

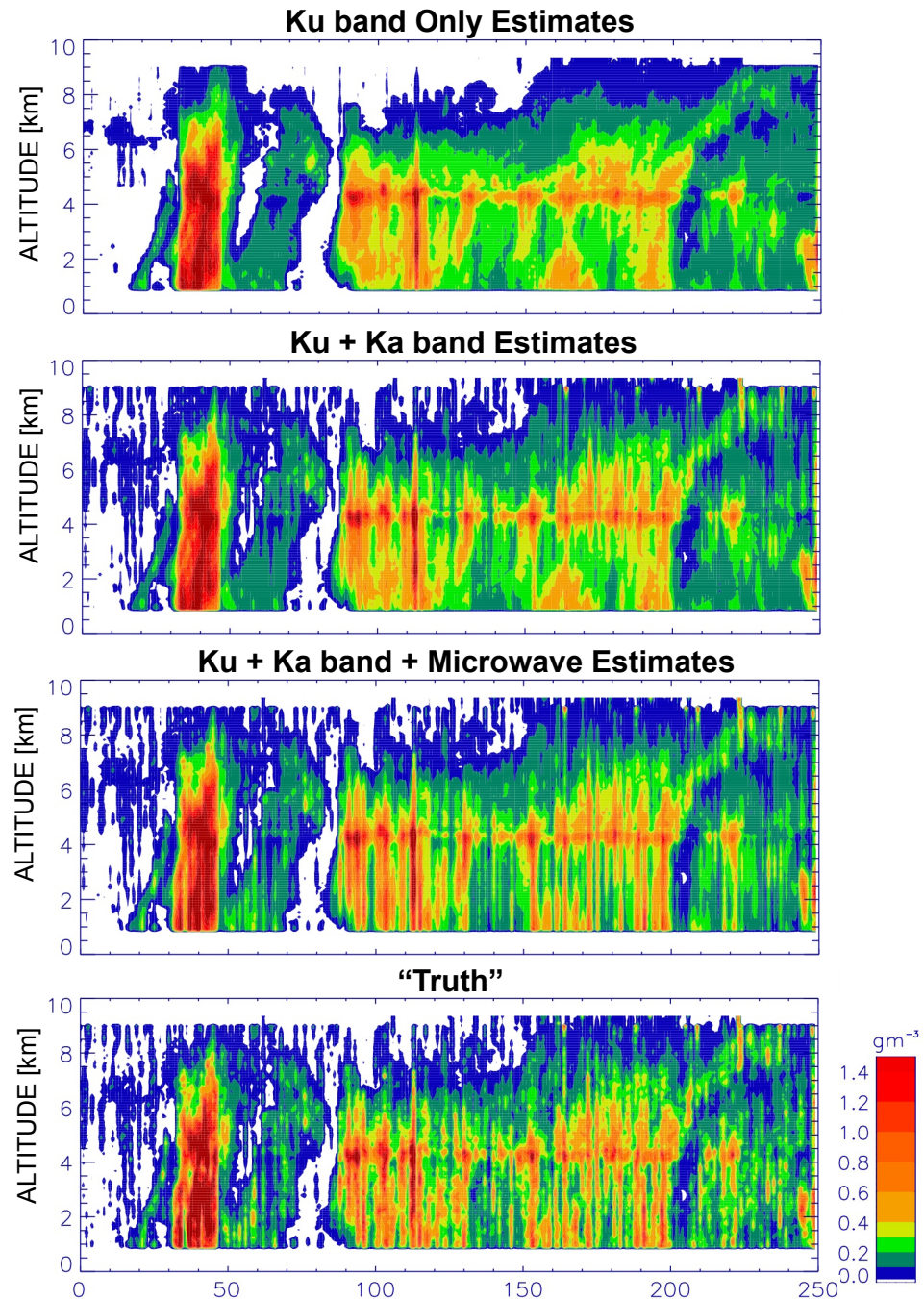
GPM Combined Algorithm Status

Bill Olson and Hirohiko Masunaga

Joint Algorithm Teams
&
Working Group Contributors

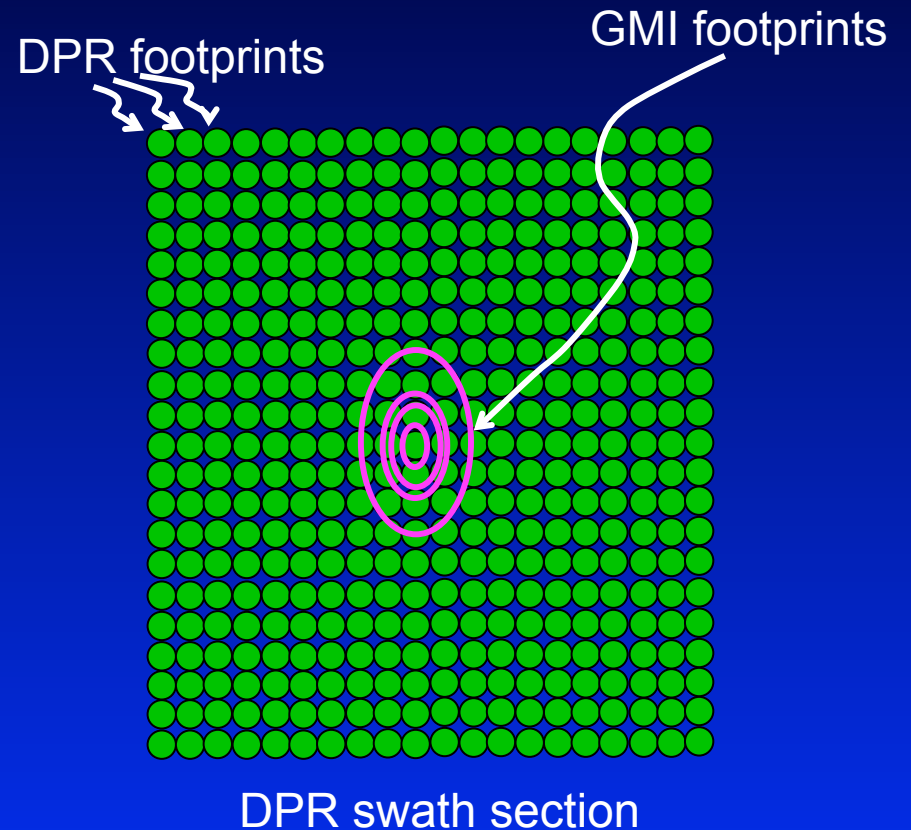
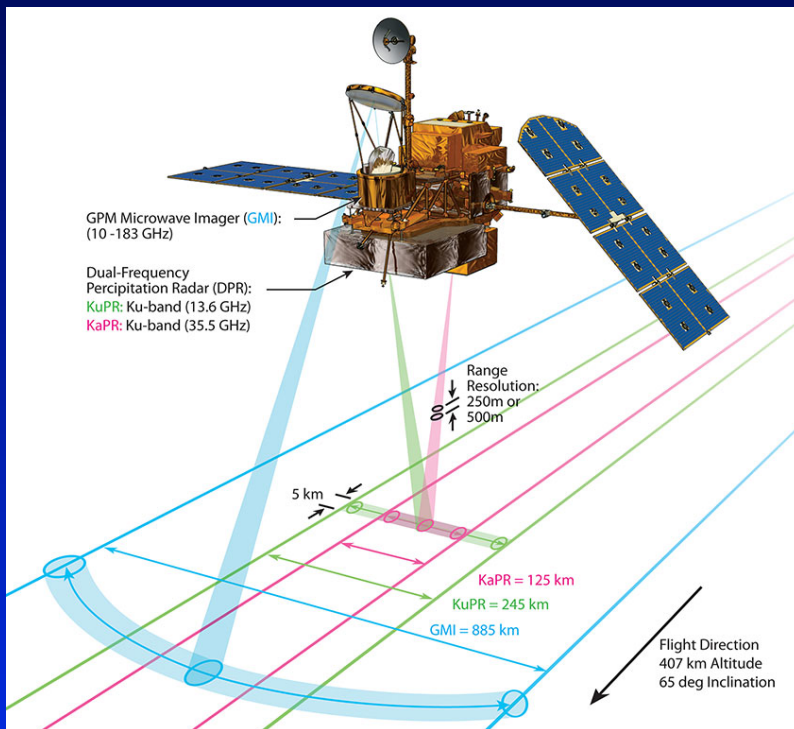
Liquid Water Content Estimates

Ensemble Kalman Filter estimates of LWC using different input:



Algorithm Theoretical Basis

DPR / GMI Sampling and Resolution



freq. 10.7, 18.7, 23.8, 36.5, 89.0, 165.5, 183.3±7, 183.3±3 GHz
resol. 26, 15, 12, 11, 6, 6, 6, 6 km

