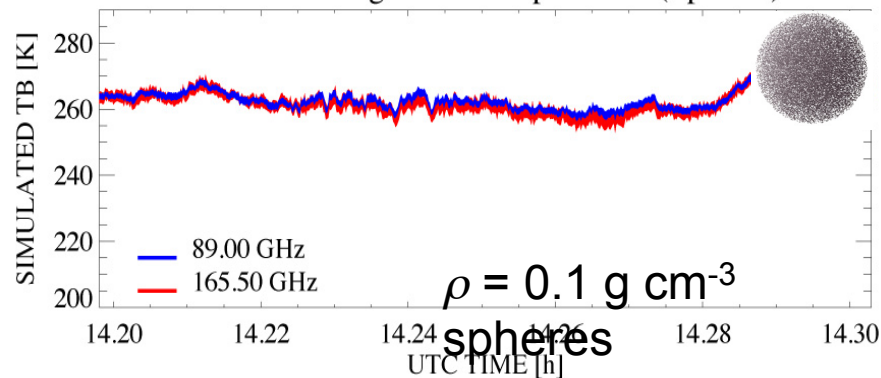


# Sensitivity of Sphere-Based Retrievals/Simulations to Ice Density

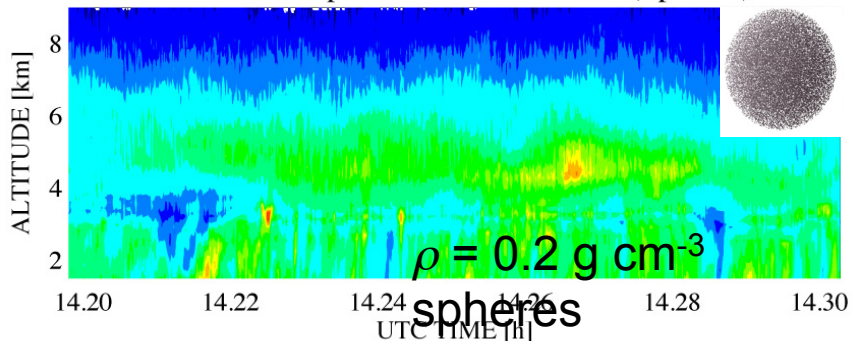
Estimated Precipitation Water Content (Spheres)



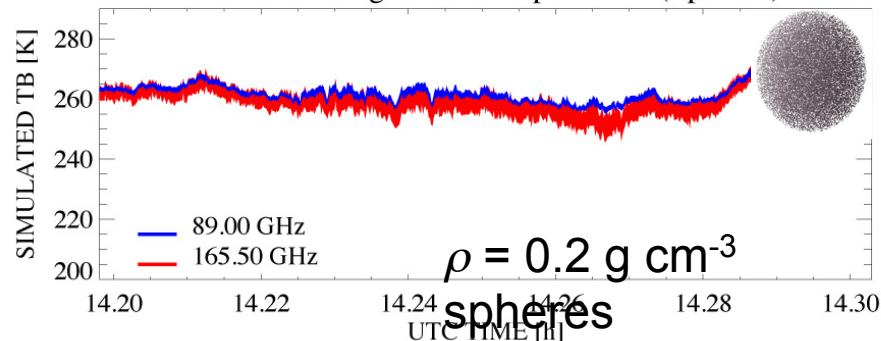
Simulated Brightness Temperatures (Spheres)



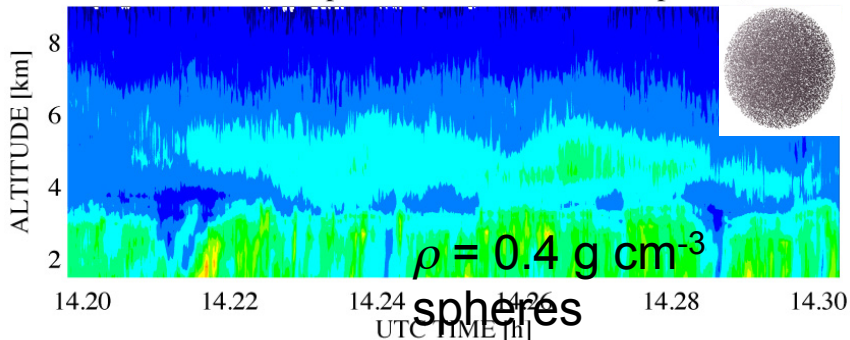
Estimated Precipitation Water Content (Spheres)



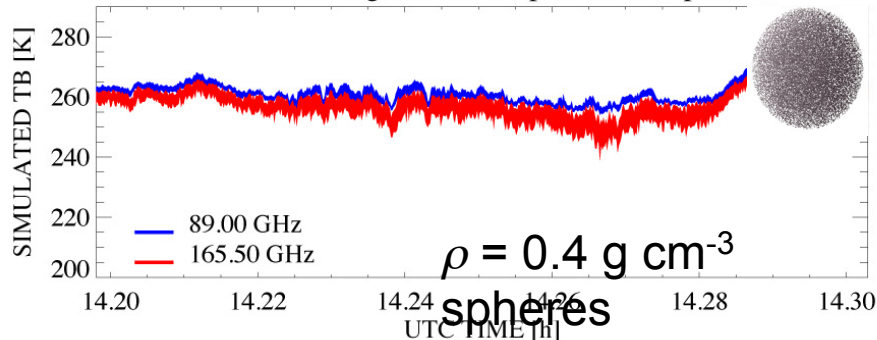
Simulated Brightness Temperatures (Spheres)



Estimated Precipitation Water Content (Spheres)

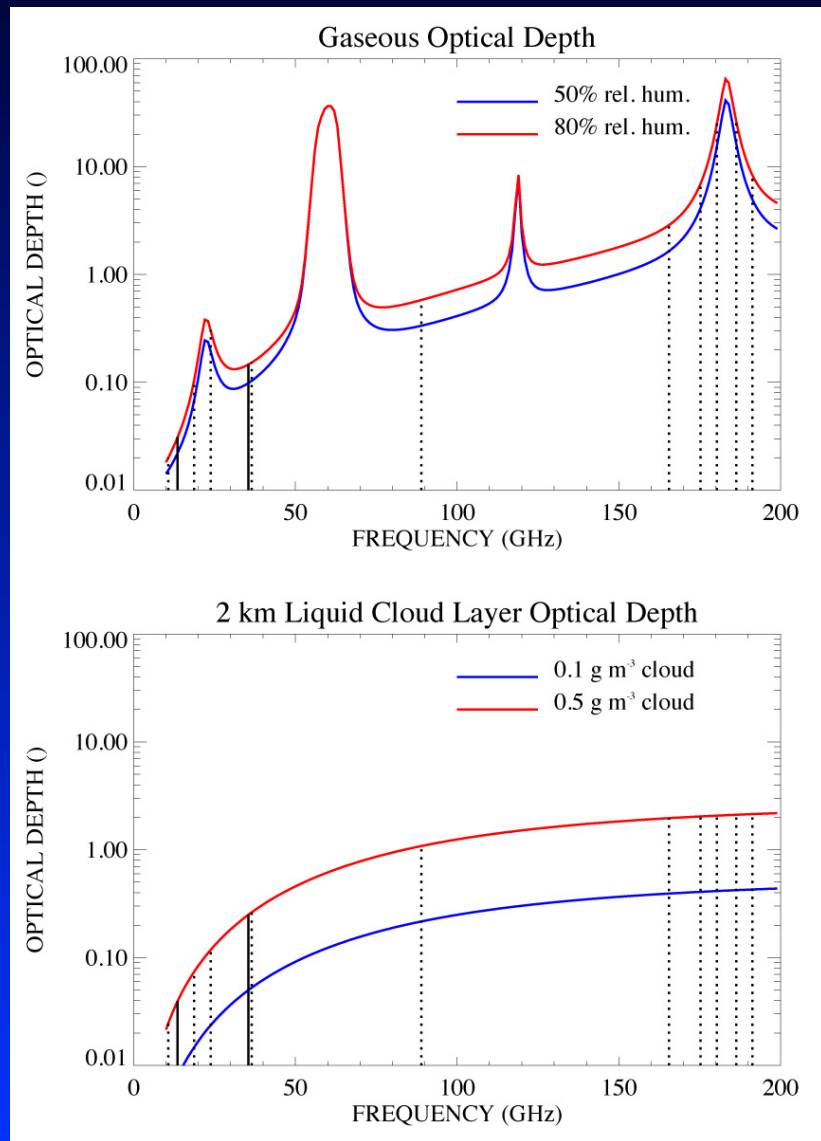


Simulated Brightness Temperatures (Spheres)