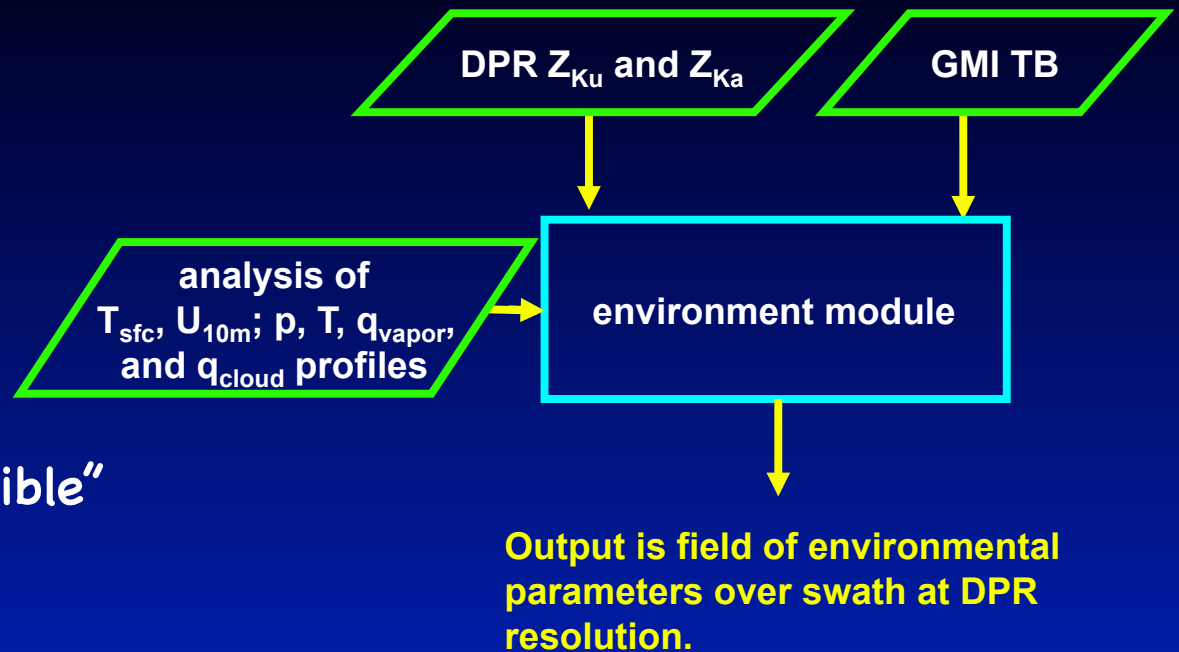
Algorithm Theoretical Basis - Main Progress/Activities

- new “solver” that improves consistency between radar solutions at fine resolution and lower-resolution radiometer observations.
- beta version of the satellite algorithm has been distributed to the team in Sept. 2011.
- updated databases for Bayesian estimation of “non-raining” parameters over ocean and land surfaces.
- combined team members “meet” every month to plan testing of potential modifications within existing algorithm architecture.
- bottom line: currently we have an algorithm that utilizes Ku + Ka + microwave over ocean and Ku + Ka over land. HF microwave won't be utilized until we understand impact.

Algorithm Theoretical Basis

Environment Module

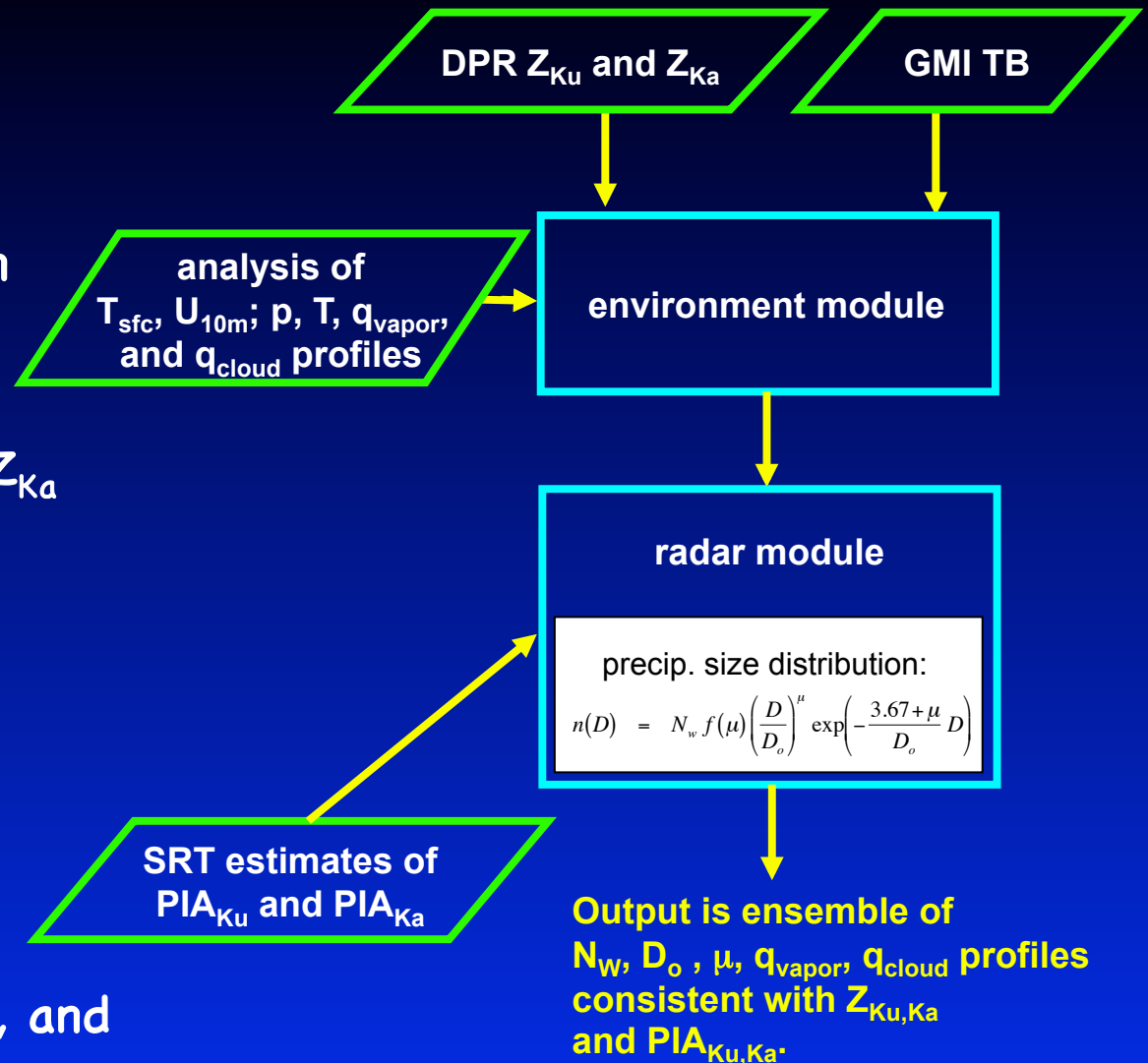
- geographic lookup.
- interpolate TB to DPR locations.
- use TB to find "rain possible" regions.
- retrieve environmental parameters T_s , TPW, CLWP, U_{10m} (emissivity EOF weight; land) outside of "rain possible" regions.
- interpolate environmental parameters into "rain possible" regions.