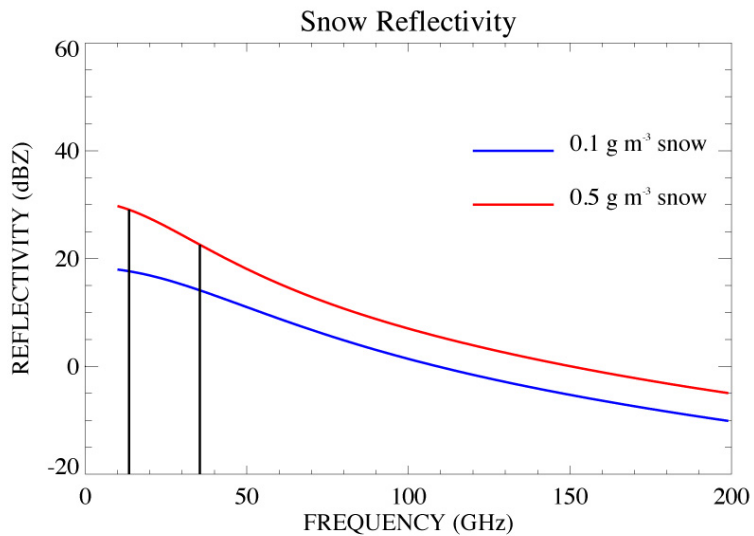
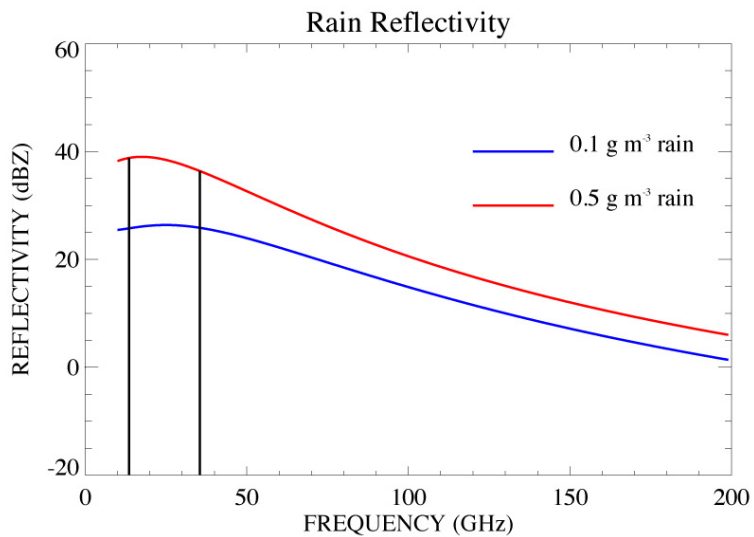
# Physics of DPR/GMI Channels

## Gaseous and Cloud Absorption

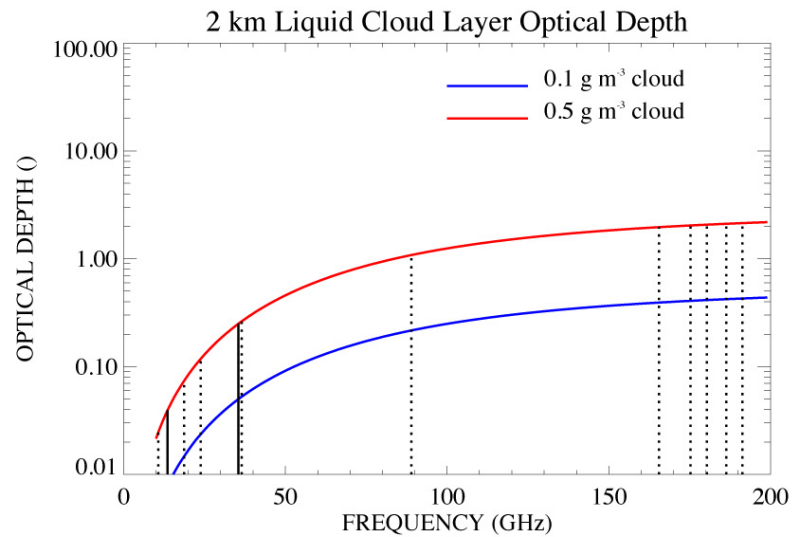
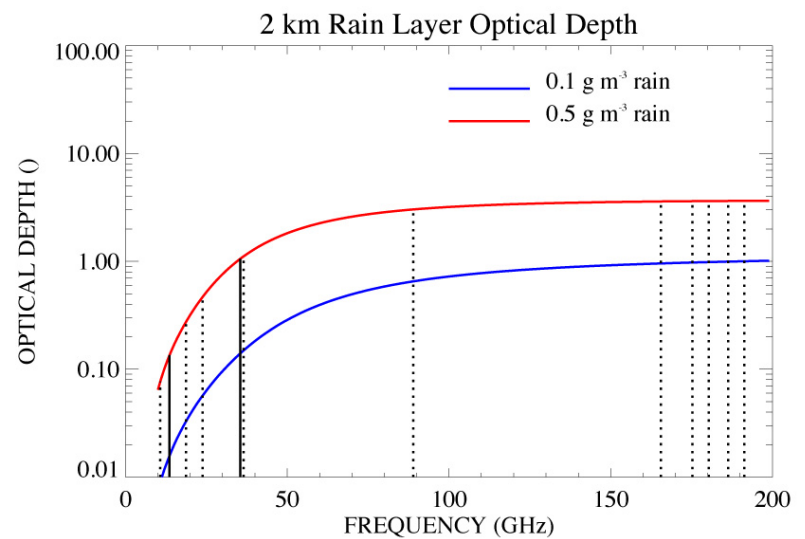