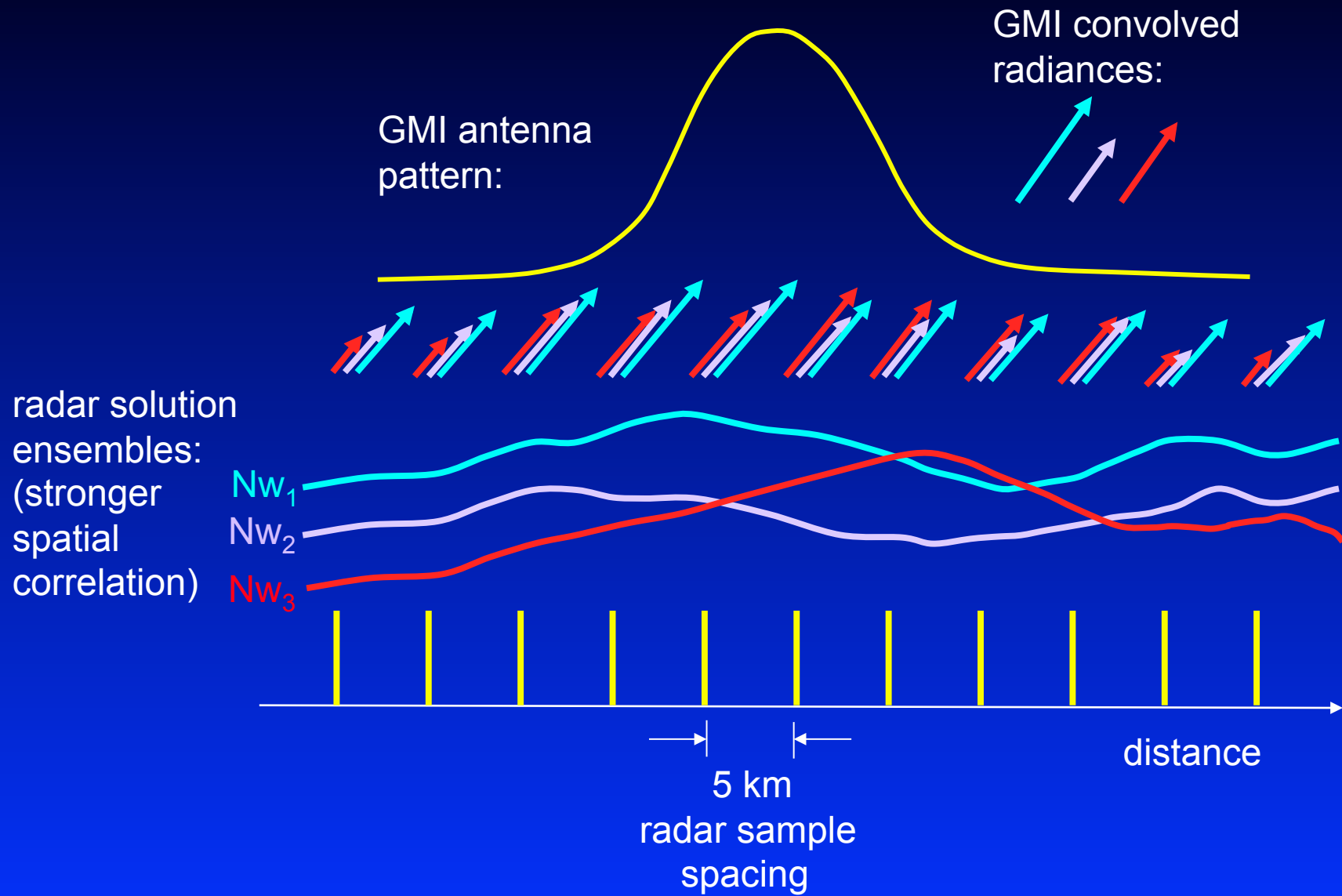
Algorithm Theoretical Basis

Radar Module

- generate ensembles of initial q_{vapor} , q_{cloud} , and N_w profiles at DPR precipitation locations.
- attenuation-correct Z_{Ku} , Z_{Ka} for water vapor & cloud.
- obtain generalized Hitschfeld-Bordan solution for D_o , using Z_{Ku} for each ensemble member.
- simulate Z_{Ka} , PIA_{Ku} , PIA_{Ka} , and Ensemble Kalman Filter q_{vapor} , q_{cloud} , N_w profile ensembles using observed Z_{Ka} , PIA_{Ku} , PIA_{Ka} .



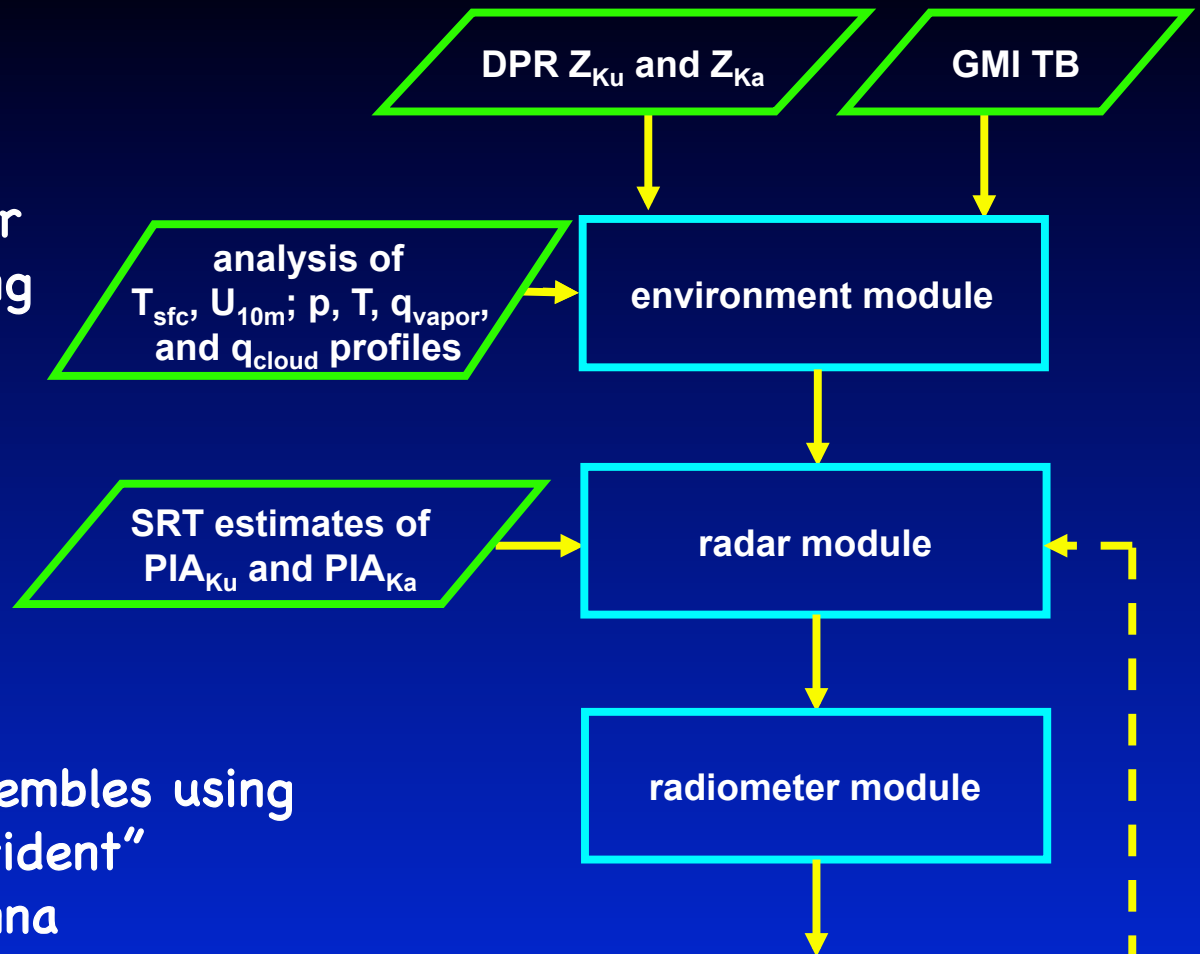
Algorithm Theoretical Basis



Algorithm Theoretical Basis

Radiometer Module

- simulate upwelling TB for each ensemble member profile at each precipitating DPR footprint location.
- convolve simulated TB to simulate lower-resolution GMI TB.
- Ensemble Kalman Filter q_{vapor} , q_{cloud} , N_w profile ensembles using GMI TB, but only at "coincident" DPR location, where antenna pattern response is greatest.



Output is ensemble of N_w , D_o , μ , q_{vapor} , q_{cloud} , U_{10m} consistent with $Z_{Ku,Ka}$, $PIA_{Ku,Ka}$, TB. Mean(standard deviation) of ensemble gives best estimate (uncertainty of estimate).

Test Plan Outline

Sensitivity Studies (ongoing)

- Data: airborne or TRMM Z_{Ku} retrieval \rightarrow synthetic Z_{Ka} , PIA's, TB's
- Tests: basic algorithm mechanics.
 - sensitivity to input data.
 - sensitivity to *a priori* assumptions.
 - sensitivity to particle scattering assumptions.
 - sensitivity to land surface characterization.
 - sensitivity to phase transition, environmental data.

Physics Studies (beginning)

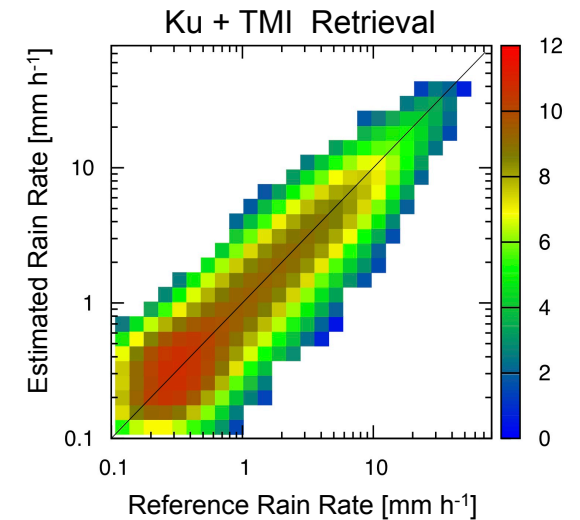
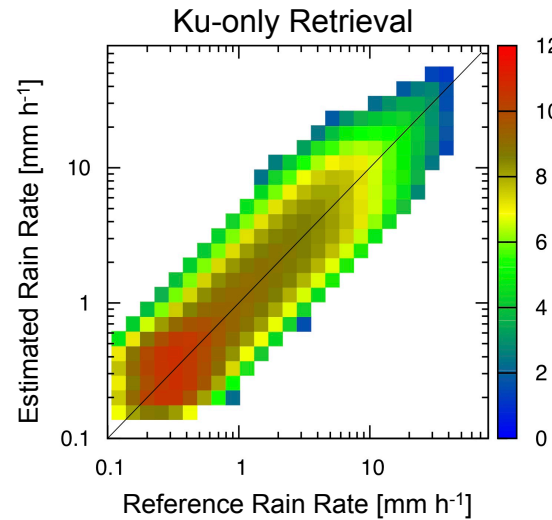
- Data: airborne Ku, Ka, PIA's, TB's, *in situ* microphysics; e.g., MC3E data.
- Tests: e.g., test consistency of physical models with simultaneous observations using algorithm framework; following Liao et al. (2005).
e.g., test *in situ*-derived Z vs. observed Z.

Testing - Application to Simulated TRMM Data

TMI simulated from Ku

Ku-only 5% bias; 35% rms

Ku+TMI -2% bias; 15% rms

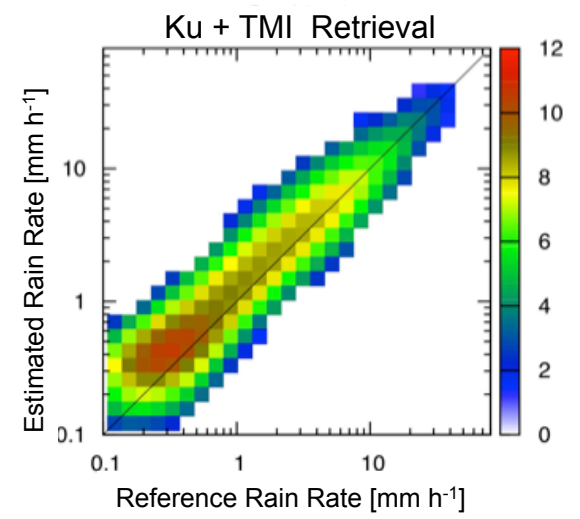
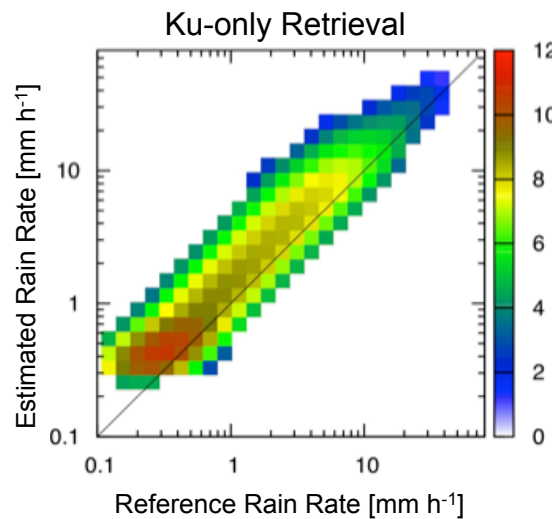


w/positive bias in

initial N_w

Ku-only 43% bias; 41% rms

Ku+TMI 18% bias; 14% rms

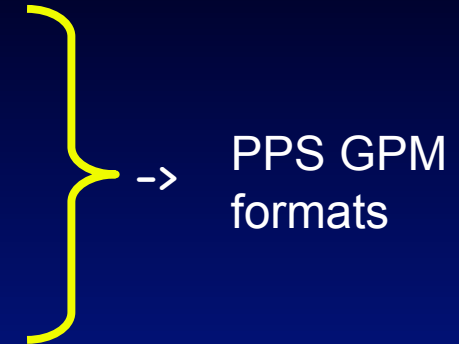


Test Plan Outline

Pre-Launch "Validation" Studies (beginning winter 2012)

- Data: TRMM Observations

CRM-generated GPM Observations
(e.g., tropical MCS, midlatitude squall line,
synoptic-scale snow, lake effect snow,
high-latitude shallow stratiform).



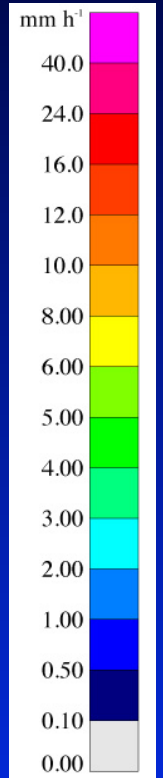
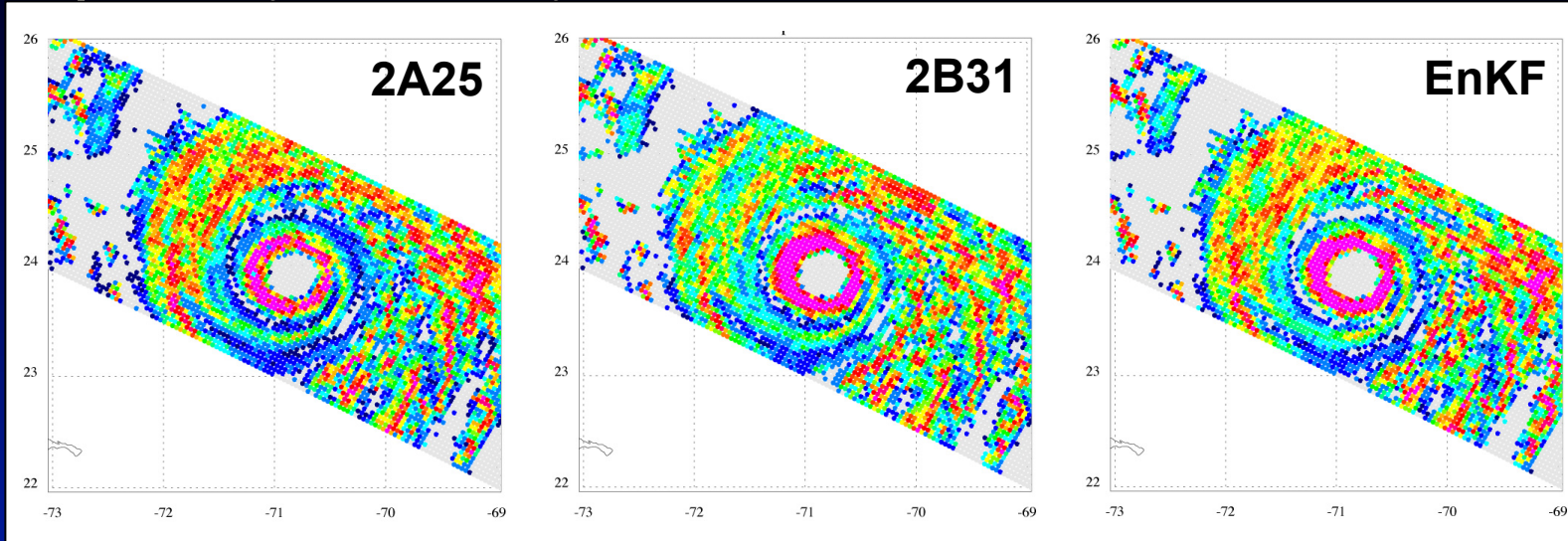
- Tests: compatibility with PPS
fitting of physical model to data.
retrieved parameters within realistic ranges?
is attenuation correction of Z data reasonable?
how well are rain rates and DSD's estimated?
(data sensitivity, e.g. Ku vs. Ku + Ka, ancillary data source.
state sensitivity, e.g. land vs. ocean, high vs. low latitude.)
- TRMM Validation: Primary Validation Sites (Kwajalein, Melbourne)
GPM Validation Network (VN Z's and NMQ rain rates)

Post-Launch Validation Studies (beginning 2014)

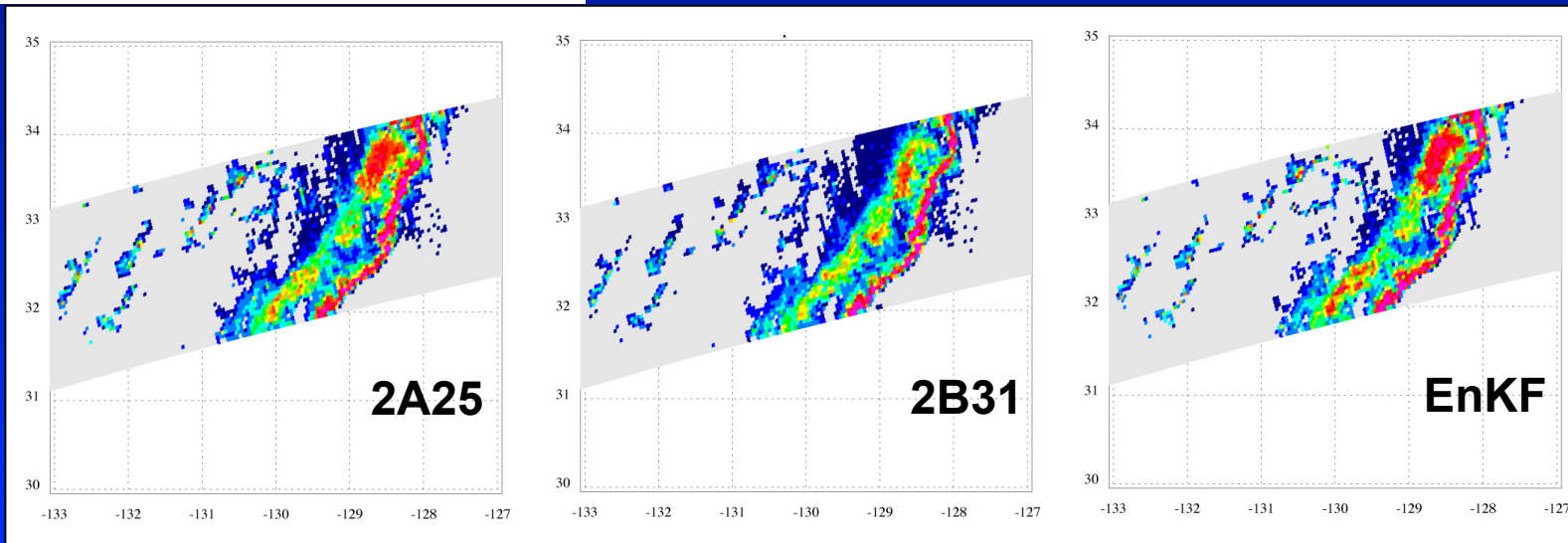
- Data: GPM Z_{Ku} , Z_{Ka} , PIA's, TB's.
- Tests/Validation: see above; extend to GV in other regimes.