# Physics of DPR/GMI Channels

## Reflectivities

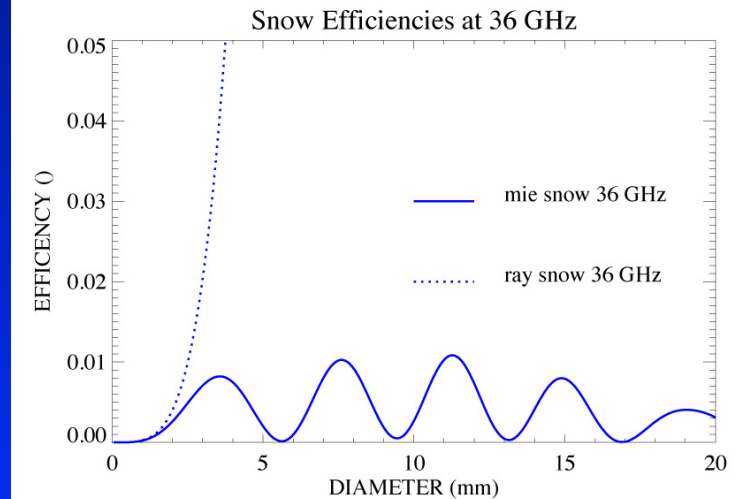
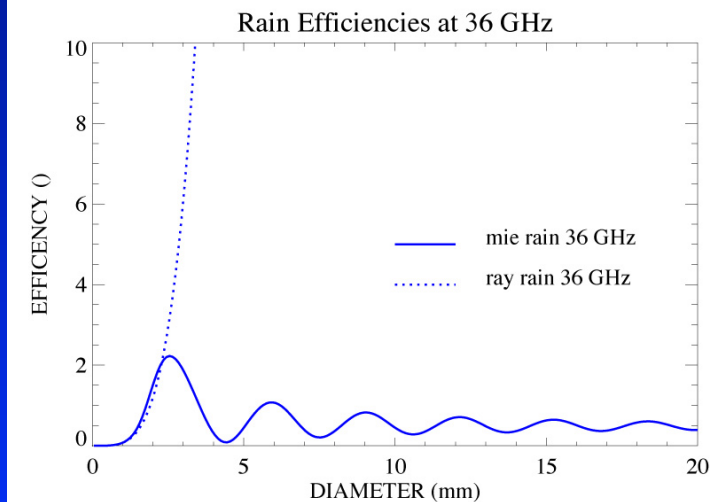
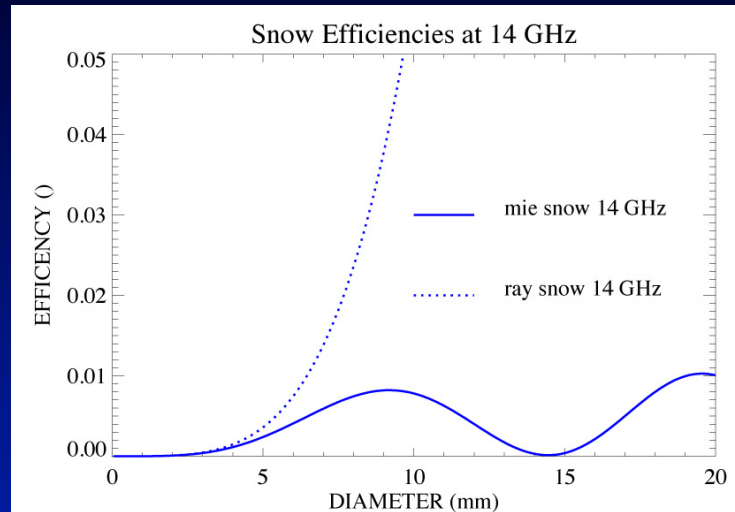
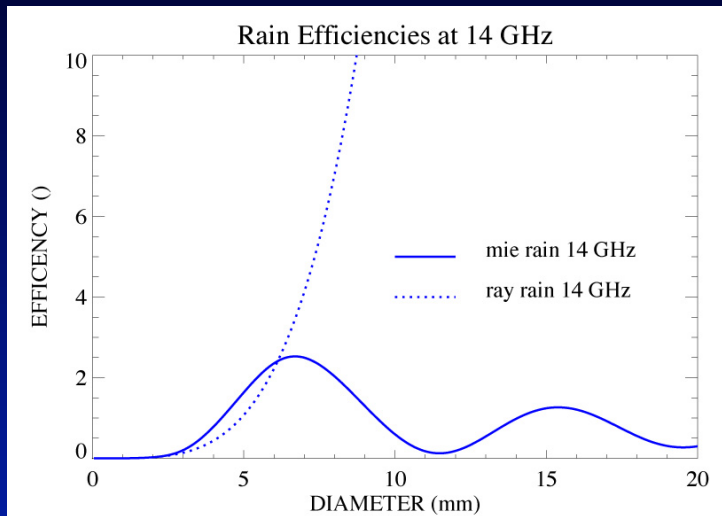