Testing - Application to TRMM Data

Tropical Cyclone Floyd



Pacific Winter Storm



Baseline Code for Nov. 2011

- Will include all modules/datasets outlined in this talk.
- Output will be vertical precipitation profile PSD parameters at 250 m resolution, rain rates, and their uncertainties; cloud liquid, water vapor profiles, p, T, surface T & emissivities.
- Ku + microwave (full Ku swath) and Ku + Ka + microwave products.
- Software essentially complete; primarily need:
 - inclusion of PSD parameters estimates, etc.
 - integration of over-land non-raining parameter estimator.
 - tests of PPS compatibility.

At Launch Code for Nov. 2012

- Include GMI high-frequency data, if positive impact.
- Select inter-variable and spatial auto-correlation constraints.
- Select particle scattering tables.
- Partitioning of land surface databases.
- Test compatibility with DPR L2 PRE, VER, CSF, and SRT inputs.
- Test of full satellite algorithm, including TRMM and CRM-generated synthetic data.
- Perform final tests of PPS compatibility.

Synopsis

- Baseline algorithm, ATBD, for PPS will be produced this month (Nov. 2011).
- On track to deliver At-Launch algorithm by Nov. 2012.
- Primary activity in 2012 will be the testing of algorithm options within the basic algorithm architecture that has been established.
- To optimize impact of new GPM channels, need to ensure physics & *a priori* assumptions are realistic. FC data & 1-D testing.
- Final TRMM and synthetic data tests, over a range of environments and storm types, will determine consistency with Level 1 science requirements.
- Will maintain required compatibility with PPS computing environment.

Extras

Test Data Synthesized from Airborne Precipitation Radar-2 (APR-2) Observations

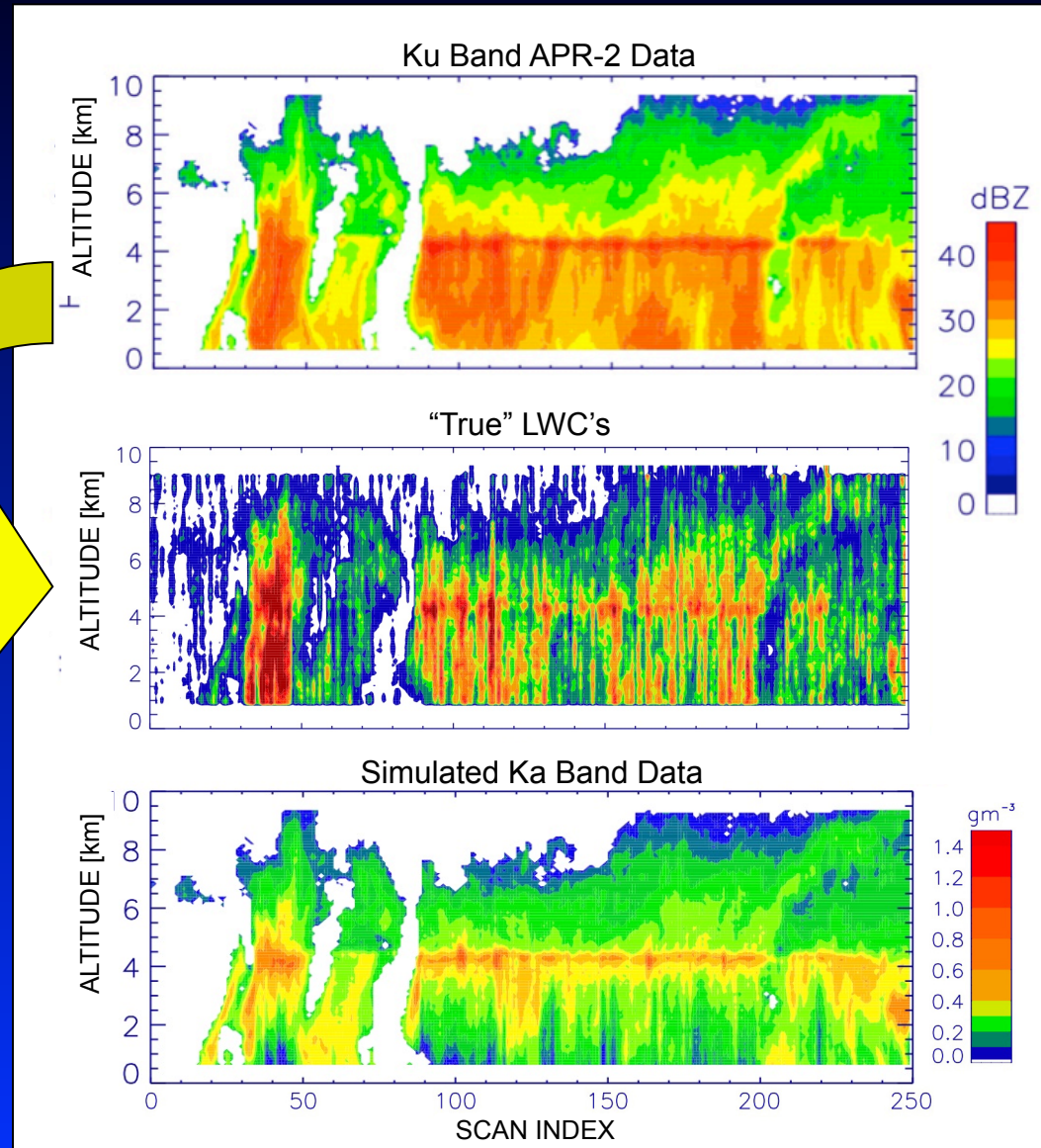
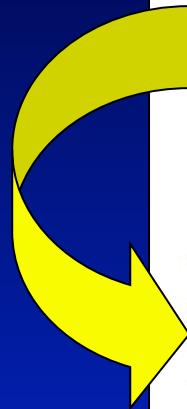
Assume q , CLW , μ , N_w profiles for each Ku-band profile; estimate D_o profile.

Create "true" precip. size distributions:

Simulate Z_{Ka} , $PIA_{Ku/Ka}$

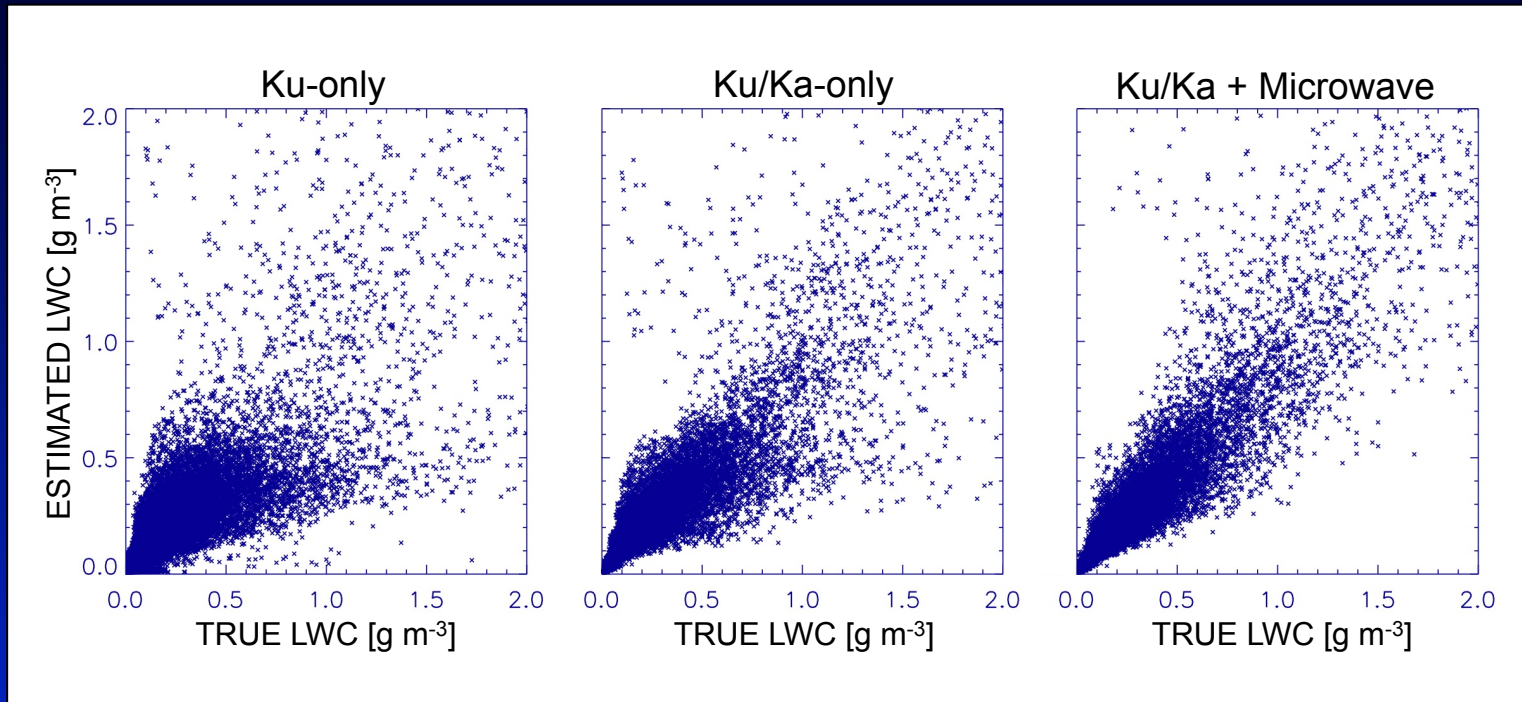
and

TB at 10, 19, 37, 85 GHz, given T_{sfc} and U_{10} (surface emissivity)



Summary of Synthetic Data Test

LWC estimates



Bias (% of mean): -15.1%

-5.2%

-1.3%

RMSE (% of σ): 55%

45%

35%

Corr. Coef. .78

.85

.91

At-Launch Code for Nov. 2012

- Satellite Algorithm Verification

Matsui/Kim GPM Radar/Radiometer Simulator

-need to add radiometer simulator component

Cloud-Resolving Model Simulations covering a range of environmental forcing situations over a range of latitudes, land and ocean, have been identified to run.