## Attenuation



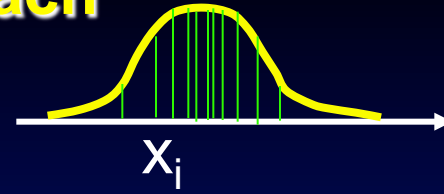


# Rain/Snow Backscatter Efficiencies



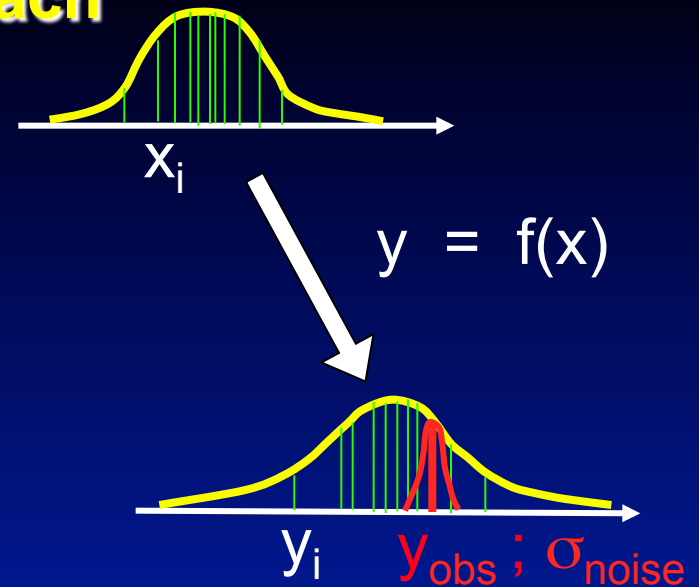
# Ensemble Kalman Filtering Approach

- Assume *a priori* ensemble,  $x_i$ , of desired parameter,  $x$ .



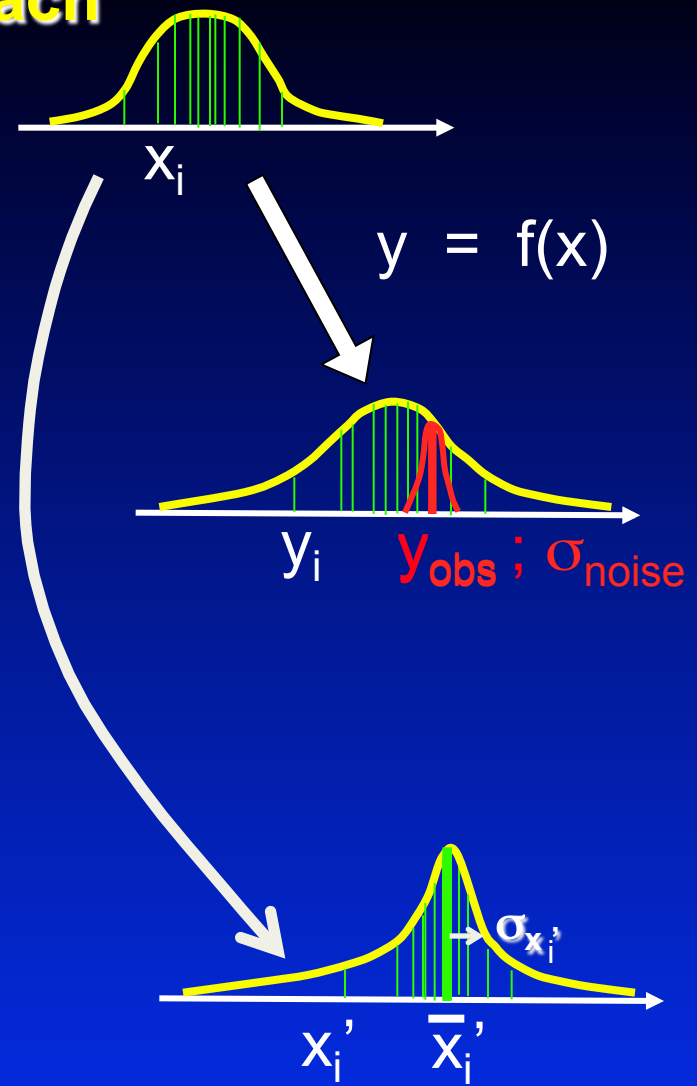
# Ensemble Kalman Filtering Approach

- Assume *a priori* ensemble,  $x_i$ , of desired parameter,  $x$ .
- Use forward model  $y = f(x)$  to simulate observable  $y_i$  for each  $x_i$ .



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- Use forward model  $y = f(x)$  to simulate observable  $y_i$  for each  $x_i$ .



- Update  $x_i$  using  $y_{obs}$  and covariance  $\sigma_{xy}$  of  $x_i$  and  $y_i$ :

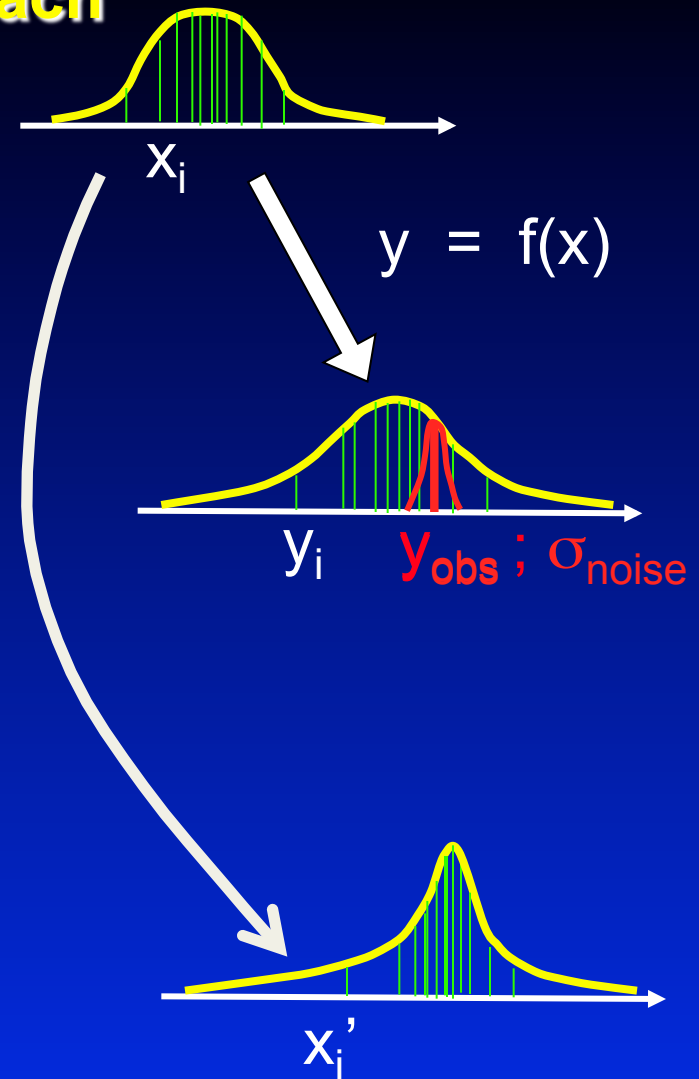
$$x_i' = x_i + \sigma_{xy} / (\sigma_{yy} + \sigma_{noise}^2) \cdot (y_{obs} - y_i)$$

- take mean of  $x_i$  (solution) and standard deviation of  $x_i$  (uncertainty).