Tropics:

Hurricane/Typhoon

SCSMEX

KWAJEX

LBA

Mid-/Higher-Latitude:

PRESTORM

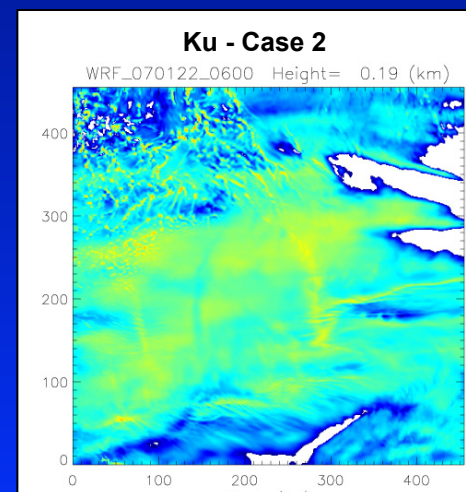
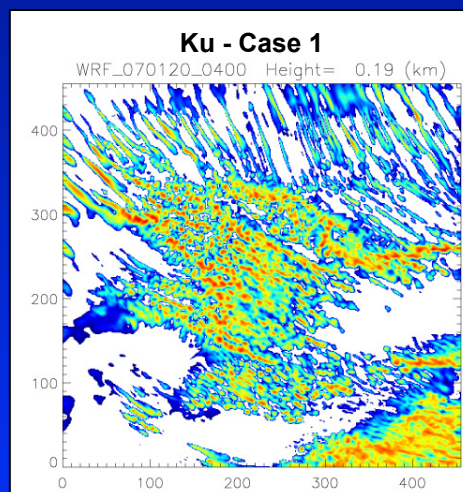
MC3E

Wakasa Bay

N. California

C3VP

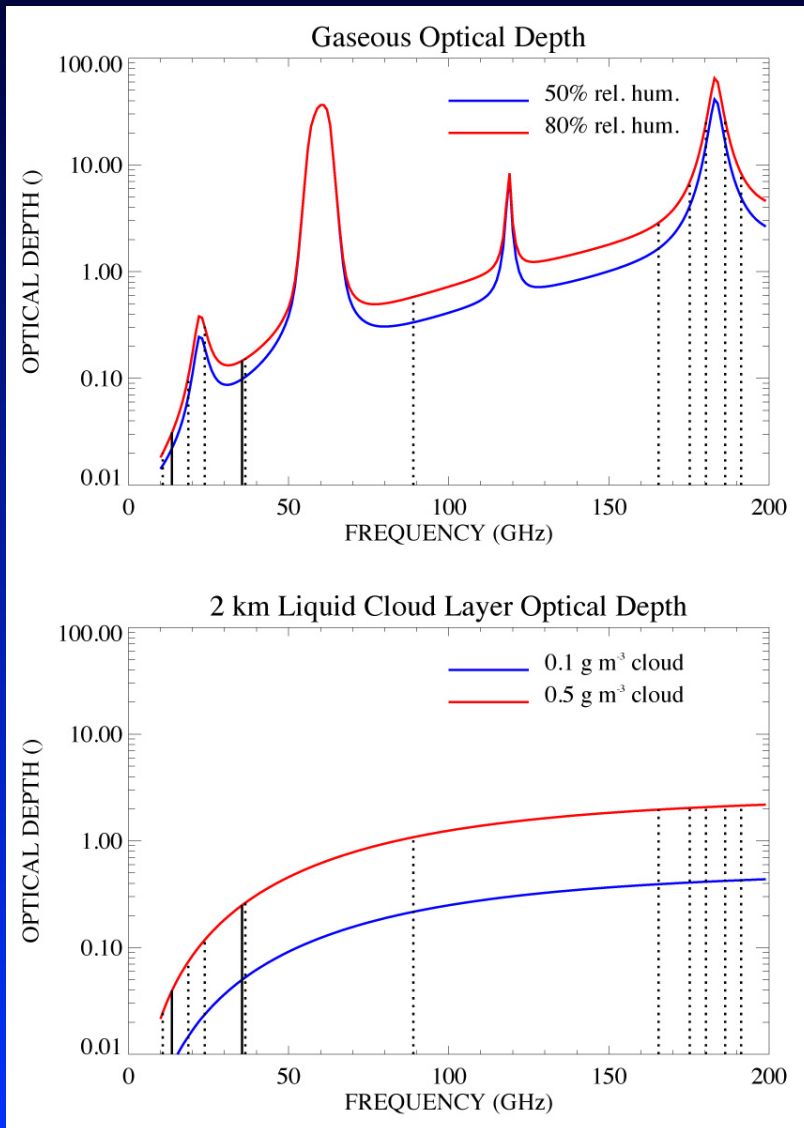
LPVEx



from Toshi Matsui

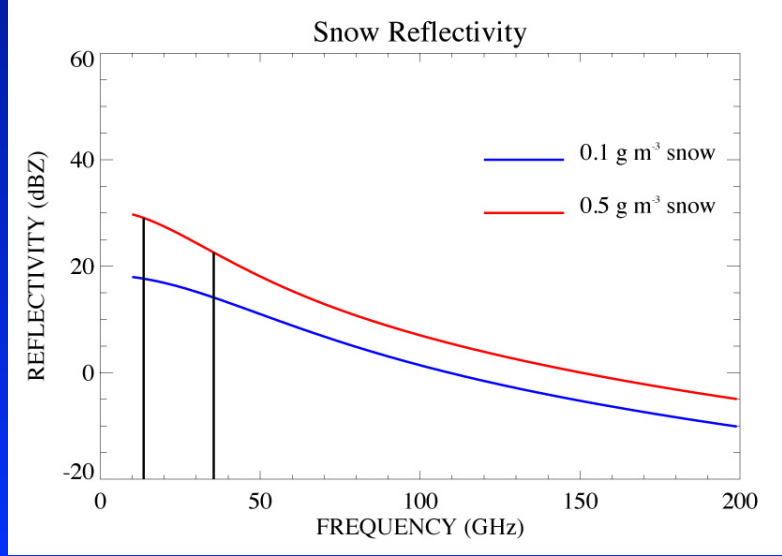
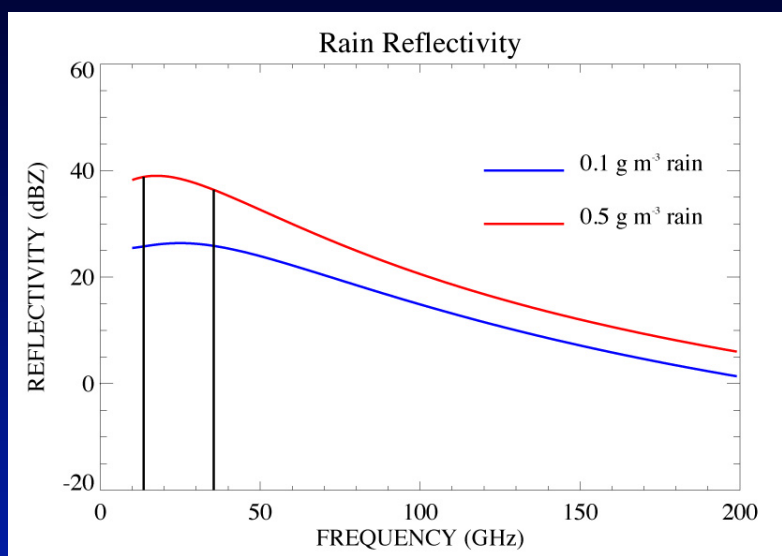
Physics of DPR/GMI Channels