# Ensemble Kalman Filtering Approach

- Assume *a priori* ensemble,  $x_i$ , of desired parameter,  $x$ .
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## Simple Examples

- Take a simple 1D example:

$y_{\text{obs}} = 4$  , with noise  $\sigma_{\text{noise}} = 0.5$

& try to fit model  $y(x) = x^2$

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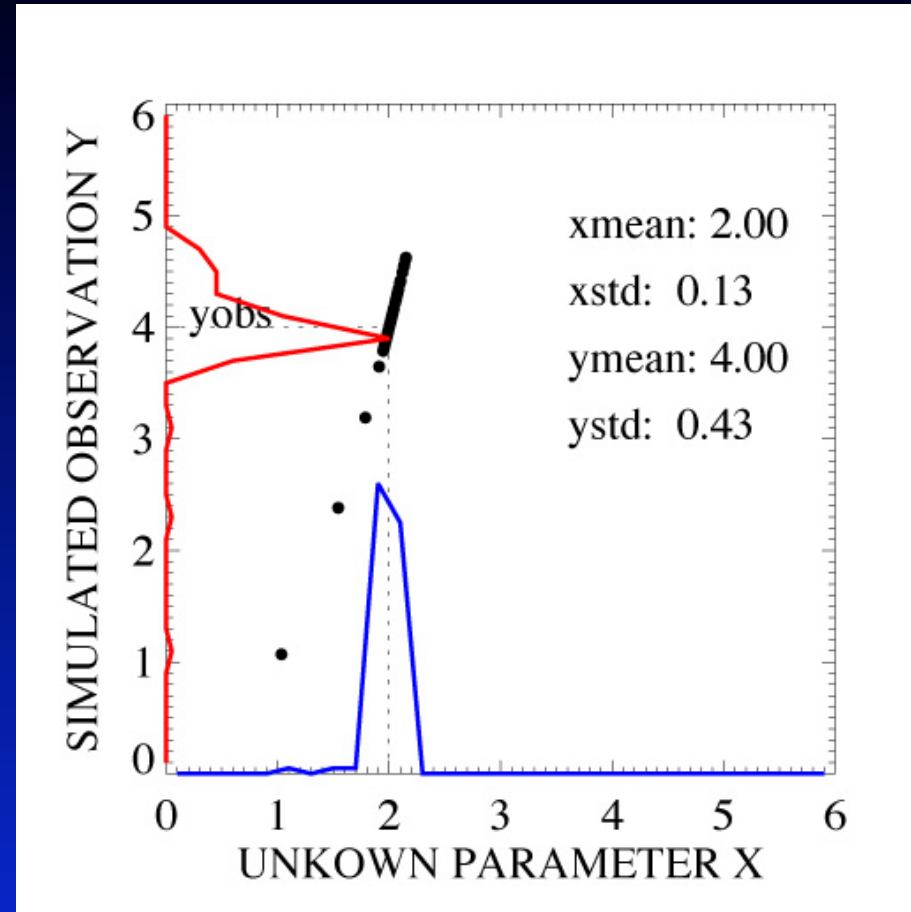
Ensemble Filtering  
approach:

update a *priori* distribution,  
 $x_i$ , using

$y_i = y(x_i)$  and then

$$x_i' - x_i = \sigma_{xy} / (\sigma_{yy} + \sigma_{\text{noise}}^2)$$

$$\bullet (y_{\text{obs}} - y_i)$$



# Algorithm Theoretical Basis

## Generalized Hirschfeld-Bordan Method (applied to Ku-band data only)

- original Hirschfeld-Bordan fast, but reqs.  $k = \alpha Z^\beta$ .

$$Z(r) = \frac{Z_{Ku}(r)}{\left[1 - q \int_0^r \alpha(s) Z_{Ku}^\beta(s) ds\right]^{1/\beta}}, \quad q \equiv 0.2 \beta \ln(10)$$

- iterative techniques typically slow.
- alternative iterative procedure, assuming  $N_o(r)$  and approximate  $\beta$  from  $k$ - $Z$  relation:

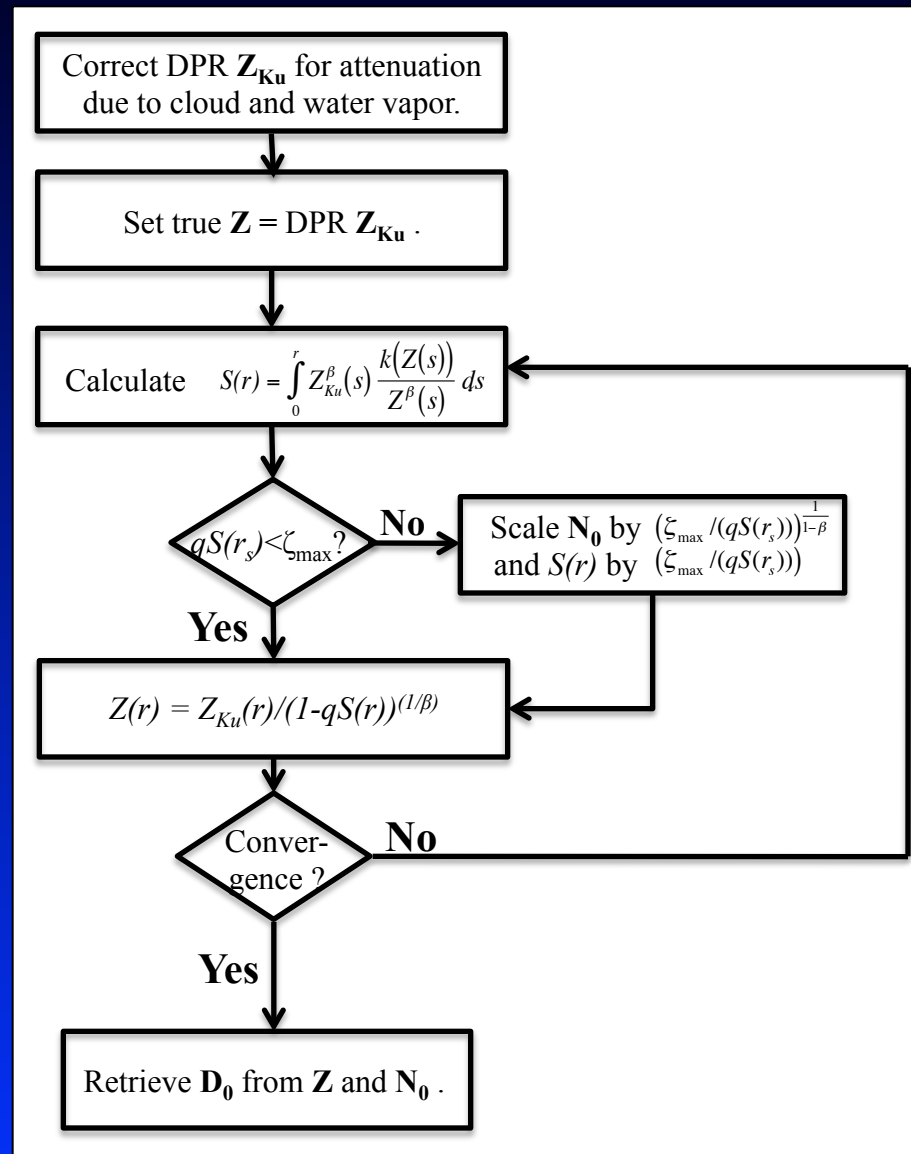
$$Z(r) = \frac{Z_{Ku}(r)}{\left[1 - q \int_0^r Z_{Ku}^\beta(s) \frac{k(Z(s))}{Z^\beta(s)} ds\right]^{1/\beta}}$$



# Algorithm Theoretical Basis

## Generalized Hitschfeld-Bordan Method

- procedure is fast because iterative equation is a close approx. to H-B solution.
- note procedure avoids instability by rescaling  $N_0(r)$ , if needed.
- yields  $D_0(r)$ , given  $N_0(r)$ ,  $\mu_0$  and  $Z_{Ku}$ .



## Post-Launch

- Adapt to post-launch modifications of Level 1 or Level 2 input data.
- Begin statistical validation of GPM combined estimates against GV radar.

★ To enhance impact of brightness temperatures, need more information on *a priori* spatial correlations of  $N_w$ , RH, cloud water, surface emissivity.

➡ field campaign data as guide, e.g., PSD's from dual-pol, profiler.

➡ algorithm "bootstrapping", e.g.,  $N_w$ .

- Similarly, significant cross-correlations of different variables can help to limit the degrees of freedom in the retrieval problem.
- Continue to test physical and statistical parameterizations with field campaign data.