Gaseous and Cloud Absorption

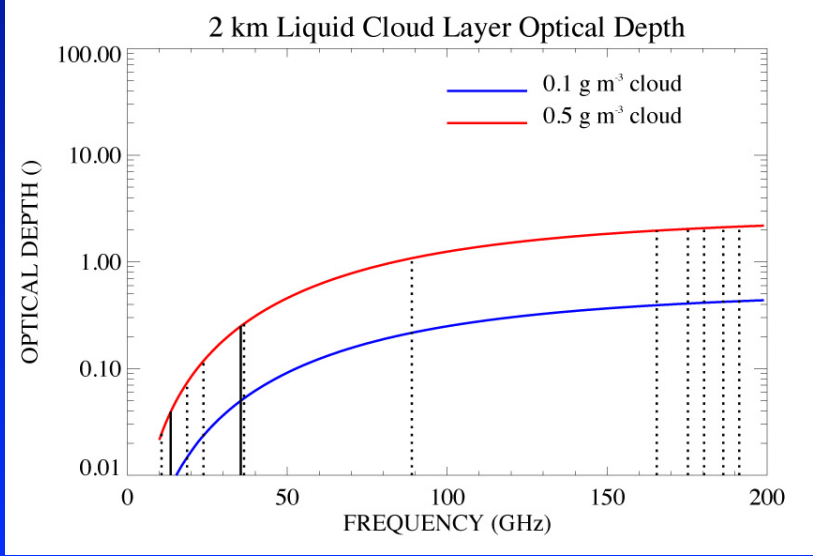
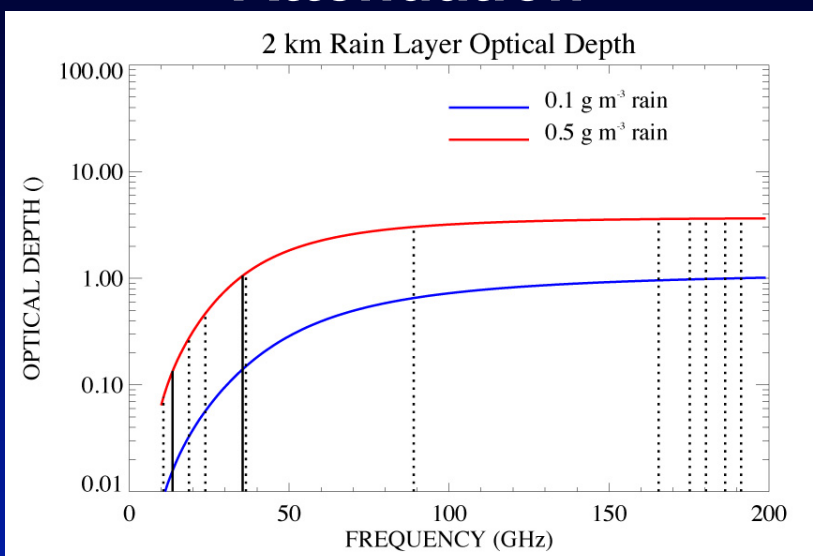


Physics of DPR/GMI Channels

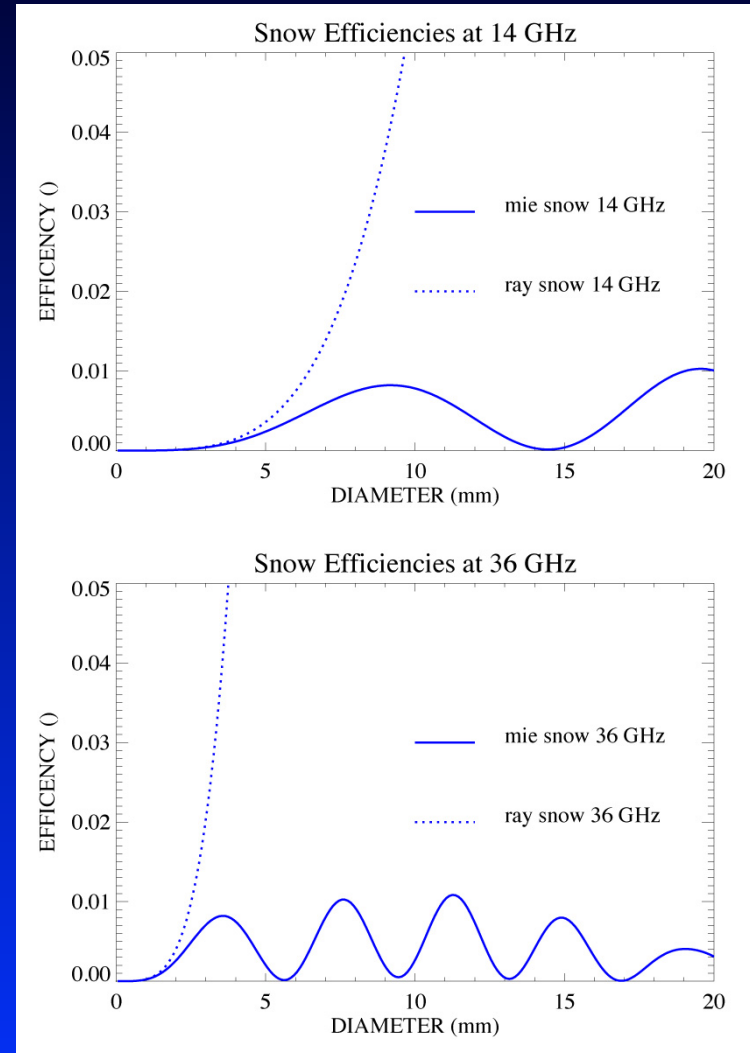
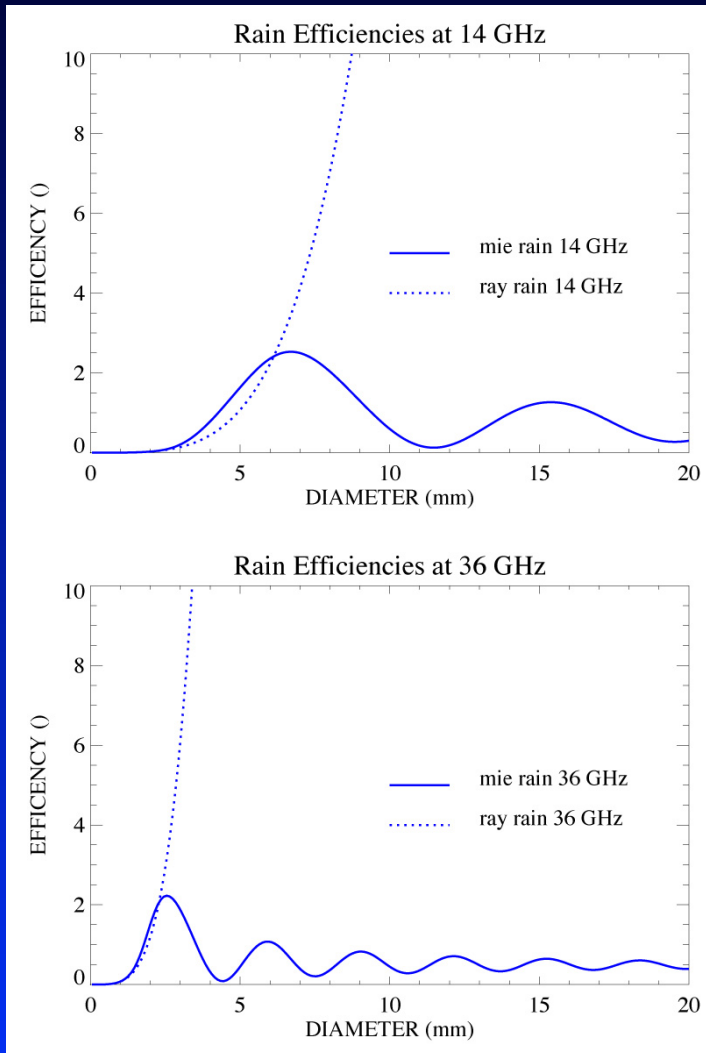
Reflectivities



Attenuation

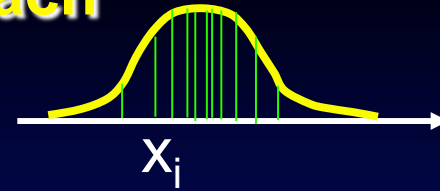


Rain/Snow Backscatter Efficiencies



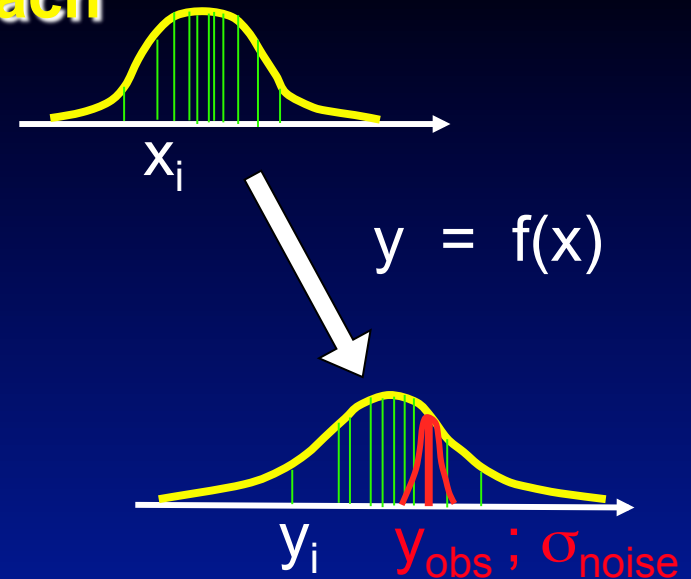
Ensemble Kalman Filtering Approach

- Assume *a priori* ensemble, x_i , of desired parameter, x .



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- Use forward model $y = f(x)$ to simulate observable y_i for each x_i .



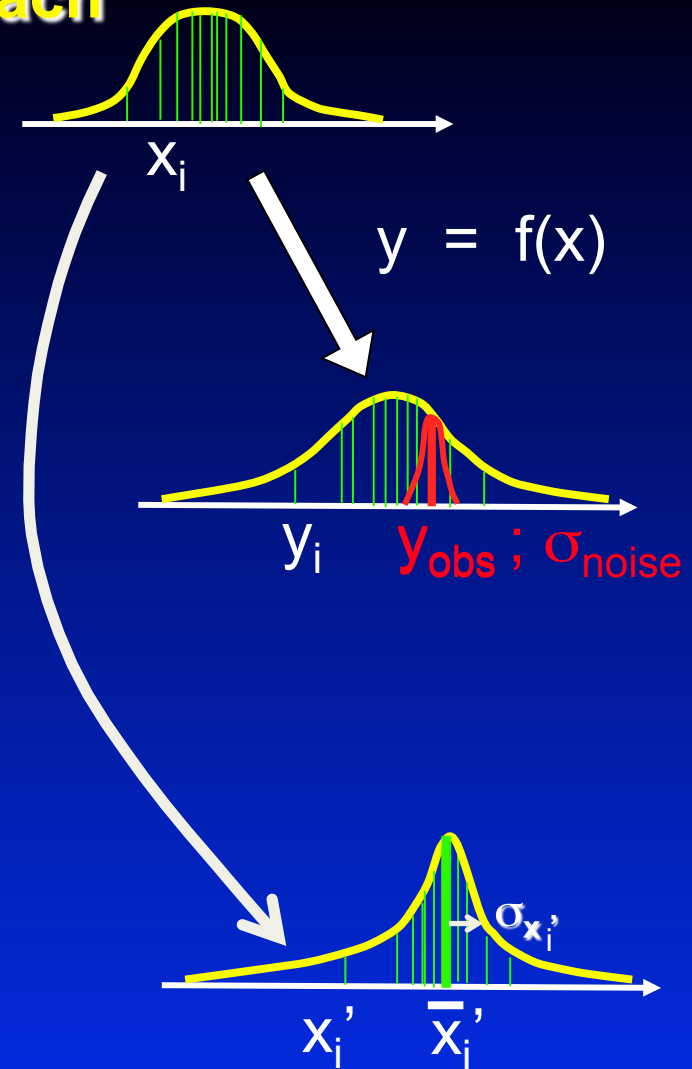
Ensemble Kalman Filtering Approach

- Assume *a priori* ensemble, \mathbf{x}_i , of desired parameter, \mathbf{x} .
- Use forward model $\mathbf{y} = \mathbf{f}(\mathbf{x})$ to simulate observable \mathbf{y}_i for each \mathbf{x}_i .

- Update \mathbf{x}_i using \mathbf{y}_{obs} and covariance σ_{xy} of \mathbf{x}_i and \mathbf{y}_i :

$$\mathbf{x}_i' = \mathbf{x}_i + \sigma_{xy} / (\sigma_{yy} + \sigma_{\text{noise}}^2) \cdot (\mathbf{y}_{\text{obs}} - \mathbf{y}_i)$$

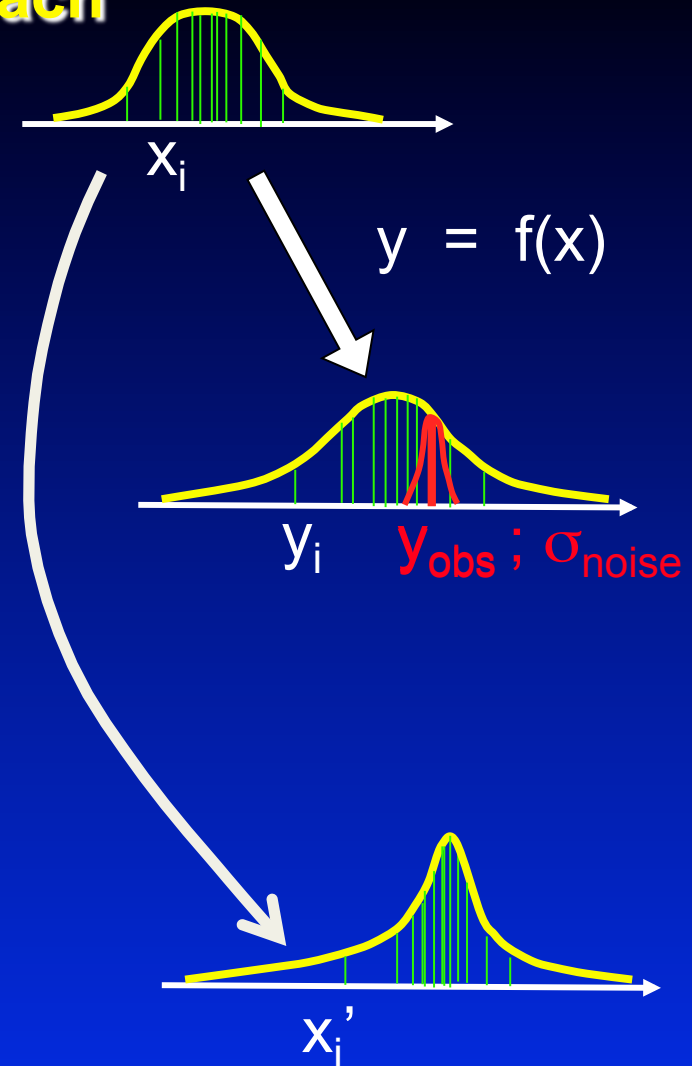
- take mean of \mathbf{x}_i (solution) and standard deviation of \mathbf{x}_i (uncertainty).



Ensemble Kalman Filtering Approach

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Simple Examples

- Take a simple 1D example:

$y_{\text{obs}} = 4$, with noise $\sigma_{\text{noise}} = 0.5$

& try to fit model $y(x) = x^2$

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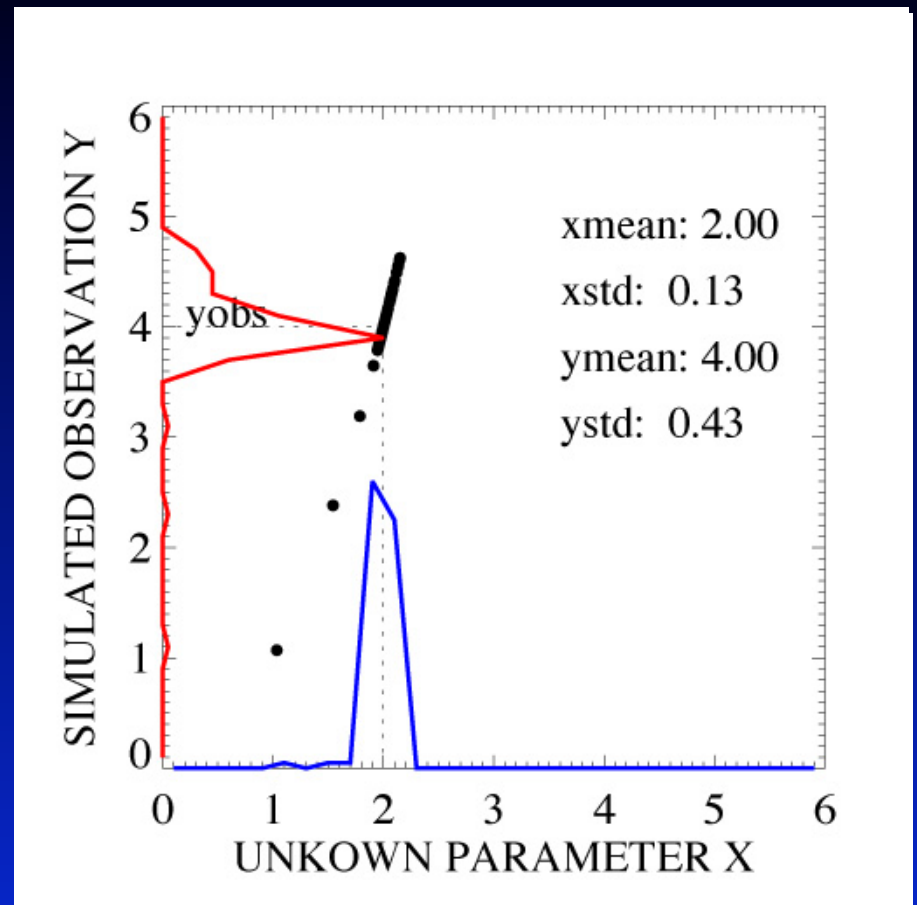
Ensemble Filtering approach:

update a *priori* distribution,
 x_i , using

$y_i = y(x_i)$ and then

$$x_i' - x_i = \frac{\sigma_{xy}}{(\sigma_{yy} + \sigma_{\text{noise}}^2)}$$

$$\bullet (y_{\text{obs}} - y_i)$$



Algorithm Theoretical Basis

Generalized Hirschfeld-Bordan Method (applied to Ku-band data only)

- original Hirschfeld-Bordan fast, but reqs. $k = \alpha Z^\beta$.

$$Z(r) = \frac{Z_{Ku}(r)}{\left[1 - q \int_0^r \alpha(s) Z_{Ku}^\beta(s) ds\right]^{1/\beta}}, \quad q \equiv 0.2 \beta \ln(10)$$

- iterative techniques typically slow.
- alternative iterative procedure, assuming $N_o(r)$ and approximate *approximate* β from k - Z relation:

$$Z(r) = \frac{Z_{Ku}(r)}{\left[1 - q \int_0^r Z_{Ku}^\beta(s) \frac{k(Z(s))}{Z^\beta(s)} ds\right]^{1/\beta}}$$

Algorithm Theoretical Basis

Generalized Hitschfeld-Bordan Method

- procedure is fast because iterative equation is a close approx. to H-B solution.
- note procedure avoids instability by rescaling $N_0(r)$, if needed.
- yields $D_0(r)$, given $N_0(r)$, μ , and Z_{Ku} .

